



Bidding Document

Volume 1: Bidding Procedures, Work's Requirements and Conditions of Contract

Upgrading to paved standard of 9.54km of roads, reconstruction of 6.72km of roads including signalization of 5 Junctions and Channelization of 3.9Km of drainages in Lubaga, Kawempe Makindye Division and Wakiso District (Lot 5)

Employer:	Kampala Capital City Authority
Project:	The Kampala City Roads Rehabilitation Project (KCRRP)
Contract title:	Upgrading to paved standard of 9.54km of roads, reconstruction of 6.72km of roads and Channelization of 3.9Km of Drainages including signalization of 5 Junctions in Lubaga, Kawempe Makindye Division and Wakiso District (Lot 5)
Country:	Uganda
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The bidding document has been prepared in six volumes as follows:

Volume 1: Bidding Procedures, Work Requirements and Conditions of Contract

Volume 2: Bills of Quantities

Volume 3: Technical Specifications

Volume 4: Drawings

Volume 5: Environmental and Social Management Plan (ESMP)

Volume 6: FIDIC Conditions of Contract for Construction

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Part 1: Bidding Procedures

Section 1: Instructions to Bidders

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Section I: Instructions to Bidders

A. General

1. Scope of Bid

1.1 In connection with the Specific Procurement Notice – Invitation for Bids (IFB), specified in the Bid Data Sheet (BDS), the Employer, as specified in the BDS, issues this Bidding document for the provision of Works as specified in Section VII, Works' Requirements. The name, identification, and number of "whole of the works", hereafter called 'Works' invited under one or more lots (Contracts) or Packages each lot containing one or more 'Works' or each package containing one or more lots of this IFB are specified in the BDS.

1.2 Throughout this bidding document:

- (a) the term "in writing" means communicated in written form (e.g. by mail, e-mail, fax, including, if specified in the BDS, distributed or received through electronic-procurement system used by the Employer) with proof of receipt;
- (b) if the context so requires, "singular" means "plural" and vice versa;
- (c) "Day" means calendar day, unless otherwise specified as a "Business Day." A Business Day is any day that is a working day of the Borrower. It excludes the Borrower's official public holidays; and
- (d) "ES" means environmental and social (including Sexual Exploitation, and Abuse (SEA) and Sexual Harassment (SH));
- (e) "Sexual Exploitation and Abuse" "(SEA)" means the following:
 "Sexual Exploitation" is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
 "Sexual Abuse" is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
- (f) **"Sexual Harassment" "(SH)"** is defined as unwelcome sexual advances, requests for sexual favours, and other verbal or physical conduct of a sexual nature by the Contractor's Personnel with other Contractor's or Employer's Personnel;
- (g) **"Contractor's Personnel"** is as defined in Sub- Clause 1.1.17 of the General Conditions of Contract; and
- (h) "Employer's personnel" is as defined in GCC Sub-Clause 1.1.31 of the General Conditions of Contract.

A non-exhaustive list of (i) behaviours which constitute SEA and (ii) behaviours which constitute SH is attached to the Code of Conduct form in Section IV.

2. Source of Funds

- 2.1 The Borrower or Recipient (hereinafter called "Borrower") specified in the BDS has received or has applied for financing (hereinafter called "funds") from the Specific Financing Institution named in the BDS (hereinafter called "the Bank") in an amount specified in the BDS, toward the project named in the BDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this Bidding document is issued.
- 2.2 Payment by the Bank will be made only at the request of the Borrower and upon approval by the Bank, and will be subject, in all respects, to the terms and conditions of the Loan (or other financing) Agreement. The Loan (or other financing) Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of goods, equipment, plant, or materials, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations. No party other than the Borrower shall derive any rights from the Loan (or other financing) Agreement or have any claim to the proceeds of the Loan (or other financing).

3. Fraud and Corruption

- 3.1 The Bank requires compliance with its Integrity Framework comprising the African Development Bank Group's Sanctions Procedures, the Bank's Whistle-blowing and Complaints Policy, the Bank's Procurement Policy under the Procurement Framework and any other applicable Policies and Procedures including their updates in regard to corrupt and fraudulent practices, as set forth in Section VI.
- 3.2 In further pursuance of this policy, bidders shall permit and shall cause their agents (where declared or not), subcontractors, sub consultants, service providers, suppliers, and their personnel, to permit the Bank to inspect all accounts, records and other documents relating to any prequalification process, bid submission, and contract performance (in the case of award), and to have them audited by auditors appointed by the Bank.

4. Eligible Bidders

- 4.1 A Bidder may be a firm that is a private entity, a state-owned enterprise or institution subject to ITB 4.6 or any combination of such entities in the form of a joint venture, consortium, or association (JVCA) hereinafter called JV, under an

existing agreement or with the intent to enter into such an agreement supported by a letter of intent. In the case of a joint venture, consortium, or association (JV): a) Unless otherwise specified in the BDS, all members shall be jointly and severally liable for the execution of the entire Contract in accordance with the Contract terms; b) The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the members of the JV during the Bidding process and, in the event the JV is awarded the Contract, during contract execution; c) The maximum number of members proposed in a JV shall not exceed the number specified in the BDS, or the number derived from the percentage specified under ITB 4.1 (d), whichever is smaller unless both are equal, in which case anyone shall apply; and d) Participation by value of the contract as share of each of the JV partner (member) shall not be less than the percentage specified in the BDS. In case of any inconsistency between ITB 4.1 c) and ITB 4.1 d) such that both cannot be applied simultaneously, the latter shall prevail.

4.2 A Bidder shall not have a conflict of interest. Any Bidder found to have a conflict of interest shall be disqualified. A Bidder may be considered to have a conflict of interest for the purpose of this Bidding process, if the Bidder:

- (a) directly or indirectly controls, is controlled by or is under common control with another Bidder; or
- (b) receives or has received any direct or indirect subsidy from another Bidder; or
- (c) has the same legal representative as another Bidder; or
- (d) has a relationship with another Bidder, directly or through common third parties, that puts it in a position to influence the Bid of another Bidder, or influence the decisions of the Employer regarding this Bidding process; or
- (e) or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the works that are the subject of the Bid; or
- (f) or any of its affiliates has been hired (or is proposed to be hired) by the Employer or Borrower as Engineer for the Contract implementation; or
- (g) would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the BDS ITB 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm; or
- (h) has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the Bidding document or specifications of the Contract, and/or the Bid evaluation process of such Contract; or (ii) would be involved in the

implementation or supervision of such Contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the Bidding process and execution of the Contract.

- 4.3 A firm that is a Bidder (either individually or as a JV member) shall not participate in more than one Bid, except for permitted alternative Bids. This includes participation as a subcontractor in other Bids. Such participation shall result in the disqualification of all Bids in which the firm is involved. A firm that is not a Bidder or a JV member may participate as a subcontractor in more than one Bid.
- 4.4 A Bidder and all parties constituting the Bidder including any subcontractors or suppliers shall have the nationality of an eligible country of the Bank in accordance with the Bank's Procurement Policy for the Bank Group Funded Operations described under the Bank's Procurement Framework, and as listed in Section V, Eligible Countries, subject to the restrictions pursuant to ITB 4.8. A Bidder shall be deemed to have the nationality of a country if the Bidder is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion shall also apply to the determination of the nationality of proposed subcontractors or sub-consultants for any part of the Contract including related Services.
- 4.5 A Bidder that has been sanctioned by the Bank, pursuant to the Bank's Integrity Framework, and in accordance with its prevailing sanctions policies and procedures as set forth in the Bank's Integrity Framework, as described in Section VI paragraph 2.2 d. shall be ineligible to be prequalified for, bid for, or be awarded a Bank-financed contract or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall have determined. The list of debarred firms and individuals is available at the electronic address specified in the BDS.
- 4.6 Bidders that are state-owned enterprises or institutions in the Employer's Country may be eligible to compete and be awarded a Contract(s) only if they can establish, in a manner acceptable to the Bank, that they (i) are legally and financially autonomous (ii) operate under commercial law, and (iii) are not under supervision of the Employer.
- 4.7 A Bidder shall not be under suspension from Bidding by the Employer as the result of the operation of a Bid-Securing Declaration.
- 4.8 Firms and individuals may be ineligible if so indicated in Section V and (a) as a matter of law or official regulations, the Borrower's country prohibits commercial

relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country, or any payments to any country, person, or entity in that country. When the Works are implemented across jurisdictional boundaries (and more than one country is a Borrower, and is involved in the procurement), then exclusion of a firm or individual on the basis of ITB 4.8 (a) above by any country may be applied to that procurement across other countries involved, if the Bank and the Borrowers involved in the procurement agree.

- 4.9 A Bidder shall provide such documentary evidence of eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.10 A firm that is under a sanction of debarment by the Borrower from being awarded a contract is eligible to participate in this procurement, unless the Bank, at the Borrower's request, is satisfied that the debarment; (a) relates to fraud or corruption, and (b) followed a judicial or administrative proceeding that afforded the firm adequate due process.

5. Eligible Materials, Equipment, and Services

- 5.1 The materials, equipment and services to be supplied under the Contract and financed by the Bank shall have their origin in an eligible country of the Bank in accordance with the Bank's Procurement Policy for Bank Group Funded Operations described under the Bank's Procurement Framework, and as listed in Section V, Eligible Countries, subject to the restrictions specified therein, Eligible Countries, and all expenditures under the Contract will not contravene such restrictions. At the Employer's request, Bidders may be required to provide evidence of the origin of materials, equipment and services.
- 5.2 The nationality of the firm that produces, assembles, distributes, or sells the materials and equipment shall not determine their origin.

B. Contents of Bidding Document

6. Sections of Bidding Document

- 6.1 The Bidding document consists of Parts 1, 2, and 3, which includes all the sections specified below, and which should be read in conjunction with any Addenda issued in accordance with ITB 8.

PART 1 Bidding Procedures

- Section I - Instructions to Bidders (ITB)
- Section II - Bid Data Sheet (BDS)
- Section III - Evaluation and Qualification Criteria
- Section IV - Bidding Forms
- Section V - Eligible Countries
- Section VI - Fraud and Corruption

PART 2 Works Requirements

- Section VII - Works' Requirements

PART 3 Conditions of Contract and Contract Forms

- Section VIII - General Conditions (GC)
- Section IX - Particular Conditions (PC)
- Section X - Contract Forms

- 6.2 The Specific Procurement Notice - Invitation for Bids (IFB) issued by the Employer is not part of the Bidding document.
- 6.3 Unless obtained directly from the Employer, the Employer is not responsible for the completeness of the Bidding document, responses to requests for clarification, the minutes of the pre-Bid meeting (if any), or Addenda to the Bidding document in accordance with ITB 8. In case of any contradiction, documents obtained directly from the Employer shall prevail.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding document and to furnish with its Bid all information and documentation as is required by the Bidding document.

7. Clarification of Bidding Document, Site Visit, Pre-Bid Meeting

- 7.1 A Bidder requiring any clarification of the Bidding document shall contact the Employer in writing at the Employer's address specified in the BDS or raise its enquiries during the pre-Bid meeting if provided for in accordance with ITB 7.4. The Employer will respond in writing to any request for clarification, provided that such request is received no later than fourteen (14) days prior to the deadline for submission of Bids. The Employer shall forward copies of its response to all Bidders who have acquired the Bidding document in accordance with ITB 6.3, including a description of the inquiry but without identifying its

source. If so specified in the BDS, the Employer shall also promptly publish its response at the web page identified in the BDS. Should the clarification result in changes to the essential elements of the Bidding document, the Employer shall amend the Bidding document following the procedure under ITB 8 and ITB 22.2.

- 7.2 The Bidder is advised to visit and examine the Site of Works and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the Bid and entering into a contract for construction of the Works. The costs of visiting the Site shall be at the Bidder's own expense.
- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 If so specified in the BDS, the Bidder's designated representative is invited to attend a pre-Bid meeting and/or a Site of Works visit. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested to submit any questions in writing, to reach the Employer not later than one week before the meeting.
- 7.6 Minutes of the pre-Bid meeting, if applicable, including the text of the questions asked by Bidders, without identifying the source, and the responses given, together with any responses prepared after the meeting, will be transmitted promptly to all Bidders who have acquired the Bidding document in accordance with ITB 6.3. If so specified in the BDS, the Employer shall also promptly publish the Minutes of the pre-Bid meeting at the web page identified in the BDS. Any modification to the Bidding document that may become necessary as a result of the pre-Bid meeting shall be made by the Employer exclusively through the issue of an Addendum pursuant to ITB 8 and not through the minutes of the pre-Bid meeting. Nonattendance at the pre-Bid meeting will not be a cause for disqualification of a Bidder.

8. Amendment of Bidding Document

- 8.1 At any time prior to the deadline for submission of Bids, the Employer may amend the Bidding document by issuing addenda.

- 8.2 Any addendum issued shall be part of the Bidding document and shall be communicated in writing to all who have obtained the Bidding document from the Employer in accordance with ITB 6.3. The Employer shall also promptly publish the addendum on the Employer's web page in accordance with ITB 7.1.
- 8.3 To give Bidders reasonable time in which to take an addendum into account in preparing their Bids, the Employer should extend the deadline for the submission of Bids, pursuant to ITB 22.2.

C. Preparation of Bids

9. Cost of Bidding

- 9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall not be responsible or liable for those costs, regardless of the conduct or outcome of the Bidding process.

10. Language of Bid

- 10.1 The Bid, as well as all correspondence and documents relating to the Bid exchanged by the Bidder and the Employer, shall be written in the language specified in the BDS. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in the language specified in the BDS, in which case, for purposes of interpretation of the Bid, such translation shall govern.

11. Documents Comprising the Bid

- 11.1 The Bid shall comprise the following:
- (a) Letter of Bid prepared in accordance with ITB 12;
 - (b) Schedules including priced Bill of Quantities, completed in accordance with ITB 12 and ITB 14;
 - (c) Bid Security or Bid-Securing Declaration, in accordance with ITB 19.1;
 - (d) Technical Bid - of Base Bid;
 - (e) Commercial Terms and Conditions;
 - (f) Alternative Technical Bid, if permissible, in accordance with ITB 13;
 - (g) Authorization: written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 20.3;

- (h) Eligibility and Qualifications: documentary evidence in accordance with ITB 17 establishing the Bidder's eligibility and qualifications to perform the Contract if its Bid is accepted;
 - (i) Conformity: documentary evidence in accordance with ITB 16 and ITB 30, and in support of above sub-paragraphs (d) and (e) of ITB 11.1, as necessary, to establish that the offered Works and Services, and Terms and Conditions of the Bid conform to the requirements and provisions of the bidding document; and
 - (j) any other document required in the BDS.
- 11.2 In addition to the requirements under ITB 11.1, Bids submitted by a JV shall include a copy of the Joint Venture Agreement entered into by all members. Alternatively, a letter of intent to execute a Joint Venture Agreement in the event of a successful Bid shall be signed by all members and submitted with the Bid, together with a copy of the proposed Agreement.
- 11.3 The Bidder shall furnish in the Letter of Bid information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Bid.
- 11.4 The Bidder shall furnish in the Letter of Bid the names of three potential Dispute Avoidance/Adjudication Board (DAAB) members and attach their curriculum vitae. The list of potential DAAB members proposed by the Employer (Contract Data 21.1) and by the Bidder (Letter of Bid) shall be subject to the Bank's No-objection.

12. Letter of Bid and Schedules

- 12.1 The Letter of Bid and Schedules, including the Bill of Quantities, shall be prepared using the relevant forms furnished in Section IV, Bidding Forms. The forms must be completed without any alterations to the text, and no substitutes shall be accepted except as provided under ITB 20.3. All blank spaces shall be filled in with the information requested.

13. Alternative Bids

- 13.1 Unless otherwise specified in the BDS, alternative Bids shall not be considered. If Alternative Bids are permitted, the BDS shall specify which of the following ITB (s) namely, ITB 13.2, ITB 13.3 and ITB 13.4 shall be considered.
- 13.2 When alternative times for completion are explicitly invited, a statement to that effect will be included in the BDS, and the method of evaluating different

alternative times for completion will be described in Section III, Evaluation and Qualification Criteria.

- 13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the requirements of the Bidding document must first price the Employer's design as described in the Bidding document and shall further provide all information necessary for a complete evaluation of the alternative by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed construction methodology and other relevant details. Only the technical alternatives, if any, of the Bidder with the Lowest Evaluated Bid conforming to the basic technical requirements shall be considered by the Employer.
- 13.4 When specified in the BDS, Bidders are permitted to submit alternative technical solutions for specified parts of the Works. Such parts will be identified in the BDS and described in Section VII, Works' Requirements. The method for their evaluation will be stipulated in Section III, Evaluation and Qualification Criteria.

14. Bid Prices and Discounts

- 14.1 The prices and discounts (including any price reduction) quoted by the Bidder in the Letter of Bid and in the Bill of Quantities shall conform to the requirements specified below.
- 14.2 The Bidder shall fill in rates and prices for all items of the Works described in the Bill of Quantities. Items against which no rate or price is entered by the Bidder shall be deemed covered by the rates for other items in the Bill of Quantities and will not be paid for separately by the Employer. An item not listed in the priced Bill of Quantities shall be assumed to be not included in the Bid, and provided that the Bid is determined substantially responsive notwithstanding this omission, the average or the highest price of the item as specified in the BDS quoted by substantially responsive Bidders will be added to the Bid price and the equivalent total cost of the Bid so determined will be used for price comparison.
- 14.3 The price to be quoted in the Letter of Bid, in accordance with ITB 12.1, shall be the total price of the Bid, excluding any discounts offered.
- 14.4 The Bidder shall quote any discounts and the methodology for their application in the Letter of Bid, in accordance with ITB 12.1, ITB 14.6 and ITB 14.7.
- 14.5 Unless otherwise specified in the BDS and the Conditions of Contract, the rates and prices quoted by the Bidder are subject to adjustment during the performance of the Contract in accordance with the provisions of the Conditions

of Contract. In such a case, the Bidder shall furnish the indices and weightings for the price adjustment formulae in the Schedule of Adjustment Data and the Employer may require the Bidder to justify its proposed indices and weightings. A Bid submitted with a fixed price basis shall not be rejected unless otherwise specified in the BDS and in the latter case, a Bid submitted with fixed price shall be rejected.

- 14.6 **As specified in the BDS**, bids are being invited for “Works” as a single contract (or as one lot); or for individual lots (contracts) each lot containing one or more ‘Works’; or for any combination of lots (packages). Bidders wishing to offer discounts for the award of more than one Contract (lot) shall specify in their Bid the price reductions applicable to each contract (lot) and package as the case may be. Bidders shall fully explain the methodology and calculations for applying such discounts, showing how the reductions are derived and the net amounts of each contract after the application of the offered discounts to individual items. Discounts can be offered only for those items for which the Bidder is required to bid and not for any item where the Employer has included its estimated cost as a fixed sum or a percentage in the Bills of Quantities. Discounts shall be submitted in accordance with ITB 14.4, and ITB 14.6 provided that the Bids for all lots (contracts) are opened at the same time.
- 14.7 Discounts offered shall be clear and without any vagueness or ambiguity to avoid rejection of the bid as no clarification shall be requested or permitted on this account after bid submission. The Employer’s decision on a bid’s discount will be based on the contents of the bid itself, without recourse to any extrinsic evidence. If in the Employer’s opinion, which will be final, a discount offered in the bid: I) is unclear, ambiguous or vaguely presented to the extent that it cannot be either interpreted or applied with reasonable accuracy, the Bid shall be rejected; II) relates to any item of cost for which the Bidder is not required to submit a bid price or the Employer may have indicated the estimated cost e.g. for a provisional sum or contingencies as per the bidding document, then the bid will be evaluated without the application of the discount offered for such item of cost; and III) has minor discrepancy or unclarity which could be interpreted reasonably, the Employer in this case may decide not to reject the bid and apply the discount as it deems reasonable and appropriate resulting in the lowest evaluated cost to the Employer. If the Bidder does not accept the Employer’s decision based on any of the above, the bid shall be rejected.
- 14.8 All duties, taxes, and other levies payable by the Contractor under the Contract, or for any other cause, as of the date 28 days prior to the deadline for submission of Bids, shall be included in the rates and prices and the total Bid Price submitted by the Bidder.

15. Currencies of Bid and Payment

- 15.1 The currency (ies) of the Bid and the currency (ies) of payments shall be as specified in the BDS.
- 15.2 Bidders may be required by the Employer to justify, to the Employer's satisfaction, their local and foreign currency requirements, and to substantiate that the amounts included in the unit rates and prices and shown in the Schedule of Adjustment Data in the Appendix to Bid are reasonable, in which case a detailed breakdown of the foreign currency requirements shall be provided by Bidders.

16. Documents Comprising the Technical Bid

- 16.1 The Bidder shall furnish details of technical specifications proposed in the Technical Bid including a statement of work methods, equipment, personnel, schedule and any other information as stipulated in Section IV, Bidding Forms, or elsewhere in the bidding document, and if applicable, a statement of deviations and exceptions to any of the provisions of the bidding document, in sufficient detail to demonstrate the adequacy of the Bidder's proposal to meet the Employer's work's requirements and the completion time, and to demonstrate substantial responsiveness to the technical specifications required as per the provisions of the Section VII, Work's Requirements.

17. Documents Establishing the Eligibility and Qualifications of the Bidder

- 17.1 To establish Bidder's eligibility in accordance with ITB 4, Bidders shall complete the Letter of Bid, included in Section IV, Bidding Forms.
- 17.2 In accordance with Section III, Evaluation and Qualification Criteria, to establish its qualifications to perform the Contract the Bidder shall provide the information requested in the corresponding forms included in Section IV, Bidding Forms.
- 17.3 If a margin of preference applies as specified in accordance with ITB 33.1, domestic Bidders, individually or in joint ventures, applying for eligibility for domestic preference or regional preference shall supply all information required to satisfy the criteria for eligibility specified in accordance with ITB 33.1.

18. Period of Validity of Bids

- 18.1. Bids shall remain valid for the Bid Validity period specified in the BDS or any extended period if amended by the Employer in accordance with ITB 8. The Bid Validity period starts from the date fixed for the Bid submission deadline (as

prescribed by the Employer in accordance with ITB 22). A Bid valid for a shorter period shall be rejected by the Employer as nonresponsive.

- 18.2 In exceptional circumstances, prior to the expiration of the Bid validity period, the Employer may request Bidders to extend the period of validity of their Bids. The request and the responses shall be made in writing. If a Bid Security is requested in accordance with ITB 19, it shall also be extended for twenty-eight (28) days beyond the deadline of the extended validity period. A Bidder may refuse the request without forfeiting its Bid security. A Bidder granting the request shall not be required or permitted to modify its Bid, except as provided in ITB 18.3.
- 18.3 If the award is delayed by a period exceeding fifty-six (56) days beyond the expiry of the initial Bid validity period, the Contract price shall be determined as follows:
- (a) in the case of fixed price contracts, the Contract price shall be the Bid price adjusted by the factor specified in the BDS to reflect any increase in the cost of inputs over the period of extension, which for the purpose of this adjustment, shall be the period elapsed between the date arrived immediately after expiry of the fifty-six (56) days beyond the initial Bid validity period and the date of notification of award;
 - (b) in the case of adjustable price contracts, no adjustment shall be made; and
 - (c) in any case, Bid evaluation shall be based on the Bid price without taking into consideration the applicable correction from those indicated above.

19. Bid Security

- 19.1 The Bidder shall furnish as part of its Bid, either a Bid-Securing Declaration or a Bid Security amount as specified in the BDS, in original form and, in the case of a Bid Security amount, in the amount and currency specified in the BDS.
- 19.2 A Bid-Securing Declaration shall use the form included in Section IV, Bidding Forms.
- 19.3 If a Bid Security amount is specified pursuant to ITB 19.1, the Bid Security shall be a demand guarantee in any of the following forms at the Bidder's option:
- (a) an unconditional guarantee issued by a bank or non-bank financial institution (such as an insurance, bonding or surety company);
 - (b) an irrevocable letter of credit;

- (c) a cashier's or certified check; or
- (d) another security specified in the BDS,

The Bidders shall obtain the Bid Security from a reputable source from an eligible country. If an unconditional guarantee is issued by a non-bank financial institution located outside the Employer's Country, the issuing non-bank financial institution shall have a correspondent financial institution located in the Employer's Country to make it enforceable unless the Employer has agreed in writing, prior to Bid submission, that a correspondent financial institution is not required. In the case of a bank guarantee, the Bid Security shall be submitted either using the Bid Security Form included in Section IV, Bidding Forms, or in another substantially similar format approved by the Employer prior to Bid submission. The Bid Security shall be valid for twenty-eight (28) days beyond the original validity period of the Bid, or beyond any period of extension if requested under ITB 18.2.

- 19.4 If a Bid Security in amount or Bid-Securing Declaration is specified pursuant to ITB 19.1, any Bid not accompanied by a substantially responsive Bid Security in amount or Bid-Securing Declaration whichever is required, shall be rejected by the Employer as non-responsive.
- 19.5 If a Bid Security is specified pursuant to ITB 19.1, the Bid Security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's signing the Contract and furnishing the Performance Security and if required in the BDS, the Environmental and Social (ES) Performance Security pursuant to ITB 48.
- 19.6 The Bid Security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required Performance Security, and if required in the BDS, the Environmental and Social (ES) Performance Security.
- 19.7 The Bid Security amount may be forfeited:
 - (a) if a Bidder withdraws its Bid during the period of Bid validity specified by the Bidder on the Letter of Bid, or any extension thereto provided by the Bidder; or
 - (b) if the successful Bidder fails to:
 - (i) sign the Contract in accordance with ITB 47; or

- (ii) furnish a Performance Security and if required in the BDS, the Environmental and Social (ES) Performance Security in accordance with ITB 48.

19.8 The Bid Security amount or the Bid-Securing Declaration of a JV shall be in the name of the JV that submits the Bid. If the JV has not been legally constituted into a legally enforceable JV at the time of Bidding, the Bid Security amount or the Bid-Securing Declaration shall be in the names of all future members as named in the letter of intent referred to in ITB 4.1 and ITB 11.2.

19.9 If a Bid Securing Declaration is required in the BDS, pursuant to ITB 19.1, and:

- (a) if a Bidder withdraws its Bid during the period of Bid validity specified by the Bidder on the Letter of Bid or any extension thereto provided by the Bidder; or
- (b) if the successful Bidder fails to:
 - (i) sign the Contract in accordance with ITB 47; or
 - (ii) furnish a Performance Security and, if required in the BDS, the Environmental and Social (ES) Performance Security in accordance with ITB 48,

the Borrower may execute the Bid Securing Declaration, as provided for in the BDS, declare the Bidder ineligible to be awarded a contract by the Employer for a period of time stated in the BDS.

20. Format and Signing of Bid

20.1 The Bidder shall prepare one original of the documents comprising the Bid as described in ITB 11 and clearly mark it "ORIGINAL." Alternative Bids, if permitted in accordance with ITB 13, shall be clearly marked "ALTERNATIVE." In addition, the Bidder shall submit copies of the Bid, in the number specified in the BDS and clearly mark them "COPY." In the event of any discrepancy between the original and the copies, the original shall prevail.

20.2 Bidders shall mark as "CONFIDENTIAL" all information in their Bids which is confidential to their business. This may include proprietary information, trade secrets, or commercial or financially sensitive information.

20.3 The original and all copies of the Bid shall be typed or written in indelible ink and shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as specified in the BDS and shall be attached to the Bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All

pages of the Bid where entries or amendments have been made shall be signed or initialled by the person signing the Bid.

- 20.4 In case the Bidder is a JV, the Bid shall be signed by an authorized representative of the JV on behalf of the JV, and so as to be legally binding on all the members as evidenced by a power of attorney signed by their legally authorized representatives.
- 20.5 Any inter-lineation, erasures, or overwriting shall be valid only if they are signed or initialled by the person signing the Bid.

D. Submission and Opening of Bids

21. Sealing and Marking of Bids

21.1 The Bidder shall deliver the Bid in a single, sealed envelope (one-envelope Bidding process). Within the single envelope the Bidder shall place the following separate, sealed envelopes:

- (a) in an envelope marked "ORIGINAL", all documents comprising the Bid, as described in ITB 11; and
- (b) in an envelope marked "COPIES", all required copies of the Bid; and
- (c) if alternative Bids are permitted in accordance with ITB 13, and if relevant:
 - (i) in an envelope marked "ORIGINAL - ALTERNATIVE BID", the alternative Bid; and
 - (ii) in the envelope marked "COPIES - ALTERNATIVE BID", all required copies of the alternative Bid.

21.2 The inner and outer envelopes shall:

- (a) bear the name and address of the Bidder;
- (b) be addressed to the Employer in accordance with ITB 22.1;
- (c) bear the specific identification of this Bidding process specified in accordance with BDS 1.1; and
- (d) bear a warning not to open before the time and date for Bid opening.

21.3 If all envelopes are not sealed and marked as required, the Employer will assume no responsibility for the misplacement or premature opening of the Bid.

22. Deadline for Submission of Bids

- 22.1 Bids must be received by the Employer at the address and no later than the date and time specified in the BDS. When so specified in the BDS, Bidders shall have the option of submitting their Bids electronically. Bidders submitting Bids electronically shall follow the electronic Bid submission procedures specified in the BDS.
- 22.2 The Employer may, at its discretion, extend the deadline for the submission of Bids by amending the Bidding document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.

23. Late Bids

- 23.1 The Employer shall not consider any Bid that arrives after the deadline for submission of Bids, in accordance with ITB 22. Any Bid received by the Employer after the deadline for submission of Bids shall be declared late, rejected, and returned unopened to the Bidder.

24. Withdrawal, Substitution, and Modification of Bids

- 24.1 A Bidder may withdraw, substitute, or modify its Bid after it has been submitted by sending a written notice, duly signed by an authorized representative, and shall include a copy of the authorization in accordance with ITB 20.3, (except that withdrawal notices do not require copies). The corresponding substitution or modification of the Bid must accompany the respective written notice. All notices must be:
 - (a) prepared and submitted in accordance with ITB 20 and ITB 21 (except that withdrawals notices do not require copies), and in addition, the respective envelopes shall be clearly marked "WITHDRAWAL," "SUBSTITUTION," "MODIFICATION;" and
 - (b) received by the Employer prior to the deadline prescribed for submission of Bids, in accordance with ITB 22.
- 24.2 Bids requested to be withdrawn in accordance with ITB 24.1 shall be returned unopened to the Bidders.
- 24.3 No Bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of Bids and the expiration of the period of Bid validity specified by the Bidder on the Letter of Bid or any extension thereof.

25. Bid Opening

- 25.1 Except in the cases specified in ITB 23 and ITB 24.2, the Employer shall publicly open and read out in accordance with this ITB all Bids received by the deadline, at the date, time and place specified in the BDS, in the presence of Bidders' designated representatives and anyone who chooses to attend. Any specific electronic Bid opening procedures required if electronic Bidding is permitted in accordance with ITB 22.1, shall be as specified in the BDS.
- 25.2 First, envelopes marked "WITHDRAWAL" shall be opened and read out and the envelope with the corresponding Bid shall not be opened but returned to the Bidder. No Bid withdrawal shall be permitted unless the corresponding withdrawal notice contains a valid authorization to request the withdrawal and is read out at Bid opening.
- 25.3 Next, envelopes marked "SUBSTITUTION" shall be opened and read out and exchanged with the corresponding Bid being substituted, and the substituted Bid shall not be opened, but returned to the Bidder. No Bid substitution shall be permitted unless the corresponding substitution notice contains a valid authorization to request the substitution and is read out at Bid opening.
- 25.4 Next, envelopes marked "MODIFICATION" shall be opened and read out with the corresponding Bid. No Bid modification shall be permitted unless the corresponding modification notice contains a valid authorization to request the modification and is read out at Bid opening.
- 25.5 Next, all remaining envelopes shall be opened one at a time, reading out: the name of the Bidder and whether there is a modification; the total Bid Price, per lot (contract) if applicable, including any discounts and alternative Bids; the presence or absence of a Bid Security or Bid-Securing Declaration, if required; and any other details as the Employer may consider appropriate.
- 25.6 Only Bids, alternative Bids and discounts that are opened and read out at Bid opening shall be considered further for evaluation. The Letter of Bid and the Bill of Quantities are to be initialled by representatives of the Employer attending Bid opening in the manner specified in the BDS.
- 25.7 The Employer shall neither discuss the merits of any Bid nor reject any Bid (except for late Bids, in accordance with ITB 23.1).
- 25.8 The Employer shall prepare a record of the Bid opening that shall include, as a minimum:

- (a) the name of the Bidder and whether there is a withdrawal, substitution, or modification;
 - (b) the Bid Price, per lot (contract) if applicable, including any discounts;
 - (c) any alternative Bids;
 - (d) the presence or absence of a Bid Security, if one was required.
- 25.9 The Bidders' representatives who are present shall be requested to sign the record. The omission of a Bidder's signature on the record shall not invalidate the contents and effect of the record. A copy of the record shall be distributed to all Bidders.

E. Evaluation and Comparison of Bids

26. Confidentiality

- 26.1 Information relating to the evaluation of Bids and recommendation of contract award shall not be disclosed to Bidders or any other persons not officially concerned with the Bidding process until information on Intention to Award the Contract is transmitted to all Bidders in accordance with ITB 43.
- 26.2 Any effort by a Bidder to influence the Employer in the evaluation of the Bids or Contract award decisions may result in the rejection of its Bid.
- 26.3 Notwithstanding ITB 26.2, from the time of Bid opening to the time of Contract award, if a Bidder wishes to contact the Employer on any matter related to the Bidding process, it shall do so in writing.

27. Preliminary Examination & Clarification of Bids

- 27.1 Prior to the detailed evaluation, pursuant to ITB 35, the Employer will conduct preliminary examination of all bids that have been received by the deadline for bid submission and opened at the public bid opening as the first step towards determination of their substantial responsiveness to the bidding document. The Employer's determination of a bid's responsiveness is to be based on the contents of the bid itself, as defined in ITB 11 without recourse to extrinsic evidence. The Employer will verify and examine bids to determine whether they are complete, properly signed to bind the bidder, meet eligibility requirements of bidders, materials, equipment, and services, bidders have no conflict of interest and have provided required Bid validity, Bid Security or Bid Securing Declaration, as required and other essential documents to complete the evaluation, and whether the bids are generally in order. Subject to ITB 27.2 and 27.3, Bids failing to meet the above requirements shall be rejected and not retained for further review.

- 27.2 To assist in the examination, evaluation, and comparison of the Bids, and qualification of the Bidders, the Employer may, at its discretion, ask any Bidder for a clarification of its Bid, given a reasonable time for a response. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change, including any voluntary increase or decrease, in the prices or substance of the Bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the Bids, in accordance with ITB 31.
- 27.3 If a Bidder does not provide clarifications of its Bid by the date and time set in the Employer's request for clarification, its Bid may be rejected.

28. Deviations, Reservations, and Omissions

28.1 During the evaluation of Bids, the following definitions apply:

- (a) "Deviation" is a departure from the requirements specified in the Bidding document;
- (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Bidding document; and
- (c) "Omission" is the failure to submit part or all of the information or documentation required in the Bidding document.

29. Determination of Responsiveness

- 29.1 Following the rejection of Bids if any, pursuant to ITB 27, as the next step, the remaining Bids will be further reviewed to determine their substantial responsiveness. The Employer's determination of a Bid's responsiveness is to be based on the contents of the Bid itself, as defined in ITB 11.
- 29.2 A substantially responsive Bid is one that meets the requirements of the Bidding document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,
- (a) if accepted, would:
 - (i) affect in any substantial way the scope, quality, or performance of the Works specified in the Contract; or
 - (ii) limit in any substantial way, inconsistent with the Bidding document, the Employer's rights or the Bidder's obligations under the proposed Contract; or

(b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive Bids.

- 29.3 The Employer shall examine the technical aspects of the Bid in accordance with ITB 16, ITB 17, ITB 29, ITB 30, BDS if applicable, and Section III Evaluation and Qualification Criteria in particular, to confirm that all requirements of Section VII, Works' Requirements have been met without any material deviation, reservation or omission. To this end, in consideration of the materiality of any deviations, reservations or omissions, Bids failing to meet the mandatory technical requirements or minimum pass-fail technical criteria or failing to substantially meet any other technical requirements of the bidding document will risk their rejection.
- 29.4 The Employer shall similarly examine the commercial aspects of the bids including any deviations, other than technical specifications, submitted in response to the provisions of the bidding document, to determine if they conform to the terms and conditions of the draft contract and other documents included in the bidding document without any material deviation, reservation or omission, and establishment of materiality in such aspects will similarly risk rejection of the Bids.
- 29.5 If a Bid is not substantially responsive to the requirements of the Bidding document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission. All other bids determined substantially responsive will be retained for further evaluation.

30. Nonmaterial Nonconformities

- 30.1 Provided that a Bid is substantially responsive, the Employer may waive any nonconformities in the Bid.
- 30.2 Provided that a Bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the Bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price of the Bid. Failure of the Bidder to comply with the request may result in the rejection of its Bid.
- 30.3 Provided that a Bid is substantially responsive, the Employer shall rectify quantifiable nonmaterial nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component and costs associated, if any,

with non-material deviations, reservations and omissions to the requirements of the bidding documents in the manner specified in the BDS.

31. Correction of Arithmetical Errors

31.1 Provided that the Bid is substantially responsive, the Employer shall correct arithmetical errors on the following basis:

- (a) if there is a discrepancy between the unit price and the total price that is obtained by multiplying the unit price and quantity, the unit price shall prevail and the total price shall be corrected, unless in the opinion of the Employer there is an obvious misplacement of the decimal point in the unit price, in which case the total price as quoted shall govern and the unit price shall be corrected;
- (b) if there is an error in a total corresponding to the addition or subtraction of subtotals, the subtotals shall prevail and the total shall be corrected; and
- (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.

31.2 Bidders shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITB 31.1, shall result in the rejection of the Bid.

32. Conversion to Single Currency

32.1 For evaluation and comparison purposes, the currency(ies) of the Bid shall be converted into a single currency as specified in the BDS.

33. Margin of Preference

33.1 Unless otherwise specified in the BDS no margin of domestic or regional preference shall apply. If a margin of preference is applicable, the application methodology shall be as specified in Section III, Evaluation and Qualification Criteria, and in accordance with the provisions stipulated in the Bank's Procurement Framework.

34. Subcontractors

34.1 Unless otherwise stated in the BDS, the Employer does not intend to execute any specific elements of the Works by subcontractors selected in advance by the Employer.

- 34.2 Bidders may propose subcontracting up to the percentage of total value of contracts or the volume of works as specified in the BDS. Subcontractors proposed by the Bidder shall be fully qualified for their parts of the Works.
- 34.3 The subcontractor's qualifications shall not be used by the Bidder to qualify for the Works unless their specialized parts of the Works were previously designated by the Employer in the BDS as can be met by subcontractors referred to hereafter as 'Specialized Subcontractors', in which case, the qualifications with respect to only the specific work experience of the Specialized Subcontractors proposed by the Bidder may be added to the qualifications of the Bidder.

35. Evaluation of Bids

- 35.1 The Employer shall use the criteria and methodologies listed in this ITB and Section III, Evaluation and Qualification Criteria. No other evaluation criteria or methodologies shall be permitted. By applying the criteria and methodologies the Employer shall determine the successful Bid or Bids in accordance with ITB 40.
- 35.2 To evaluate Bids, the Employer shall consider the following factors, in accordance with the evaluation and award criteria as applicable for single contract (one lot), lots (contracts) or packages (combination of lots) and as specified under Section III-Evaluation and Qualification Criteria:
- a) the Bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Summary Bill of Quantities, but including Daywork items, where priced competitively;
 - b) price adjustment for correction of arithmetic errors in accordance with ITB 31.1;
 - c) price adjustment due to discounts offered in accordance with ITB 12.1, ITB 14.4, ITB 14.6 and ITB 14.7;
 - d) converting the amount resulting from applying (a) to (c) above, if relevant, to a single currency in accordance with ITB 32;
 - e) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITB 30.3;
 - f) the additional evaluation factors specified in the BDS and Section III, Evaluation and Qualification Criteria; and
 - g) price adjustment due to application of Margin of Preference, if applicable, as per BDS of ITB 33.1, and Section III, Evaluation and Qualification Criteria.

- 35.3 The estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Bid evaluation.
- 35.4 If this Bidding document allows Bidders to quote separate prices for different lots (contracts), the methodology to determine the lowest evaluated cost of the lot (contract) combinations, including any discounts offered in the Letter of Bid, is specified in Section III, Evaluation and Qualification Criteria.

36. Comparison of Bids

- 36.1 The Employer shall compare the evaluated costs of all substantially responsive Bids established in accordance with ITB 35.2 to determine the Bid that has the lowest evaluated cost.

37. Abnormally Low Bids

- 37.1 An Abnormally Low Bid is one where the Bid price, in combination with other elements of the Bid, appears so low that it raises material concerns as to the capability of the Bidder in regards to the Bidder's ability to perform the Contract for the offered Bid Price.
- 37.2 In the event of identification of a potentially Abnormally Low Bid, the Employer shall seek written clarifications from the Bidder, including detailed price analyses of its Bid price in relation to the subject matter of the contract, scope, proposed methodology, schedule, allocation of risks and responsibilities and any other requirements of the Bidding document.
- 37.3 After evaluation of the price analyses, in the event that the Employer determines that the Bidder has failed to demonstrate its capability to perform the Contract for the offered Bid Price, the Employer may reject the Bid.

38. Unbalanced or Front-Loaded Bids

- 38.1 If the Bid that is evaluated as the lowest evaluated cost is, in the Employer's opinion, seriously unbalanced or front loaded, the Employer may require the Bidder to provide written clarifications. Clarifications may include detailed price analyses to demonstrate the consistency of the Bid prices with the scope of works, proposed methodology, schedule and any other requirements of the Bidding document.
- 38.2 After the evaluation of the information and detailed price analysis presented by the Bidder, the Employer may as appropriate:
- (a) accept the Bid; or

- (b) require that the total amount of the Performance Security be increased at the expense of the Bidder to a level not exceeding 20% of the Contract Price; or
- (c) reject the Bid.

39. Qualifications of the Bidder

- 39.1 The Employer shall determine to its satisfaction whether the eligible Bidder or Bidders that is/are selected as having submitted the lowest evaluated cost and substantially responsive Bid(s), substantially meet the qualifying criteria specified in Section III, Evaluation and Qualification Criteria. To this end, the Employer will determine for which Lots and Packages, and/or their combinations, as the case may be, for which Bidder submitted bid, it substantially meets the respective minimum qualification criteria.
- 39.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB 17. The determination shall not take into consideration the qualifications of other firms such as the Bidder's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the Bidding document), or any other firm(s) different from the Bidder.
- 39.3 An affirmative determination shall be a prerequisite for award of the Contract to the Bidder. A negative determination shall result in disqualification of the Bid, in which event the Employer shall proceed to the Bidder or Bidders who offered substantially responsive Bid or Bids with the next lowest evaluated cost to make a similar determination of such Bidders' qualifications to perform satisfactorily.
- 39.4 The Employer reserves the right to waive minor deviations from the qualification criteria if they do not materially affect the technical capability and financial resources of the Bidder to perform the Contract or combination of Contracts.

40. Successful Bid or Bids

- 40.1 Having compared the evaluated costs of Bids, the Employer shall determine the successful Bid or combination of Bids as the case may be, in accordance with the additional Bid Evaluation Criteria as further described in Section III. Such Bid or Bids would be those which has/have been determined to:
 - (a) be substantially responsive to the Bidding document;
 - (b) offer the lowest evaluated cost to the Employer for all works to be procured based on either a single Contract or all multiple Contracts combined, as the case may be, in accordance with the ITB 14.6 inviting bid prices and

discounts, and provisions made in the Bidding Document for evaluation of bids and award of contract (s); and

- (c) be offered by Bidder or Bidders that substantially meet the qualification criteria applicable for Contract or combination of Contracts for which they are selected.

41. Employer's Right to Accept Any Bid, and to Reject Any or All Bids

- 41.1 The Employer reserves the right to accept or reject any Bid and to annul the Bidding process and reject all Bids at any time prior to Contract Award, without thereby incurring any liability to Bidders. In case of annulment, all Bids submitted and specifically, Bid securities, shall be promptly returned to the Bidders.

42. Standstill Period

- 42.1 The Contract shall not be awarded earlier than the expiry of the Standstill Period. The Standstill Period shall be ten (10) Business Days unless extended in accordance with ITB 46. The Standstill Period commences the day after the date the Employer has transmitted to each Bidder the Notification of Intention to Award the Contract. Where only one Bid is submitted, or if this contract is in response to an emergency situation recognized by the Bank, the Standstill Period shall not apply.

43. Notification of Intention to Award

- 43.1 The Employer shall send to each Bidder the Notification of Intention to Award the Contract to the successful Bidder. The Notification of Intention to Award shall contain, at a minimum, the following information:
 - (a) the name and address of the Bidder submitting the successful Bid;
 - (b) the Contract price of the successful Bid;
 - (c) the names of all Bidders who submitted Bids, and their Bid prices as readout, and as evaluated;
 - (d) a statement of the reason(s) the Bid (of the unsuccessful Bidder to whom the notification is addressed) was unsuccessful, unless the price information in c) above already reveals the reason;
 - (e) the expiry date of the Standstill Period; and
 - (f) instructions on how to request a debriefing and/or submit a complaint during the standstill period;

F. Award of Contract

44. Award Criteria

44.1 Subject to ITB 41, and unless otherwise specified in the BDS, the Employer shall award the Contract or Contracts to the Bidder or Bidders whose Bid or Bids has/have been determined successful in accordance with ITB 40.

45. Notification of Award

45.1 Prior to the expiry of the Bid Validity Period and upon expiry of the Standstill Period specified in ITB 42.1 or any extension thereof, and, upon satisfactorily addressing any complaint that has been filed within the Standstill Period, the Employer shall notify the successful Bidder, in writing, that its Bid has been accepted. The notification of award (hereinafter and in the Conditions of Contract and Contract Forms called the "Letter of Acceptance") shall specify the sum that the Employer will pay the Contractor in consideration of the execution of the Contract (hereinafter and in the Conditions of Contract and Contract Forms called "the Contract Price").

45.2 Within ten (10) Business Days after the date of transmission of the Letter of Acceptance, the Employer shall publish the Contract Award Notice which shall contain, at a minimum, the following information:

- (a) name and address of the Employer;
- (b) name and reference number of the contract being awarded, and the selection method used;
- (c) names of all Bidders that submitted Bids, and their Bid prices as read out at Bid opening, and as evaluated;
- (d) names of all Bidders whose Bids were rejected either as nonresponsive or as not meeting qualification criteria, or were not evaluated, with the reasons therefor;
- (e) the name of the successful Bidder, the final total contract price, the contract duration and a summary of its scope; and
- (f) successful Bidder's Beneficial Ownership Disclosure Form, if specified in BDS ITB 47.1

45.3 The Contract Award Notice shall be published on the Employer's website with free access if available, or in at least one newspaper of national circulation in the Employer's Country, or in the official gazette. The Employer shall also publish the contract award notice in UNDB online.

- 45.4 Until a formal Contract is prepared and executed, the Letter of Acceptance shall constitute a binding Contract.

46. Debriefing by the Employer

- 46.1 On receipt of the Employer's Notification of Intention to Award referred to in ITB 43.1, an unsuccessful Bidder has three (3) Business Days to make a written request to the Employer for a debriefing. The Employer shall provide a debriefing to all unsuccessful Bidders whose request is received within this deadline.
- 46.2 Where a request for debriefing is received within the deadline, the Employer shall provide a debriefing within five (5) Business Days, unless the Employer decides, for justifiable reasons, to provide the debriefing outside this timeframe. In that case, the standstill period shall automatically be extended until five (5) Business Days after such debriefing is provided. If more than one debriefing is so delayed, the standstill period shall not end earlier than five (5) Business Days after the last debriefing takes place. The Employer shall promptly inform, by the quickest means available, all Bidders of the extended standstill period.
- 46.3 Where a request for debriefing is received by the Employer later than the three (3) Business Days deadline, the Employer should provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of Public Notice of Award of contract. Requests for debriefing received outside the three (3) days deadline shall not lead to extension of the standstill period.
- 46.4 Debriefings of unsuccessful Bidders may be done in writing or verbally. The Bidder shall bear its own costs of attending such a debriefing meeting.

47. Signing of Contract

- 47.1 The Employer shall send to the successful Bidder the Letter of Acceptance including the Contract Agreement, and, if specified in the BDS, a request to submit the Beneficial Ownership Disclosure Form providing additional information on its beneficial ownership. The Beneficial Ownership Disclosure Form, if so requested, shall be submitted within eight (8) Business Days of receiving this request.
- 47.2 The successful Bidder shall sign, date and return to the Employer, the Contract Agreement within twenty-eight (28) days of its receipt.

48. Performance Security

- 48.1 Within twenty-eight (28) days of the receipt of the Letter of Acceptance from the Employer, the successful Bidder shall furnish the Performance Security and, if required in the BDS, the Environmental and Social (ES) Performance Security in accordance with the General Conditions of Contract, subject to ITB 38.2 (b), using for that purpose the Performance Security and ES Performance Security Forms included in Section X, Contract Forms, or another form acceptable to the Employer. If the Performance Security furnished by the successful Bidder is in the form of a bond, it shall be issued by a bonding or insurance company that has been determined by the successful Bidder to be acceptable to the Employer. A foreign institution providing a bond shall have a correspondent financial institution located in the Employer's Country, unless the Employer has agreed in writing that a correspondent financial institution is not required.
- 48.2 Failure of the successful Bidder to submit the above-mentioned Performance Security and, if required in the BDS, the Environmental and Social (ES) Performance Security, or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the Bid Security. In that event the Employer may award the Contract to the Bidder or Bidders offering the next Lowest Evaluated Cost to the Employer as per the bid evaluation and award criteria.

49. Procurement Related Complaint

- 49.1 The procedures for making a Procurement-related Complaint are as specified in the BDS.

Section II: Bid Data Sheet (BDS)

The following specific data for the Works to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in the ITB.

A. General	
ITB 1.1	<p>The reference number of the Invitation for Bids (IFB) is: KCCA-KCRRP/WORKS/2021-2022/00005/3</p> <p>The Employer is: Kampala Capital City Authority</p> <p>Name of Works: Upgrading to paved standard of 9.54km of roads, reconstruction of 6.72km of roads and Channelization of 3.9Km of Drainages including signalization of 5 Junctions in Lubaga, Kawempe Makindye Division and Wakiso District (Lot 5)</p> <p>The name of the IFB is: Open Competitive Bidding International (OCBI)</p> <p>The number and identification of works under single or multiple lots (contracts) comprising this IFB is: Lot 5</p>
ITB 2.1	<p>The Borrower is: The Government of the Republic of Uganda</p> <p>Loan or Financing Agreement amount: US\$ 288.00 million</p> <p>The Specific Bank financing institution is: The African Development Bank</p> <p>The name of the Project is: Kampala City Roads Rehabilitation Project (KCRRP)</p>
ITB 4.1 (a)	The firms in a Joint Venture, Consortium or Association (JV) shall be jointly and severally liable.
ITB 4.1 (c)	Maximum number of members in the Joint Venture, Consortium or Association (JV) shall be: 04
ITB 4.1 (d)	Minimum share of a member of Joint Venture, Consortium or Association (JV) in the contract shall not be less than 25% of the total value of the contract.
ITB 4.5	A list of debarred firms and individuals is available on the Bank's external website: https://www.afdb.org/en/projects-operations/debarment-and-sanctions-procedures

B. Contents of Bidding Document	
ITB 7.1	<p>For <u>Clarification of Bid purposes</u> only, the Employer's address is:</p> <p>Attention: The Head, Procurement and Disposal Unit</p> <p>Address: Plot 1-3 Apollo Kaggwa Road</p> <p>Floor/ Room number: City Hall, Block B, Floor 1, Room B114</p> <p>City: Kampala</p> <p>ZIP Code: + 256</p> <p>Country: Uganda</p> <p>Telephone: + 256 0204660015</p> <p>Facsimile number: Not Available</p> <p>Electronic mail address: procurement@kcca.go.ug;</p> <p>Web page: www.kcca.go.ug;</p>
ITB 7.4	<p>A Pre-Bid meeting shall take place at the following date, time and place:</p> <p>Date: 27th August, 2021</p> <p>Time: 10:00 hours</p> <p>Place: Online via Microsoft Teams</p> <p>A site visit conducted by the Employer shall be organized</p> <p>The Site Visits will take place at the following date and time:</p> <p>Date: 24th August, 2021</p> <p>Time: 10:00 hours</p> <p>Place: Kasubi junction</p> <p>Note: Bidders are advised to send experienced and competent personnel for the site visits, for the purposes of assessing the risks, contingencies and other circumstances which may influence or affect the bid or the Works including, but not limited to, methods of construction of the various parts (components) of the Works, sources of materials and delivery of resources to Site. Bidders will be responsible for their transport to the respective sites.</p>
ITB 7.6	<p>Web page: The website for publishing Minutes of Pre-Bid Meeting shall be: https://www.kcca.go.ug/tenders;</p>

C. Preparation of Bids	
ITB 10.1	<p>The language of the Bid is: English.</p> <p>All correspondence exchange shall be in English language.</p> <p>Language for translation of supporting documents and printed literature is English.</p>
ITB 11.1 (j)	<p>The Bidder shall submit the following additional documents in its Bid:</p> <ul style="list-style-type: none"> i. A certificate as evidence/reference letters demonstrating good performance from all recent Employers within the last five (5) years to confirm satisfactory performance. ii. List of employers in the past the (3) years; iii. Where a Joint Venture is proposed, a copy of the Joint Venture Agreement entered into by all partners or a letter of intent to form a Joint Venture; iv. For bidders registered outside Uganda or non-Ugandan Contractors, at least one similar contract cited as previous experience should be outside the Bidder's country. <p>The list of additional documents should also include the following:</p> <p>Code of Conduct for Contractor's Personnel (ES)</p> <p>The Bidder shall submit its Code of Conduct that will apply to Contractor's Personnel (as defined in Sub- Clause 1.1.17 of the General Conditions of Contract), to ensure compliance with the Contractor's Environmental and Social (ES) obligations under the Contract. The Bidder shall use for this purpose the Code of Conduct form provided in Section IV. No substantial modifications shall be made to this form, except that the Bidder may introduce additional requirements, including as necessary to take into account specific Contract issues/ risks.</p> <p>Management Strategies and Implementation Plans (MSIP) to manage the (ES) risks</p> <p>The Bidder shall submit Management Strategies and Implementation Plans (MSIPs) to manage Environmental and Social (ES) risks indicated in Volume 5: Environmental and Social Management Plan</p>

ITB 13.1	Alternative Bids shall not be considered .
ITB 13.2	Alternative times for completion shall not be permitted.
ITB 13.3	Technical Alternative as per ITB 13.3 shall not be permitted.
ITB 13.4	Alternative technical solutions shall not be permitted.
ITB 14.2	The adjustment shall be based on the highest price of the item as quoted in other substantially responsive Bids.
ITB 14.5	The prices quoted by the Bidder shall be: subject to adjustment . A bid submitted with fixed price shall not be rejected.
ITB 14.6	Bids are invited, and Bidders shall bid for 'Works' as a single contract .
ITB 15.1	<p>The currency(ies) of the Bid and the payment currency(ies) shall be as described below:</p> <p>(a) The unit rates and the prices shall be quoted by the Bidder in the Bill of Quantities, entirely in Uganda Shillings (UGX), and further referred to as "the local currency". A Bidder expecting to incur expenditures in other currencies for inputs to the Works supplied from outside the Employer's Country (referred to as "the foreign currency requirements") shall indicate in the Appendix to Bid - Table C, the percentage(s) of the Bid Price (excluding Provisional Sums), needed by the Bidder for the payment of such foreign currency requirements, limited to no more than three foreign currencies.</p> <p>(b) The rates of exchange to be used by the Bidder in arriving at the local currency equivalent and the percentage(s) mentioned in (a) above shall be specified by the Bidder in the Appendix to Bid - Table C, and shall apply for all payments under the Contract so that no exchange risk will be borne by the successful Bidder.</p>
ITB 18.1	The Bid validity period shall be valid for 126 days from the date of bid submission.
ITB 18.3 (a)	The Bid price shall be adjusted by the following factor(s): N/A
ITB 19.1	<p>A Bid Security amount shall be required.</p> <p>The amount and currency of the Bid Security is: UGX. 3,700,000,000 (Uganda Shillings Three Billion, Seven Hundred Million) or USD 1,000,000.00 (United States Dollars One Million only) or its equivalent in any other freely convertible currency.</p>

	Note: The Bid Security shall be in form of a Bank Guarantee in the format in Section IV of this bidding document and shall remain valid for 28 days beyond the bid validity period.
ITB 19.3 (d)	Other types of acceptable securities: None
ITB 20.1	<p>In addition to the original of the Bid, the number of copies is: Three (3) and 1 (one) soft copy of the entire Bid.</p> <p>A soft copy of the bidder's priced BoQ (MS Excel (xlsx or xls) shall also be part of the submission.</p> <p>In the event of any discrepancy between the hardcopy and the soft copy, the hardcopy shall prevail.</p>
ITB 20.3	<p>The written confirmation of authorization to sign on behalf of the Bidder shall be in form of:</p> <ul style="list-style-type: none"> (a) a Power of Attorney; and (b) In the case of Bids submitted by an existing or intended Joint Venture, Consortium or Association (JVCA) an undertaking signed by all parties shall: <ul style="list-style-type: none"> (i) state that all parties shall be jointly and severally liable, if so required in accordance with ITB 4.1(a), and (ii) nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the parties of the Joint Venture, Consortium or Association (JVCA) during the bidding process and, in the event the Joint Venture, Consortium or Association (JVCA) is awarded the Contract, during contract execution.
D. Submission and Opening of Bids	
ITB 22.1	<p>For <u>Bid submission purposes</u> only, the Employer's address is:</p> <p>Attention: The Head, Procurement and Disposal Unit</p> <p>Street Address: Plot 1-3 Apollo Kaggwa Road</p> <p>Floor/ Room number: 1st Floor, Block B, Room B117</p> <p>City: Kampala</p> <p>Country: Uganda</p> <p>The deadline for Bid submission is:</p> <p>Date: Friday 24th September, 2021</p> <p>Time: 11:00 hours (Kampala Local Time)</p> <p>Bidders shall not have the option of submitting their Bids electronically.</p>

ITB 25.1	<p>The Bid opening shall take place at:</p> <p>Street Address: Plot 1-3 Apollo Kaggwa Road</p> <p>Floor/ Room number: KCCA Upper Compound</p> <p>City: Kampala</p> <p>Country: Uganda</p> <p>Date: Friday 24th September, 2021</p> <p>Time: 11:30 hours (Kampala Local Time)</p>
ITB 25.6	<p>The Letter of Bid and priced Bill of Quantities shall be initialled by one (1) representative of the Employer conducting Bid opening or the Chairperson of the Bid opening session.</p>
E. Evaluation, and Comparison of Bids	
ITB 30.3	<p>The adjustment with respect to a missing or non-conforming item or component, and costs associated, if any, with non-material deviations, reservations or omissions to the requirements of the bidding document shall be based on the highest price of the item or component and cost, if any, of non-material deviations, reservations or omissions as quoted in or derived from other substantially responsive Bids unless any other specific evaluation criteria has been provided elsewhere in the bidding document for such adjustments in which case the latter shall be applied.</p> <p>If the price or cost of any of the above cannot be derived from the price of other substantially responsive Bids, the Employer shall use its best estimate based on its own judgment, past experience or market search, as considered appropriate.</p>
ITB 32.1	<p>The currency that shall be used for Bid evaluation and comparison purposes to convert at the selling exchange rate all Bid prices expressed in various currencies into a single currency is: Uganda Shillings (UGX)</p> <p>The source of exchange rate shall be The Bank of Uganda</p> <p>The date for the exchange rate shall be: 28 days prior to the deadline for submission of bids.</p> <p>The currency(ies) of the Bid shall be converted into a single currency in accordance with the procedure follows:</p>

	<p>For comparison of Bids, the Bid Price, corrected pursuant to ITB 31, shall first be broken down into the respective amounts payable in various currencies by using the selling exchange rates specified by the Bidder in accordance with ITB 15.1.</p> <p>In the second step, the Employer will convert the amounts in various currencies in which the Bid Price is payable (excluding Provisional Sums but including Daywork where priced competitively) to the single currency identified above at the selling rates established for similar transactions by the authority specified and on the date stipulated above.</p>
ITB 33.1	<p>A margin of domestic preference shall not apply.</p> <p>A margin of regional preference shall not apply.</p>
ITB 34.1	At this time the Employer does not intend to execute certain specific parts of the Works by subcontractors selected in advance.
ITB 34.2	<p>Contractor's proposed subcontracting: Maximum percentage of subcontracting permitted is: 40% of the total contract amount.</p> <p>Bidders planning to subcontract more than 10% of total volume of work shall specify, in the Letter of Bid, the activity (ies) or parts of the Works to be subcontracted along with complete details of the subcontractors and their qualification and experience.</p>
ITB 34.3	Not Applicable
ITB 35.2 (f)	Other Criteria: Additional requirements apply. These are detailed in the evaluation criteria in Section III, Evaluation and Qualification Criteria.
39.1	<p>The Bidder shall include in its bid the current and active contact information including <u>Telephone No.</u>, <u>email address</u> and <u>physical location</u> of referees and previous Employers, as well as the <u>address of the location of the works</u>. Bidders are therefore advised to ensure that the contacts provided are current since failure to reach them may disadvantage them.</p>

F. Award of Contract	
ITB 47.1	The successful Bidder shall submit the Beneficial Ownership Disclosure Form.
ITB 48.1 and 48.2	The successful Bidder shall be required to submit an Environmental and Social (ES) Performance Security.
ITB 49.1	<p>The procedures for making a Procurement-related Complaint are detailed in the Part B of the Operations Procurement Manual under the Procurement Framework of the African Development Bank. If a Bidder wishes to make a Procurement-related Complaint, the Bidder shall submit its complaint following these procedures to the employer, in writing (by the quickest means available, such as by email in accordance with the following:</p> <p>For the attention: Dorothy Kisaka Title/position: Executive Director Employer: Kampala Capital City Authority Email address: dkisaka@kcca.go.ug</p> <p>In summary, a Procurement-related Complaint may challenge any of the following:</p> <ol style="list-style-type: none"> 1. the terms of the Bidding Documents; 2. the purchaser's decision to exclude a bidder from the procurement process prior to the award of contract; and 3. the Employer's decision to award the contract. <p>The Bank's Procurement Framework stipulates that bidders may send copies of their communications with the Borrowers to the Bank or write to the Bank directly when, Borrowers do not respond promptly, any questions on any issues regarding the implementation of Bank funded projects, or when the communication is a complaint against the Borrower. In this regard, if a bidder wishes to protest against a decision made by a Borrower or the Bank with regards to the procurement process or wishes to inform the Bank that the Bank's procurement rules and/or provisions of the bidding documents have not been complied with, an email can be sent to the following address:</p> <p>Email: procurementcomplaints@afdb.org</p>

Section III - Evaluation and Qualification Criteria

This section contains all the criteria that the Employer shall use to evaluate Bids and qualify Bidders. No other factors, methods or criteria shall be used other than specified in this Bidding document. The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms. Wherever a Bidder is required to state a monetary amount, Bidders should indicate the USD equivalent using the rate of exchange determined as follows:

- For construction turnover or financial data required for each year - Exchange rate prevailing on the last day of the respective calendar year (in which the amounts for that year is to be converted) was originally established.
- Value of single contract - Exchange rate prevailing on the date of the contract.

Exchange rates shall be taken from the publicly available source identified in the ITB 32.1. Any error in determining the exchange rates in the Bid may be corrected by the Employer.

Table of Criteria

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1. Successful Bid or Bids & Evaluation

In continuation and accordance with ITB 40, the Employer shall use the criteria and methodologies listed in this Section to evaluate Bids. By applying these criteria and methodologies the Employer shall determine the Successful Bid or Bids which has/have been determined to:

- (a) be substantially responsive to the Bidding document;
- (b) offer the lowest evaluated cost to the Employer for all works to be procured based on either a single Contract or all multiple Contracts combined, as the case may be, in accordance with the ITB 14.6 inviting bid prices and discounts, and provisions made of the Bidding Document for evaluation of bids and award of contract (s); and
- (c) be offered by Bidder or Bidders that substantially meet the qualification criteria applicable for Contract or combined Contracts for which they are selected.

1.1 Evaluation (ITB 35)

In addition to the criteria listed in ITB 35.2 and BDS, the following criteria shall apply:

- (i) Assessment of adequacy of Technical Proposal with Requirements (Reference ITB 16 and ITB 29.3): The Employer will determine whether the Bids are substantially responsive to the Technical Requirements.

Evaluation of the Bidder's Technical Proposal will include an assessment of the Bidder's technical capacity to mobilize key equipment and personnel for the contract consistent with its proposal regarding work methods, scheduling, and material sourcing in sufficient detail and fully in accordance with the requirements stipulated in Section VII, Works' Requirements.

The Bidder will be required to submit the following to facilitate the evaluation process:

- Site Organisation - In this section, the bidder is required to provide an organogram of the human resource that will execute this project. This should include both the Head Office and Site Office staff
- Method Statement - In this section, the bidder is required to give a detailed statement of how he intends to execute the project inclusive of but not limited to the following; **acquiring the necessary permits and permissions, relocation of utilities, erection of temporary site facilities and permanent civil works**
- Mobilisation Schedule - In this section, the bidder is required to make a detailed schedule of the mobilization and demobilization of all the necessary manpower and equipment. This schedule should be produced in MS Project 2016; duration allocated to each task, indicating

milestones, expected shipping time for overseas plant, equipment and materials and travel in and out of the country for the expected expatriate staff. A softcopy of this schedule should be attached in CD format to the bids

- Construction Schedule - In this section, the bidder is required to make a **detailed schedule of the civil works construction phase**. This schedule should be produced in **MS Project 2016**; well-resourced with the expected manpower and equipment expected to undertake each particular task, duration allocated to each task and indicating milestones. A softcopy of this schedule should be attached in CD format to the bids.

- (ii) Assessment of adequacy of Commercial Terms and Conditions of the Bid (Reference ITB 29.4): The Employer will determine whether the Bids are substantially responsive to the Commercial and Contractual Terms and Conditions.

The particular requirements under the Contract which Bidders shall specifically confirm or provide information to make this assessment is, but not limited to;

- acceptance of the conditions of the proposed contract;
- acceptable completion schedule;
- acceptability of the proposed program (work method and schedule), including relevant drawings and charts;
- acceptable payment terms

1.2 Single and Multiple Contracts

Pursuant to ITB 14.6, and ITB 35.4 and in accordance with how bids are invited either for "Works" or Works are grouped in lots (contracts) or packages (one or more lots) and bids are invited for multiple lots or packages, evaluation and award will be as follows:

'Works'

Evaluation and Award Criteria for 'Works' as One (Single) Contract: The bids will be evaluated for 'Works' and the contract will be awarded to the Bidder offering the lowest evaluated cost to the Employer for 'Works', subject to the selected Bidder substantially meeting the required qualification criteria for the contract, and determination of substantial responsiveness of the Bid.

1.3 Alternative Completion Times

Alternative time for completion is not permitted. Bids offering completion time longer than that specified under Part A-Contract Data under Section IX-Particular Conditions of Contract shall be rejected.

1.4 Sustainable Procurement: Based on the following main considerations:

- i) Socio-economic consideration: ESHS management strategies and implementation plans (ESHS MSIPs) including purpose, objectives/goals, ownership of the document.
 - a) Management structure; including Management framework/organization, key ESHS staffing and definition of duties and responsibilities;
 - b) ESHS analysis – comprehensiveness; including the detail of ESHS aspects, scope and internal controls
 - c) Supportive/incidental plans; including presentation in relative detail of;
 - Community Management/engagement plan, strategy for acquisition of approvals (and demonstrated knowledge of these approvals),
 - Traffic Management Plan, Safety Plan, Labour Management Plan, Anti-Sexual Harassment strategy/Policy, Grievance Redress Mechanism, etc.

ii) Environmentally and Socially Responsible Procurement:

a) Ownership

This refers to the structure and content of the ESHS documentation i.e. Management Strategies and Implementation Plans.

The documentation should clearly demonstrate the bidder's ownership to their bid. This shall be shown by integration of safeguards, aspects including competent staffing into the bidders' organogram at the project level, and/or within their structure at their headquarters. Ownership shall also be reflected in reference to the Bidder's performance in safeguards management on previous assignments and reflection of ESHS management system as part of their performance culture.

b) Scope

The scope relates to the level of detail and coverage of the project components. Bidders are required to adequately present the related national ESHS policy and legal framework, potential impacts and risks from the implementation of the proposed project and mitigation measures.

The scope shall also include the bidder's coverage of support facilities including the Workers' camps, equipment yards, material sources (stone quarries, borrow pits, sand quarries, material stockpiles, etc.); strategies for acquisition of statutory approvals, balanced presentation on ESHS and internal ESHS controls (monitoring and reporting mechanisms).

The sustainable procurement technical requirements will be evaluated on a pass/fail (compliance basis)

1.5 Alternative Technical Solutions for specified parts of the Works

Alternative Technical Solutions are not permitted

1.6 Specialized Subcontractors

Not Applicable

2. Qualification

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
1. Eligibility							
1.1.1	Nationality	Nationality in accordance with ITB 4.4	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid & Forms ELI – 1.1 and 1.2, with attachments
1.1.2	Eligibility of Materials, Equipment and Services	Country of Origin in accordance with ITB 5	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid & Form ELI-1.3
1.2	Conflict of Interest	No conflicts of interest in accordance with ITB 4.2	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
1.3	Bank Eligibility	Not having been declared ineligible by the Bank, as described in ITB 4.5.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid
1.4	State- owned Enterprise or Institution of the Borrower country	Meets conditions of ITB 4.6	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
1.5	United Nations resolution or Borrower's country law	Not having been excluded as a result of prohibition in the Borrower's country laws or official regulations against commercial relations with the Bidder's country, or by an act of compliance with UN Security Council resolution, both in accordance with ITB 4.8 and Section V.	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Forms ELI – 1.1 and 1.2, with attachments
2. Historical Contract Non-Performance							
2.1	History of Non-Performing Contracts	Non-performance of a contract that did not occur as a result of contractor default since 1 st January 2015.	Must meet requirement	Must meet requirements	Must meet requirement	N/A	Form CON-2
2.2	Suspension Based on Execution of	Not under suspension based on-execution of a Bid Securing Declaration	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Letter of Bid

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
	Bid Securing Declaration by the Employer or withdrawal of the Bid within Bid validity period or other failures	or other failures pursuant to ITB 4.7 and ITB 19.9					
2.3	Pending Litigation	Bid's financial position and prospective long-term profitability still sound according to criteria established in 3.1 below and assuming that all pending litigation will be resolved against the Bidder	Must meet requirement	N/A	Must meet requirement	N/A	Form CON – 2
2.4	Litigation History	No consistent history of court/arbitral award decisions against the	Must meet requirement	Must meet requirement	Must meet requirement	N/A	Form CON – 2

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		Bidder since 1 st January 2015					
2.5	Declaration: Environmental and Social (ES) past performance	Declare any civil work contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the non-compliance of any environmental, or social (including sexual exploitation and abuse (SEA) and gender-based violence (GBV)), or health or safety requirements or safeguard in the past five years. ¹	Must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-contractor/s must also make the declaration.	N/A	Each must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-contractor/s must also make the declaration.	N/A	Form CON-3 ES Performance Declaration

¹ The Employer may use this information to seek further information or clarifications in carrying out its due diligence.

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
3. Financial Situation and Performance							
3.1	Financial Capabilities	(i) The Bidder shall demonstrate that it has access to, or has available, liquid assets, unencumbered real assets, lines of credit, and other financial means (independent of any contractual advance payment) sufficient to meet the construction cash flow requirements estimated as USD \$ 5 million for the subject contract(s) net of the Bidder's other commitments	Must meet requirement	Must meet requirement	Must meet at least twenty-five percent (25%) of the requirement	Must meet at least forty percent (40%) of the requirement	Form FIN – 3.1, 3.3 and 3.4 with attachments
		(ii) The Bidders shall also demonstrate, to the satisfaction of the	Must meet requirement	Must meet requirement			

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		Employer, that it has adequate sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments. (iii) The audited balance sheets or, if not required by the laws of the Bidder's country, other financial statements acceptable to the Employer, for the last five (5) years shall be submitted and must demonstrate the current soundness of the Bidder's financial position and indicate its prospective long-term profitability.	Must meet requirement	N/A			

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
3.2	Average Annual Construction Turnover	Minimum average annual construction turnover of USD 33 million calculated as total certified payments received for contracts in progress and/or completed within the last 5 years, divided by 2 years	Must meet requirement	Must meet requirement	Must meet at least 50% of the requirement	Must meet at least 75% of the requirement	Form FIN – 3.2
4. Experience							
4.1 (a)	General Construction Experience	Experience under construction contracts in the role of prime contractor, JV member, sub-contractor, or management contractor for at least the last ten (10) years, starting 1 st January 2010 .	Must meet requirement	N/A	Must meet requirement	N/A	Form EXP – 4.1

4.2 (a)	<p>Specific Construction & Contract Management Experience</p>	<p>(i) A minimum number of two (2) similar contracts specified below that have been satisfactorily and substantially² completed as a prime contractor, joint venture member³, management contractor or sub-contractor between 1st January 2015 and bid submission deadline:</p> <p>(i) 2 contracts, each of minimum value <u>USD 19.5 million</u>; Or</p> <p>(ii) Less than or equal to 4 contracts, each of minimum value <u>USD 9.75 million</u>, but with total value of all contracts equal or more than <u>USD 39 million</u>.</p> <p>The similarity of the contracts shall be based physical size complexity, construction</p>	Must meet requirement	Must meet requirement ⁴	N/A	Must meet requirements for all characteristics	Form EXP 4.2(a)
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Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
4.2 (b)	Construction Experience in Key Activities	The contracts executed over a period of 2 years with a minimum experience in the following key activities:	Must meet requirements	Must meet requirements	N/A	Must meet requirements	Form EXP – 4.2 (b)
	Key Activities	i. Gravel fill and subgrade - 63,000 m ³	63,000 m ³ / annual average	63,000 m ³ / annual average	31,500 m ³ / annual average	35,000 m ³ / annual average	
		ii. Rock fill – 28,000 m ³	28,000 m ³ / annual average	28,000 m ³ / annual average	14,000 m ³ / annual average	20,000 m ³ / annual average	
		iii. Stone pitching / concrete Drain – 32,000 m ²	32,000 m ² / annual average	32,000 m ² / annual average	16,000 m ² / annual average	20,000 m ² / annual average	
		iv. Concrete - 32,000 m ³	32,000 m ³ / annual average	32,000 m ³ / annual average	16,000 m ³ / annual average	20,000 m ³ / annual average	
		v. Crushed Stone Subbase or Base – 70,000 m ³	70,000 m ³ / annual average	70,000 m ³ / annual average	35,000 m ³ / annual average	45,000 m ³ / annual average	

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		vi. Asphalt concrete Surfacing: 16,000 m ³	16,000 m ³ / annual average	16,000 m ³ / annual average	8,000 m ³ / annual average	12,000 m ³ / annual average	
		vii. Culvert installation – 14,000 m	14,000 m/ annual average	14,000 m/ annual average	7,000 m/ annual average	10,500 m/ annual average	
		viii. Signalizing at least 4 junctions in the last 5 years	at least 4 junctions in the last 5 years	at least 2 junctions in the last 5 years	at least 1 junction in the last 5 years	at least 2 junctions in the last 5 years	
4.2 (c)	Specific Experience in managing ES aspects	For the contracts in 4.2 (a) above and/or any other contracts <i>[substantially completed and under implementation]</i> as prime contractor, joint venture member, or Subcontractor between 1st January 2015 and Bid submission deadline,	Must meet requirement	Must meet requirement	Must meet requirement	Must meet requirement	Form EXP – 4.2 (c)

Eligibility and Qualification Criteria			Compliance Requirements				Documentation
No.	Subject	Requirement	Single Entity	Joint Venture (existing or intended)			Submission Requirements
				All Members Combined	Each Member	One Member	
		experience in managing ES risks and impacts in the following aspects: Safety, Environmental & Social safeguards management is required i.e. <i>(presentation of ESHS management profile on projects for the previous 5 years indicating the name of the project, year of implementation, name of the funder, client, and brief description of safeguards management activities carried out).</i>					

3. Contractor's Representative and Key Personnel

The Bidder must demonstrate that it will have a suitably qualified Contractor's Representative and suitably qualified (and in adequate numbers) Key Personnel, as described in the Specification.

The Bidder shall provide details of the Contractor's Representative and Key Personnel and such other Key Personnel that the Bidder considers appropriate to perform the Contract, together with their academic qualifications and work experience. The Bidder shall complete the relevant Forms in Section IV, Bidding Forms.

4. Equipment

The Bidder must demonstrate that it owns or has made adequate arrangements to lease the key equipment listed below, which must not be **more than 15 years old, in good mechanical and working condition.**

No	Equipment Type and Characteristics	Minimum Number required
1	Hot Mix Plant (batch type only with electronic & computer controls and vibratory screens. 60 tons/hour capacity	1
2	Stone crusher with screening unit (Minimum capacity 200 tons/ hour)	1
3	Asphalt Paver capable of laying 7 m width fitted with Electronic sensors for automatic level control	2
4	Crawler Dozer + Ripper D8, 250 to 350 HP	2
5	Wheel Front End Loader 170HP	3
6	Excavators 0.25 – 0.4 m3 SAE bucket 140 HP	3
7	Tipper Truck 8m3	12
8	Dump Truck (Tipper Truck) 10 – 15 m3	6
9	Water Bowser 12,000 litres	3
10	Motor Grader 140 to 185HP	3
11	Smooth Wheeled Roller 10-12 tons	2
12	Vibrating Roller 12-14 tons	4
13	Pneumatic Tyred Roller up to 16 tons	3
14	Mechanical broom	2
15	Concrete mixer 0.5 – 1.0 m3	3
16	Concrete Transit Mixer	3
17	Mobile Crane 40 tons	2
18	Bitumen Distributor 8000litres	2
19	Road Reclaimer/ Miller/ Pulvmixer	1

No	Equipment Type and Characteristics	Minimum Number required
20	Hydraulic shovel 1.0 – 1.5 m ³	4
21	Grid Roller 10 – 13.5 ton	2
22	Staff Bus 40-seater	3
23	Low-bed	1

The Bidder shall provide further details of proposed items of equipment using Form EQU in Section IV, Bidding Forms.

Section IV - Bidding Forms

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Letter of Bid

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text in is to help Bidders in preparing this form.

Date of this Bid submission: *[insert date (as day, month and year) of Bid submission]*

OCBI/LCB No.: *[insert number of bidding process as per procurement plan]*

Invitation for Bid No.: *[insert same IFB number as advertised]*

Alternative No.: *[insert identification No if this is a Bid for an alternative]*

To: *[insert complete name of Employer]*

We, the undersigned, declare that:

- (a) **No reservations:** We have examined and have no reservations to the bidding document, including Addenda issued in accordance to ITB 8;
- (b) **Eligibility of Bidder:** We, including any subcontractors or suppliers for any part of the Contract, have nationalities from eligible countries and meet the eligibility requirements and have no conflict of interest in accordance with ITB 4;
- (c) **Eligibility of Materials, Equipment and Services:** We meet the eligibility requirements for Materials, Equipment and Services in accordance with ITB 5;
- (d) **Bid-Securing Declaration:** We have not been suspended nor declared ineligible by the Employer based on execution of a Bid-Securing Declaration in the Employer's country in accordance with ITB 4.7;
- (e) **Conformity:** We offer to execute in conformity with the bidding document and in accordance with the construction schedule the following Works: *[insert a brief description of the Works];*
- (f) **Bid Price:** The total price of our Bid, excluding any discounts offered in item (g) below is: *[insert the total price of the Bid in words and figures, indicating the various amounts and the respective currencies];*
- (g) **Discounts:** The discounts offered and the methodology for their application are:
 - (i) The discounts offered are: *[Specify in detail each discount offered.]*
 - (ii) The exact method of calculations to determine the net price of each item and 'Works', and in case of multiple lots or multiple packages, net price of each item, each lot and

each package after application of discounts is shown below: *[Specify in detail the method that shall be used to apply the discounts and ensure clarity, unambiguity, etc. in accordance with ITB 14.7];*

- (h) **Bid Validity Period:** Our Bid shall be valid for a period specified in BDS 18.1 (or as amended if applicable) from the date fixed for the Bid submission deadline specified in BDS 22.1 (or as amended if applicable), and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- (i) **Performance Security:** If our Bid is accepted, we commit to obtain a Performance Security *[and an Environmental and Social (ES) Performance Security, **Delete if not applicable**]* in the forms in accordance with the bidding document;
- (j) **One Bid Per Bidder:** We are not submitting any other Bid(s) as an individual Bidder, and we are not participating in any other Bid(s) as a Joint Venture member or as a subcontractor, and meet the requirements of ITB 4.3, other than alternative Bids submitted in accordance with ITB 13;
- (k) **Suspension and Debarment:** We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by the Bank or a debarment imposed by the Bank in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (l) **State-owned enterprise or institution:** *[select the appropriate option and delete the other] [We are not a state-owned enterprise or institution] / [We are a state-owned enterprise or institution but meet the requirements of ITB 4.6];*
- (m) **Commissions, gratuities, fees:** We have paid, or will pay the following commissions, gratuities, or fees with respect to the Bidding process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity]*

Name of Recipient	Address	Reason	Amount
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

(If none has been paid or is to be paid, indicate "none.");

- (n) **Binding Contract:** We understand that this Bid, together with your written acceptance thereof included in your Letter of Acceptance, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (o) **Not Bound to Accept:** We understand that you are not bound to accept the lowest evaluated cost Bid, or any other Bid that you may receive;
- (p) **Fraud and Corruption:** We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption.
- (q) **Potential DAAB Members:** We hereby propose the following three persons, whose curriculum vitae are attached, as potential DAAB members:

Name	Address
1.	
2.	
3.	

Name of the Bidder: *[insert complete name of person signing the Bid]

Name of the person duly authorized to sign the Bid on behalf of the Bidder: **[insert complete name of person duly authorized to sign the Bid]

Title of the person signing the Bid: [insert complete title of the person signing the Bid]

Signature of the person named above: [insert signature of person whose name and capacity are shown above]

Date signed [insert date of signing] **day of** [insert month], [insert year]

Date signed _____ day of _____, ____

* In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder or names of all members (partners) of JV and sign on behalf of the JV and not on behalf of only one member that has been given power of attorney.

** Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid. If the Bidder is a JV, the power of attorney shall be given by the JV or by all members of the JV.

Appendix to Bid: Schedule of Adjustment Data

(Applicable only if Prices are subject to adjustment as per ITB 18.3 (a) and Sub-Criteria 13.7 of Part A-Contract Data under PC)

[In Tables A, B, and C, below, the Bidder shall (a) indicate its amount of local currency payment, (b) indicate its proposed source and base values of indices for the different foreign currency elements of cost, (c) derive its proposed weightings for local and foreign currency payment, and (d) list the exchange rates used in the currency conversion.]

Table A. Local Currency

Index Code	Index Description	Source of Index	Base Value and Date	Bidder's Local Currency Amount	Bidder's Proposed Weighting
A	Nonadjustable	—	—	—	A: 0.2
LL	Labour Component	I			B: 0.15-0.3
B	Bitumen	I			C: 0.05-0.2
C	Cement	I			D: 0.05-0.15
S	Steel	I			E: 0.1-0.3
F	Fuel	I			F: 0.0-0.05
M	Metal Products	I			G: 0.0-0.25
E	Equipment	I			H: 0.2-0.25
				Total	1.00

Table B. Foreign Currency (FC)

State type: [If the Bidder is allowed to receive payment in foreign currencies this table shall be used. If Bidder wishes to quote in more than one foreign currency (up to three currencies permitted) then this table should be repeated for each foreign currency.]

Index code	Index description	Source of index	Base value and date	Bidder's related source currency in type/amount	Equivalent in FC1	Bidder's proposed weighting
	Nonadjustable	—	—	—		A: 0.2
	Labour	II				B: 0.15-0.3
	Component	III				C: 0.05-0.2
	Bitumen	III				D: 0.05-0.15
	Cement	III				E: 0.1-0.3
	Steel	III				F: 0.0-0.05
	Fuel	III				G: 0.0-0.25
	Metal Products	III				H: 0.2-0.25
	Equipment					
					Total	1.00

Note to tables A and B above:

For B, C, D, E, F, G and H the Bidder will be required to specify a value such that the total weighting = 1.00

Sources of Indices:

- I Source of Index, Uganda Bureau of Statistics *(For materials to be sourced from outside Uganda, Bidders shall consult the Uganda Bureau of Statistics for guidance or indicate the source of their indices from a similar body.)*
- II Source of Index, Official Index from home country of the majority of the bidder's expatriate workforce
- III Source of Index to be specified by the Bidder. Indices must be Official Indices from the source country of the input. Currency conversion factor Z_o/Z_c shall be applied if the currency of payment is different from the currency of the index.

Base value of Indices: The date 28 days prior to bid submission deadline or the latest official indices published by the Uganda Bureau of Statistics.

Table C. Summary of Payment Currencies

Table: Alternative A

For Whole of the Works *[Insert name of Section of the Works.]*

Name of payment currency	A Amount of currency	B Rate of exchange (local currency per unit of foreign)	C Local currency equivalent $C = A \times B$	D Percentage of Total Bid Price (TBP) $\frac{100 \times C}{TBP}$
Local currency _____		1.00		
Foreign currency #1 _____				
Foreign currency #2 _____				
Foreign currency # 3 _____				
Total Bid Price				100.00
Provisional sums expressed in local currency as detailed in the Summary of Specified Provisional Sums	13,846,000,000	1.00	13,846,000,000	
TOTAL BID PRICE of (i) or (ii) as applicable (including provisional sum)				

Bill of Quantities

The Bill of Quantities is separately bound as "Volume 2"

Daywork Schedule

General

1. Reference should be made to Sub-Clause 13.6 of the General Conditions. Work shall not be executed on a daywork basis except by written order of the Engineer. Bidders shall enter basic rates for daywork items in the Schedules, which rates shall apply to any quantity of daywork ordered by the Engineer. Nominal quantities have been indicated against each item of daywork, and the extended total for Daywork shall be carried forward as a Provisional Sum to the Summary Total Bid Amount. Unless otherwise adjusted, payments for daywork shall be subject to price adjustment in accordance with the provisions in the Conditions of Contract.

Daywork Labour

2. In calculating payments due to the Contractor for the execution of daywork, the hours for labour will be reckoned from the time of arrival of the labour at the job site to execute the particular item of daywork to the time of return to the original place of departure, but excluding meal breaks and rest periods. Only the time of classes of labour directly doing work ordered by the Engineer and for which they are competent to perform will be measured. The time of gangers (charge hands) actually doing work with the gangs will also be measured but not the time of foremen or other supervisory personnel.
3. The Contractor shall be entitled to payment in respect of the total time that labour is employed on daywork, calculated at the basic rates entered by the Contractor in the **Schedule of Daywork Rates: 1. Labour**, together with an additional percentage payment on basic rates representing the Contractor's profit, overheads, etc., as described below:
 - (a) The basic rates for labour shall cover all direct costs to the Contractor, including (but not limited to) the amount of wages paid to such labour, transportation time, overtime, subsistence allowances, and any sums paid to or on behalf of such labour for social benefits in accordance with **Uganda** law. The basic rates will be payable in local currency only.
 - (b) The additional percentage payment to be quoted by the bidder and applied to costs incurred under (a) above shall be deemed to cover the Contractor's profit, overheads, superintendence, liabilities, and insurances and allowances to labour, timekeeping, and clerical and office work, the use of consumable stores, water, lighting, and power; the use and repair of stagings, scaffolding, workshops, and stores, portable power tools, manual plant, and tools; supervision by the Contractor's staff, foremen, and other supervisory personnel; and charges incidental to the foregoing. Payments under this item shall be made in the following currency proportions:

- (i) foreign: ____ percent (to be stated by bidder).¹
- (ii) local: _____ percent (to be stated by bidder).

Daywork Materials

4. The Contractor shall be entitled to payment in respect of materials used for daywork (except for materials for which the cost is included in the percentage addition to labour costs as detailed heretofore), at the basic rates entered by the Contractor in the **Schedule of Daywork Rates: 2. Materials**, together with an additional percentage payment on the basic rates to cover overhead charges and profit, as follows:
 - (a) the basic rates for materials shall be calculated on the basis of the invoiced price, freight, insurance, handling expenses, damage, losses, etc., and shall provide for delivery to store for stockpiling at the Site. The basic rates shall be stated in local currency, but payment will be made in the currency or currencies expended upon presentation of supporting documentation.
 - (b) the additional percentage payment shall be quoted by the bidder and applied to the equivalent local currency payments made under (a) above. Payments under this item will be made in the following currency proportions:
 - (i) foreign: ____ percent (to be stated by the bidder);²
 - (ii) local: _____ percent (to be stated by the bidder);
 - (c) the cost of hauling materials for use on work ordered to be carried out as daywork from the store or stockpile on the Site to the place where it is to be used will be paid in accordance with the terms for Labour and Construction in this schedule.

Daywork Contractor's Equipment

5. The Contractor shall be entitled to payments in respect of Contractor's Equipment already on Site and employed on daywork at the basic rental rates entered by the Contractor in the **Schedule of Daywork Rates: 3. Contractor's Equipment**. Said rates shall be deemed to include due and complete allowance for depreciation, interest, indemnity, and insurance, repairs, maintenance, supplies, fuel, lubricants, and other consumables, and all overhead, profit, and administrative costs related to the use of such equipment. The cost of drivers, operators, and assistants will be paid for separately as described under the section on Daywork Labour.

¹ The bidder shall state the percentage in a common foreign currency equivalent required for payment and the exchange rates and official sources used.

² The bidder shall state the percentage in a single foreign currency equivalent and the exchange rates and official sources used.

6. In calculating the payment due to the Contractor for Contractor's Equipment employed on daywork, only the actual number of working hours will be eligible for payment, except that where applicable and agreed with the Engineer, the travelling time from the part of the Site where the Contractor's Equipment was located when ordered by the Engineer to be employed on daywork and the time for return journey thereto shall be included for payment.
7. The basic rental rates for Contractor's Equipment employed on daywork shall be stated in local currency, but payments to the Contractor will be made in currency proportions, as follows:
 - (a) foreign: _____ percent (to be stated by the bidder).³
 - (b) local: _____ percent (to be stated by the bidder).

³ The bidder shall state the percentage in a single foreign currency equivalent and the exchange rates and official sources used.

Schedule of Daywork Rates: 1. Labour

[illegible]

a. To be entered by the Bidder.

Schedule of Daywork Rates: 2. Materials

<i>Item no.</i>	<i>Description</i>	<i>Unit</i>	<i>Nominal quantity</i>	<i>Rate</i>	<i>Extended amount</i>
Subtotal					
Allow _ percent of Subtotal for Contractor's overhead, profit, etc., in accordance with paragraph 4 (b) above.					
Total for Daywork: Materials _____ (carried forward to Daywork Summary, p. __)					

a. To be entered by the Bidder.

Schedule of Daywork Rates: 3. Contractor's Equipment

[illegible]

a. To be entered by the Bidder.

Daywork Summary

	<i>Amount</i> <i>(UGX)</i>	<i>%</i> <i>Foreign</i>
1. Total for Daywork: Labour		
2. Total for Daywork: Materials		
3. Total for Daywork: Contractor's Equipment		
Total for Daywork (Provisional Sum) (carried forward to Bid Summary, p. _)	_____	_____

Summary of Specified Provisional Sums in the Bill of Quantities

Bill no.	Item no.	Description	Amount (UGX)
1, 5 and 6	12.02	Relocation of Services (a) Arrange and pay for removal and/or alteration to services provided by agencies for electricity supply, water supply or telecommunication services	10,750,000,000
1, 5 and 6	B12.04	(a) Demolition and Reconstruction of affected fence walls	220,000,000
1, 5 and 6	B12.05	(a) Provision for construction of public roadside toilets, road sideway weigh bridges, traffic police booths, traffic barricades, bus shelters, street markets and improvements on roadside markets. Designs to be issued by Supervising Consultant	1,700,000,000
1, 5 and 6	71.01	Special tests requested by the engineer: (a) Tests	25,000,000
2	25.08 - Masiro Road	(a) Provision for construction of drainage outlet channels (Details to be provided by the Engineer)	24,000,000
3	25.08 - Mugema Road	(a) Provision for construction of drainage outlet channels (Details to be provided by the Engineer)	290,000,000
4	25.08 – Sentema-1 Road	(a) Provision for construction of drainage outlet channels (Details to be provided by the Engineer)	246,000,000

5	25.08 – Sentema-2 Road	(a) Provision for construction of drainage outlet channels (Details to be provided by the Engineer)	492,000,000
8	25.08 - (G1j-1) Nsambya Road / Hanlon Road	(a) Provision for construction of drainage outlet channels (Details to be provided by the Engineer)	24,000,000
		Provisional sums for the Employer's portion of DAAB costs	
Total for Specified Provisional Sums (carried forward to Grand Summary (B), p. __)			13,846,000,000

Grand Summary

Contract Name:

Contract No.:

<i>General Summary</i>	<i>Page</i>	<i>Amount</i>
Bill No. 1:		
Bill No. 2:		
Bill No. 3:		
—etc.—		
<i>Subtotal of Bills</i>	<i>(A)</i>	
<i>Total for Daywork (Provisional Sum)*</i>	<i>(B)</i>	
<i>Specified Provisional Sums not included in subtotal of billsⁱⁱ</i>	<i>(C)</i>	13,846,000,000
<i>Total of Bills Plus Provisional Sums (A + B + C)ⁱ</i>	<i>(D)</i>	
<i>Add Provisional Sum for Contingency Allowance (if any)ⁱⁱ: None</i>	<i>(E)</i>	0
<i>Bid Price (D + E) (Carried forward to Letter of Bid)</i>	<i>(F)</i>	

i) All Provisional Sums are to be expended in whole or in part at the direction and discretion of the Engineer in accordance with +Clause 13.5 of the General Conditions.

ii) To be entered by the Employer.

* For evaluation purpose, Provisional Sums (PS) that are specified as a fixed amount or percentage of the contract amount shall be excluded and neither be priced or changed by Bidders. However, all costs where a bidder is required to bid e.g. Dayworks (also called PS), and Bidder's mark-up (overhead, profit, etc.) for specific provisional work item (s) if included in any bill (s), to be quoted as a percentage of the fixed PS amount specified for such item (s), will be included.

Technical Bid

- Technical Bid-Base Bid
- Site Organization
- Method Statement
- Mobilization Schedule
- Construction Schedule
- ES Management Strategies and Implementation Plans
- Code of Conduct (ES)
- Equipment
- Key Personnel Schedule

Technical Bid-Base Bid

[Note for information of Bidder: Bidders shall demonstrate compliance with the Employer's requirements and Technical Specifications as described in Section VII of the Bidding Documents. Any departures or deviations from the required Technical Specifications shall be highlighted and if there are none, full compliance shall be confirmed.

The Bidder shall provide the Technical Bid for the Base-Bid complete in all respect including Technical information and standards, codes, designs and specifications, of Works offered along with all documentation mentioned in ITB 16 and Section VII of the Bidding Document. This will include relevant literatures, data or drawings, test results and other supporting documents, including all information requested in the Bidding Document and as may be necessary to establish conformity with the Employer's Specifications and requirements.

Any deviations in the technical standards, codes, designs or specifications or other requirements from those stated in the Bidding Documents shall be explained indicating their impact on the performance requirements, characteristics or parameters of the works. To this end, for any such deviations to be acceptable, Bid shall establish to the satisfaction of the Employer substantial responsiveness to the required technical specifications by explaining and documenting for the offered works, equivalency with or improvement to the required technical standards, codes, designs and Specifications.

Any Major deviation from the Employer's requirements shall be the cause for rejection of the Bid. Any deviation which in the Bidder's opinion is considered minor, the Bidder shall provide evidence to this effect including evidence of any monetary implications caused by such deviation. The Employer's evaluation shall be independent of Bidder's opinion on such matters and shall be final]

Site Organization

[In this section, the bidder is required to provide an organogram of the human resource that will execute this project. This should include both the Head Office and Site Office staff.]

Method Statement

*[In this section, the bidder is required to give a detailed statement of how he intends to execute the project inclusive of but not limited to the following; **acquiring the necessary permits and permissions, relocation of utilities, erection of temporary site facilities and permanent civil works**]*

Mobilization Schedule

[In this section, the bidder is required to make a detailed schedule of the mobilization and demobilization of all the necessary manpower and equipment. This schedule should be produced in MS Project 2016; duration allocated to each task, indicating milestones, expected shipping time for overseas plant, equipment and materials and travel in and out of the country for the expected expatriate staff. A softcopy of this schedule should be attached in CD format to the bids]

In accordance with the Particular Conditions, Sub-Clause 4.1, the Contractor shall not carry out mobilization to Site unless the Engineer gives consent that appropriate measures are in place to address environmental and social risks and impacts, which as a minimum shall include applying the Management Strategies and Implementation Plans (MSIPs) and Code of Conduct for Contractor's Personnel, submitted as part of the Bid and agreed as part of the Contract.

Construction Schedule

*[In this section, the bidder is required to make a **detailed schedule of the civil works construction phase**. This schedule should be produced in **MS Project 2016**; well-resourced with the expected manpower and equipment expected to undertake each particular task, duration allocated to each task and indicating milestones. A softcopy of this schedule should be attached in CD format to the bids].*

The construction schedule shall include the following key milestones:

- *No-objection to the Contractor's MSIPs, which collectively form the C-ESMP, in accordance with the Particular Conditions – Special provisions Sub-Clause 4.1.*
- *Constitution of the DAAB*

ES Management Strategies and Implementation Plans

(ES-MSIP)

The Bidder shall submit comprehensive and concise **Environmental and Social (ES) Management Strategies and Implementation Plans (ES-MSIP)** as required by ITB 11.1 (j) of the Bid Data Sheet. These strategies and plans shall describe in detail the actions, materials, equipment, management processes etc. that will be implemented by the Contractor, and its subcontractors.

In developing these strategies and plans, the Bidder shall have regard to the ES provisions of the contract including those as may be more fully described in the Works Requirements in Section VII.

Code of Conduct for Contractor's Personnel (ES) Form

Note to the Bidder:

The minimum content of the Code of Conduct form as set out by the Employer shall not be substantially modified. However, the Bidder may add requirements as appropriate, including to take into account Contract-specific issues/risks.

The Bidder shall initial and submit the Code of Conduct form as part of its bid.

We are the Contractor, *[enter name of Contractor]*. We have signed a contract with *[enter name of Employer]* for *[enter description of the Works]*. These Works will be carried out at *[enter the Site and other locations where the Works will be carried out]*. Our contract requires us to implement measures to address environmental and social risks related to the Works, including the risks of sexual exploitation, sexual abuse and sexual harassment.

This Code of Conduct is part of our measures to deal with environmental and social risks related to the Works. It applies to all our staff, labourers and other employees at the Works Site or other places where the Works are being carried out. It also applies to the personnel of each subcontractor and any other personnel assisting us in the execution of the Works. All such persons are referred to as "**Contractor's Personnel**" and are subject to this Code of Conduct.

This Code of Conduct identifies the behaviour that we require from all Contractor's Personnel.

Our workplace is an environment where unsafe, offensive, abusive or violent behaviour will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

REQUIRED CONDUCT

Contractor's Personnel shall:

1. carry out his/her duties competently and diligently;
2. comply with this Code of Conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other Contractor's Personnel and any other person;
3. maintain a safe working environment including by:
 - a. ensuring that workplaces, machinery, equipment and processes under each person's control are safe and without risk to health;
 - b. wearing required personal protective equipment;

- c. using appropriate measures relating to chemical, physical and biological substances and agents; and
 - d. following applicable emergency operating procedures.
4. report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
 5. treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
 6. not engage in Sexual Harassment, which means unwelcome sexual advances, requests for sexual favours, and other verbal or physical conduct of a sexual nature with other Contractor's or Employer's Personnel;
 7. not engage in Sexual Exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
 8. not engage in Sexual Abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
 9. not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
 10. complete relevant training courses that will be provided related to the environmental and social aspects of the Contract, including on health and safety matters, Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH);
 11. report violations of this Code of Conduct; and
 12. not retaliate against any person who reports violations of this Code of Conduct, whether to us or the Employer, or who makes use of the grievance mechanism for Contractor's Personnel or the project's Grievance Redress Mechanism.

RAISING CONCERNS

If any person observes behaviour that he/she believes may represent a violation of this Code of Conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact [*enter name of the Contractor's Social Expert with relevant experience in handling sexual exploitation, sexual abuse and sexual harassment cases, or if such person is not required under the Contract, another individual designated by the Contractor to handle these matters*] in writing at this address [] or by telephone at [] or in person at []; or
2. Call [] to reach the Contractor's hotline (*if any*) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behaviour prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

There will be no retaliation against any person who raises a concern in good faith about any behaviour prohibited by this Code of Conduct. Such retaliation would be a violation of this Code of Conduct.

CONSEQUENCES OF VIOLATING THE CODE OF CONDUCT

Any violation of this Code of Conduct by Contractor's Personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

FOR CONTRACTOR'S PERSONNEL:

I have received a copy of this Code of Conduct written in a language that I comprehend. I understand that if I have any questions about this Code of Conduct, I can contact [*enter name of Contractor's contact person with relevant experience*] requesting an explanation.

Name of Contractor's Personnel: [insert name]

Signature: _____

Date: (day month year): _____

Countersignature of authorized representative of the Contractor:

Signature: _____

Date: (day month year): _____

ATTACHMENT 1: Behaviours constituting Sexual Exploitation and Abuse (SEA) and behaviours constituting Sexual Harassment (SH)

ATTACHMENT 1 TO THE CODE OF CONDUCT FORM

BEHAVIORS CONSTITUTING SEXUAL EXPLOITATION AND ABUSE (SEA) AND BEHAVIORS CONSTITUTING SEXUAL HARASSMENT (SH)

The following non-exhaustive list is intended to illustrate types of prohibited behaviours.

(1) Examples of sexual exploitation and abuse include, but are not limited to:

- A Contractor's Personnel tells a member of the community that he/she can get them jobs related to the work site (e.g. cooking and cleaning) in exchange for sex.
- A Contractor's Personnel that is connecting electricity input to households says that he can connect women headed households to the grid in exchange for sex.
- A Contractor's Personnel rapes, or otherwise sexually assaults a member of the community.
- A Contractor's Personnel denies a person access to the Site unless he/she performs a sexual favour.
- A Contractor's Personnel tells a person applying for employment under the Contract that he/she will only hire him/her if he/she has sex with him/her.

(2) Examples of sexual harassment in a work context

- Contractor's Personnel comment on the appearance of another Contractor's Personnel (either positive or negative) and sexual desirability.
- When a Contractor's Personnel complains about comments made by another Contractor's Personnel on his/her appearance, the other Contractor's Personnel comment that he/she is "asking for it" because of how he/she dresses.
- Unwelcome touching of a Contractor's or Employer's Personnel by another Contractor's Personnel.
- A Contractor's Personnel tells another Contractor's Personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

Form EQU: Equipment

The Bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder.

Item of equipment		
Equipment information	Name of manufacturer	Model and power rating
	Capacity	Year of manufacture
Current status	Current location	
	Details of current commitments	
Source	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased <input type="checkbox"/> Specially manufactured	

Omit the following information for equipment owned by the Bidder.

Owner	Name of owner	
	Address of owner	
	Telephone	Contact name and title
	Fax	Telex
Agreements	Details of rental / lease / manufacture agreements specific to the project	

Form PER -1

Contractor's Representative and Key Personnel Schedule

Bidders should provide the names and details of the suitably qualified Contractor's Representative and Key Personnel to perform the Contract. The data on their experience should be supplied using the Form PER-2 below for each candidate.

Contractor' Representative and Key Personnel

1.	Title of position: Contractor's Representative	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart]</i>
2.	Title of position: <i>[Environmental Specialist]</i>	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment: for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart]</i>
3.	Title of position: <i>[Health and Safety Specialist]</i>	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>

	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart]</i>
4.	Title of position: <i>[Social Specialist]</i>	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart]</i>
5.	Title of position: Sexual Exploitation, Abuse and Harassment Expert <i>[Where a Project SEA risks are assessed to be substantial or high, Key Personnel shall include an expert with relevant experience in addressing sexual exploitation, , sexual abuse and sexual harassment cases]</i>	
	Name of candidate	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart]</i>
6.	Title of position: <i>[insert title]</i>	
	Name of candidate	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart]</i>

Form PER-2

Resume and Declaration

Contractor's Representative and Key Personnel

Name of Bidder

Position [# 1]: <i>[title of position from Form PER-1]</i>		
Personnel information	Name:	Date of birth:
	Address:	E-mail:
	Professional qualifications:	
	Academic qualifications:	
	Language proficiency: <i>[language and levels of speaking, reading and writing skills]</i>	
Details		
	Address of employer:	
	Telephone:	Contact (manager / personnel officer):
	Fax:	
	Job title:	Years with present employer:

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

Project	Role	Duration of involvement	Relevant experience
<i>[main project details]</i>	<i>[role and responsibilities on the project]</i>	<i>[time in role]</i>	<i>[describe the experience relevant to this position]</i>

Declaration

I, the undersigned *[insert either "Contractor's Representative" or "Key Personnel" as applicable]*, certify that to the best of my knowledge and belief, the information contained in this Form PER-2 correctly describes myself, my qualifications and my experience.

I confirm that I am available as certified in the following table and throughout the expected time schedule for this position as provided in the Bid:

Commitment	Details
Commitment to duration of contract:	<i>[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]</i>
Time commitment:	<i>[insert period (start and end dates) for which this Contractor's Representative or Key Personnel is available to work on this contract]</i>

I understand that any misrepresentation or omission in this Form may:

- (a) be taken into consideration during Bid evaluation;
- (b) result in my disqualification from participating in the Bid;
- (c) result in my dismissal from the contract.

Name of Contractor's Representative or Key Personnel: *[insert name]*

Signature: _____

Date: (day month year): _____

Countersignature of authorized representative of the Bidder:

Signature: _____

Date: (day month year): _____

Commercial Terms and Conditions

[Bidder shall specify any deviations to the provisions of the Bidding Document (other than Technical Specifications) in particular those specified in Part 3 of the Bidding document including General and Particular Conditions of Contract. If "None" it shall be confirmed accordingly]

Bidders Qualification without prequalification

To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

Form ELI -1.1

Bidder Information Form

Date: _____
 OCBI/LCB No. and title: _____
 Page _____ of _____ pages

Bidder's name
In case of Joint Venture (JV), name of each member:
Bidder's actual or intended country of registration: <i>[indicate country of Constitution]</i>
Bidder's actual or intended year of incorporation:
Bidder's legal address [in country of registration]:
Bidder's authorized representative information Name: _____ Address: _____ Telephone/Fax numbers: _____ E-mail address: _____
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or documents of registration of the legal entity named above, in accordance with ITB 4.4 <input type="checkbox"/> In case of JV, letter of intent to form JV or JV agreement, in accordance with ITB 4.1 <input type="checkbox"/> In case of state-owned enterprise or institution, in accordance with ITB 4.6, documents establishing: <ul style="list-style-type: none"> • Legal and financial autonomy • Operation under commercial law • Establishing that the Bidder is not under the supervision of the Employer
2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. <i>[If required under BDS ITB 47.1, the successful Bidder shall provide additional information on beneficial ownership, using the Beneficial Ownership Disclosure Form.]</i>

Form ELI -1.2

Bidder's JV Information Form

(to be completed for each member of Bidder's JV)

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

JV Information of the Reporting Firm

Bidder's JV name:
JV member's name:
JV member's country of registration:
JV member's year of constitution:
JV member's legal address in country of constitution:
JV member's authorized representative information Name: _____ Address: _____ Telephone/Fax numbers: _____ E-mail address: _____
1. Attached are copies of original documents of <input type="checkbox"/> Articles of Incorporation (or equivalent documents of constitution or association), and/or registration documents of the legal entity named above, in accordance with ITB 4.4. <input type="checkbox"/> In case of a state-owned enterprise or institution, documents establishing legal and financial autonomy, operation in accordance with commercial law, and that they are not under the supervision of the Employer, in accordance with ITB 4.6. 2. Included are the organizational chart, a list of Board of Directors, and the beneficial ownership. <i>[If required under BDS ITB 47.1, the successful Bidder shall provide additional information on beneficial ownership for each JV member using the Beneficial Ownership Disclosure Form.]</i>

Form ELI -1.3

Eligible Materials, Equipment and Services Form

(to be completed by the Bidder)

Bidder's Name: _____

Date: _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Eligible Materials, Equipment and Services: In compliance with ITB 5, provide the following information for all Materials, Equipment and Services included under the Contract. Instead of listing each and every item, broad categories are listed below. Include all items in these categories unless any item to be supplied is not covered by any one of them in which case list them separately.

1 S. No.	2 Description of Broad Category of Materials/Equipment and Services	3 Estimated Quantity - <i>[Indicate: "All quantity as required" or quantity by subcategory of items]</i>	Estimated Aggregate Value (US Dollar Equivalent)	5 Countries of Origin
1	All Construction and Testing Materials including raw materials, Cement, Steel, Timber, Lime, Sand, Aggregates, Plastics, Bitumen, Oils, Lubricants, etc. as per specification			
2	All types of Plants, Equipment including Laboratory and Testing Equipment, All types of Vehicles, Furniture, Fittings and Fixtures, Pipes, Tools, Steel and Other Structures, Utensils, Computers and Other IT			

	Equipment, etc. as per specification			
3	All Types of Services including Construction, Installation, Assembly, Inspection, Supervision, Care of Sites, Labor (Skilled and Unskilled), Drilling, Mapping, Transportation and Insurance, etc. as per specification			

Form CON – 2

Historical Contract Non-Performance, Pending Litigation and Litigation History

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV]

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

Historical Contract Non-Performance, Pending Litigation and Litigation History of the Reporting Firm

Non-Performed Contracts in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> Contract non-performance did not occur since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, Sub-Factor 2.1.			
<input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, requirement 2.1			
Year	Non-performed portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for non-performance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No pending litigation in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.3.			
<input type="checkbox"/> Pending litigation in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.3 as indicated below.			

Year of dispute	Amount in dispute (currency)	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
		Contract Identification: _____ Name of Employer: _____ Address of Employer: _____ Matter in dispute: _____ Party who initiated the dispute: ____ Status of dispute: _____	
		Contract Identification: Name of Employer: Address of Employer: Matter in dispute: Party who initiated the dispute: Status of dispute:	
Litigation History in accordance with Section III, Evaluation and Qualification Criteria			
<input type="checkbox"/> No Litigation History in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.4.			
<input type="checkbox"/> Litigation History in accordance with Section III, Evaluation and Qualification Criteria, Sub-Factor 2.4 as indicated below.			

Year of award	Outcome as percentage of Net Worth	Contract Identification	Total Contract Amount (currency), USD Equivalent (exchange rate)
<i>[insert year]</i>	<i>[insert percentage]</i>	<p>Contract Identification: [indicate complete contract name, number, and any other identification]</p> <p>Name of Employer: <i>[insert full name]</i></p> <p>Address of Employer: <i>[insert street/city/country]</i></p> <p>Matter in dispute: <i>[indicate main issues in dispute]</i></p> <p>Party who initiated the dispute: <i>[indicate "Employer" or "Contractor"]</i></p> <p>Reason(s) for Litigation and award decision <i>[indicate main reason(s)]</i></p>	<i>[insert amount]</i>

Form CON – 3

Environmental and Social (ES) Performance Declaration

[The following table shall be filled in by the Bidder, by each member of a Joint Venture and each Specialized Subcontractor]

Bidder's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Member's or Specialized Subcontractor's Name: *[insert full name]*

OCBI/LCB No. and title: *[insert IFB number and title]*

Page *[insert page number]* of *[insert total number]* pages

Name of the Reporting Firm_____

Environmental and Social (ES) Performance Declaration of the Reporting Firm

Environmental and Social Performance Declaration			
in accordance with Section III, Qualification Criteria, and Requirements			
<input type="checkbox"/> No suspension or termination of contract: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5.			
<input type="checkbox"/> Declaration of suspension or termination of contract: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental or Social (ES) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.5. Details are described below:			
Year	Suspended or terminated portion of contract	Contract Identification	Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s) e.g. gender-based violence; sexual exploitation or sexual abuse breaches]</i>	<i>[insert amount]</i>

<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
...	...	<i>[list all applicable contracts]</i>	...
Performance Security called by an employer(s) for reasons related to ES performance			
Year	Contract Identification		Total Contract Amount (current value, currency, exchange rate and US\$ equivalent)
<i>[insert year]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for calling of performance security: <i>[indicate main reason(s) e.g. for gender-based violence; sexual exploitation, or sexual abuse breaches]</i>		<i>[insert amount]</i>

Form FIN – 3.1: Financial Situation and Performance

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV]

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

Financial Situation and Performance of the Reporting Firm

1. Financial data

Type of Financial information in (currency)	Historic information for previous _____ years, _____ (amount in currency, currency, exchange rate*, USD equivalent)				
	Year 1	Year 2	Year 3	Year 4	Year 5
Statement of Financial Position (Information from Balance Sheet)					
Total Assets (TA)					
Total Liabilities (TL)					
Total Equity/Net Worth (NW)					
Current Assets (CA)					
Current Liabilities (CL)					
Working Capital (WC)					
Information from Income Statement					
Total Revenue (TR)					

Profits Before Taxes (PBT)					
Cash Flow Information					
Cash Flow from Operating Activities					

*Refer to ITB 15 for the exchange rate

2. Sources of Finance

Specify sources of finance to meet the cash flow requirements on works currently in progress and for future contract commitments.

No.	Source of finance	Amount (US\$ equivalent)
1		
2		
3		

3. Financial documents

The Bidder and its parties shall provide copies of financial statements for _____ years pursuant Section III, Evaluation and Qualifications Criteria, Sub-factor 3.1. The financial statements shall:

- (a) reflect the financial situation of the Bidder or in case of JV member, and not an affiliated entity (such as parent company or group member).
- (b) be independently audited or certified in accordance with local legislation.
- (c) be complete, including all notes to the financial statements.
- (d) correspond to accounting periods already completed and audited.

☐ Attached are copies of financial statements¹ for the _____ years required above; and complying with the requirements

¹ If the most recent set of financial statements is for a period earlier than 12 months from the date of Bid, the reason for this should be justified.

Form FIN – 3.2: Average Annual Construction Turnover

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV]

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

Average Annual Construction Turnover of the Reporting Firm

		Annual turnover data (construction only)	
Year	Amount Currency	Exchange rate	USD equivalent
<i>[indicate year]</i>	<i>[insert amount and indicate currency]</i>		
Average Annual Construction Turnover *			

* See Section III, Evaluation and Qualification Criteria, Sub-Factor 3.2.

Form FIN – 3.3: Financial Resources

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV]

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of Reporting Firm: _____

Financial Resources of the Reporting Firm

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as specified in Section III, Evaluation and Qualification Criteria consistent with information provided under form FIN--3.4

Financial Resources		
No.	Source of financing	Amount (US\$ equivalent)
1		
2		
3		

Form FIN – 3.4: Current Contract Commitments / Works in Progress

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV]

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of Reporting Firm: _____

Current Contract Commitments / Works in Progress of the Reporting Firm

Bidders and each member to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Based on value of all outstanding works and average monthly invoicing, the Bidder and each Member to a JV shall explain how completion by estimated time is proposed to be achieved for each contract listed.

The Bidder and each Member of a JV shall also demonstrate based on access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments consistent with information provided by the Bidder/Each JV Member under Forms FIN--3.1, FIN 3.3 and this Form FIN 3.4 how the overall cash flow requirements for this Contract and their all other current contract commitments will be met.

Current Contract Commitments and Cash-Flow Requirements

1	2	3	4	5	6	7
S. No.	Name of Contract	Employer's Contact Address, Tel, Fax	Value of Outstanding Work [Current US\$ Equivalent]	Estimated Completion Date/Time in Months to complete	Average Monthly Invoicing Over Last Six Months [US\$/month]	Estimated Cash-Flow Required for every 4 months= [Value under column 4 divided by Months under column 5 times 4]

Explanation:

Form EXP - 4.1: General Construction Experience

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV]

Bidder's Name: _____

Date: _____

JV Member's Name _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

General Construction Experience of the Reporting Firm

Starting Year	Ending Year	Contract Identification	Role of Bidder
		Contract name: _____ Brief Description of the Works performed by the Bidder: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	
		Contract name: _____ Brief Description of the Works performed by the Bidder: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	
		Contract name: _____ Brief Description of the Works performed by the Bidder: _____ Amount of contract: _____ Name of Employer: _____ Address: _____	

Form EXP - 4.2(a): Specific Construction and Contract Management Experience

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV and specialized Sub-Contractors, if applicable]

Bidder's Name: _____

Date: _____

JV Member's Name if the Bidder is a JV _____

Specialized Subcontractor's Name *[Insert full name if permitted]* _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

Specific Construction and Contract Management Experience of the Reporting Firm

Similar Contract No.	Information			
Contract Identification	<i>[insert contract name and number, if applicable]</i>			
Name of the firm that was awarded the contract identified above				
Award date	<i>[insert day, month, year, e.g., 15 June 2016]</i>			
Completion date ^{**}	<i>[insert day, month, year, e.g., 03 May 2018]</i>			
Role in Contract identified above <i>[check the appropriate box. Check box as "Prime Contractor" if contract was awarded to the reporting firm as a single construction contractor. Check Box "Member in JV" if the contract was awarded to a JV and was a member of the JV. Check Box "Management Contractor" if the reporting firm signed the construction contract and was responsible for its performance and completion of works as per terms and conditions of the contract. Also see *** below. Check Box "Sub-Contractor" if the reporting firm was a sub-contractor appointed by the main contractor who was awarded the contract]</i>	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/> ^{***}	Sub-contractor <input type="checkbox"/>

Total Contract Amount	<i>[insert total contract amount in local currency]</i>		US\$ <i>[insert Exchange rate and total contract amount in US\$ equivalent]*</i>
If reporting firm was a member in a "JV" or "sub-contractor", as per box checked above, it can claim experience only for its' own share of works actually performed under the contract and not the entire contract. As such, the reporting firm shall indicate its share as a percentage of the total Contract amount and also in absolute amount	<i>[insert the percentage of the total contract amount indicated above which represents reporting firm's share under the contract performed]</i>	<i>[insert amount the reporting firm received or entitled to for the works performed as its share in the total contract amount in local currency]</i>	<i>[insert exchange rate and total contract amount in US\$ equivalent]*</i>
Roles and Responsibilities	<i>[Briefly describe roles and responsibilities of the Reporting firm under the above contract]</i>		
Employer's Name:	<i>[Insert Full Name]</i>		
Address: Telephone/fax number E-mail:	<i>[indicate street / number / town or city / country] [insert telephone/fax numbers, including country and city area codes] [insert e-mail address, if available]</i>		

* Refer Section III for guidance on the date and source of exchange rate.

** If contract is not fully completed but substantially completed then indicate the absolute total value of the completed part of the contract and also the percentage completion calculated as a percentage of the total value of the contract upon completion.

*** In claiming experience as a Management Contractor, the Bidder shall furnish copies of the contracts signed by the Bidder demonstrating scope of construction works performed. It should be noted that a Construction Manager is not the same as a Management Contractor. Construction Manager is a Consultant for or agent of the Borrower and mainly responsible for supervision of the construction works and does not take the risks associated with the performance of the construction contracts as the Management Contractor does. Instead of performing the works directly, a Management Contractor contracts out and manages the work of other contractors taking on full responsibility and risk for price, quality and timely performance as per the terms and conditions of contracts it signs with the Employers.

Form EXP - 4.2(a) (cont.): Specific Construction and Contract Management Experience (cont.)

Similar Contract No.	Information
Description of the similarity in accordance with Sub-Factor 4.2(a) of Section III:	
1. Amount	
2. Physical size of required works items	
3. Complexity	
4. Methods/Technology	
5. Construction rate for key activities	
6. Other Characteristics	

Form EXP - 4.2(b): Construction Experience in Key Activities

[The form shall be filled in by the Bidder, and each member of a Joint Venture, if the Bidder is a JV and specialized Sub-Contractors, if applicable]

Bidder's Name: _____

Date: _____

Bidder's JV Member Name: _____

Sub-contractor's Name² (as per ITB 34): _____

OCBI/LCB No. and title: _____

Page _____ of _____ pages

Name of the Reporting Firm _____

Construction Experience in Key Activities of the Reporting Firm under each contract completed or under implementation

Bidder, Members of the JV or proposed Specialized Contractors claiming experience for key activities must complete the information in this form as per ITB 34 and Section III, Evaluation and Qualification Criteria, Sub-Factor 4.2. *[Provide information for each contract separately when claiming experience by aggregating quantities of the key activity completed in more than one contract]*

1. Key Activity No One: _____

	Information			
Contract Identification				
Award date				
Completion date as per Contract				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Sub-contractor <input type="checkbox"/>
Total Contract Amount			US\$	

² If applicable

	Information		
Description of the key activities in accordance with Sub-Factor 4.2(b) of Section III:	Information		
	<i>[insert response to Employer's inquiry indicated in left column]</i>		
Quantity (Volume, number or rate of production, as applicable for the Key activity) performed under the contract per year or part of the year. For each year indicate quantities performed and specify both start and end months. <i>[Insert extent of participation indicating actual quantity of key activity successfully completed in the role performed]</i>	Total quantity in the contract (i)	Percentage participation (ii)	Actual Quantity Performed (i) x (ii)
Year 1 <i>[e.g. 2016 from January to September]</i>			
Year 2 <i>[e.g. 2017 from January to December]</i>			
Year 3			
Year 4			
In response to the criterion for rates of production in 12 consecutive months or less, if performance under more than one contract spread over more than one year are considered, the Applicant shall provide information by months for each such contract to demonstrate which same 12			
Employer's Name:			

	Information
Address: Telephone/fax number E-mail:	

2. Activity No. Two

3.

Form EXP - 4.2(c)

Specific Experience in Managing ES aspects

[The following table shall be filled in for contracts performed by the Bidder, and each member of a Joint Venture]

Bidder's Name: _____

Date: _____

Bidder's JV Member Name: _____

RFB No. and title: _____

Page _____ of _____ pages

1. Key Requirement no 1 in accordance with 4.2 (c): _____

Contract Identification				
Award date				
Completion date				
Role in Contract	Prime Contractor <input type="checkbox"/>	Member in JV <input type="checkbox"/>	Management Contractor <input type="checkbox"/>	Subcontractor <input type="checkbox"/>
Total Contract Amount			US\$	
Details of relevant experience <i>(Make reference to previous projects completed in the last 5 years reflecting the project name, client, funder, and summary of EHS management activities undertaken).</i>				

2. Key Requirement no 2 in accordance with 4.2 (c): _____

3. Key Requirement no 3 in accordance with 4.2 (c): _____

Form of Bid Security - Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ *[Insert name and address of the Employer]*
Invitation for Bids No: _____ *[Insert reference number for the Invitation for Bids]*
OCBI/LCB No.: _____ *[Employer to insert same OCBI number as in procurement plan]*
Date: _____ *[Insert date of issue]*
BID GUARANTEE No.: _____ *[Insert guarantee reference number]*
Guarantor: _____ *[Insert Guarantor's name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called "the Applicant") has submitted or will submit to the Beneficiary its Bid (hereinafter called "the Bid") for the execution of _____ under Invitation for Bids No. _____ ("the IFB") and Open Competitive Bidding (International) No. _____ ("the OCBI").

Furthermore, we understand that, according to the Beneficiary's conditions, Bids must be supported by a Bid guarantee.

At the request of the Applicant to issue this guarantee, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____, (_____) upon receipt by us of the Beneficiary's complying demand, supported by the Beneficiary's statement, whether in the demand itself or a separate signed document accompanying or identifying the demand, stating that either the Applicant:

- (a) has withdrawn its Bid during the period of Bid validity set forth in the Applicant's Letter of Bid ("the Bid Validity Period"), or any extension thereto provided by the Applicant; or
- (b) having been notified of the acceptance of its Bid by the Beneficiary during the Bid Validity Period or any extension thereto provided by the Applicant, (i) has failed to execute the contract agreement, or (ii) has failed to furnish the Performance Security and, if required, the Environmental and Social (ES) Performance Security, in accordance with the Instructions to Bidders ("ITB") of the Beneficiary's Bidding document.

This guarantee will expire: (a) if the Applicant is the successful Bidder, upon our receipt of copies of the contract agreement signed by the Applicant and the Performance Security and, if required, the Environmental and Social (ES) Performance Security, issued to the Beneficiary in relation to such contract agreement; or (b) if the Applicant is not the successful Bidder, upon the earlier of (i) our receipt of a copy of the

Beneficiary's notification to the Applicant of the results of the Bidding process; or (ii) twenty-eight days after the end of the Bid Validity Period.

Consequently, any demand for payment under this guarantee must be received by us at the office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758.

[signature(s)]

[Note: In case the Applicant is a Joint Venture indicate the name of the Joint Venture or names of all members of the Joint Venture that submitted or will submit the Bid]

Section V - Eligible Countries

Eligibility for the Provision of Goods, Works and Non-Consulting Services in Bank-Financed Procurement

A. Provisions under Section 5 “Eligibility” of the Procurement Policy for Bank Group Funded Operations and Chapter A2 of the Operations Procurement Manual under Procurement Framework of the African Development Bank

1. The African Development Fund permits firms and individuals from all countries to offer goods, works and services for ADF funded projects. However, the proceeds of any Financing undertaken in the operations of the African Development Bank and the Nigeria Trust Fund shall be used for procurement of goods and works, including the related services, provided by bidders from Eligible¹ Countries.² Any conditions for participation shall be limited to those that are essential to ensure the firm’s capability to fulfill the contract in question. In the case of ADB and NTF, bidders from non-Member Countries offering goods, works and related services (including transportation and insurance) are not eligible even if they offer these from Eligible Member Countries. Any waiver to this rule will be in accordance with the Articles 17(1) (d) of the Agreement Establishing the African Development Bank and 4.1 of the Agreement Establishing the Nigeria Trust Fund.

B. Rules and Procedures for Procurement of Goods and Works

Overview

1. The eligibility criteria for participation in the supply of goods, works and related services, to be procured through the ADB and NTF Financing, derive from the requirements of the Agreement Establishing the African Development Bank, Article 17.1.d, and the Agreement Establishing the Nigeria Trust Fund, Article 4.1. The foregoing requirements basically prescribe two types of eligibility criteria:
 - (a) The eligibility of the bidder;
 - (b) The eligibility of the goods, works and related services.

Eligibility of the Bidder

2. The eligibility of the bidder shall be based on nationality, in accordance with the following rules:
 - (a) Natural Persons: A natural person is eligible if he or she is a national of a Member Country of the Bank, or a State Participant of the Fund. Where a person has more than one nationality, such a person shall be eligible if the nationality indicated in his or her bid is that of a Member Country of the Bank, or a State Participant of the Fund.

¹ Refer to Bank Procurement Framework for additional information on Eligibility.

² “Eligible Countries” shall mean: (a) in the case of the African Development Bank and the Nigeria trust Fund, the Member Countries of the African Development Bank; and (b) in the case of the African Development Fund, any country.

(b) Corporations: A corporation is eligible if it satisfies the following criteria:

1. it is incorporated in a country that is a Member of the Bank, or State Participant of the Fund;
2. it is a national of a country that is a Member of the Bank, or State Participant of the Fund, as determined by the law of its place of incorporation;
3. it has its principal place of business in a country that is a Member of the Bank, or State Participant of the Fund.

(c) Joint Ventures and Associations: An unincorporated joint venture, partnership, or association, shall be eligible if more than 50% of the value of its works and/or services is executed by its members satisfying the eligibility requirements for individuals or corporations.

Eligibility of the Goods, Works and Related Services

3. In order to be eligible, the goods to be procured must have been mined, grown, or produced, in the form in which they are purchased, in an Eligible Member Country.
4. For works contracts, which may include civil works, plant construction, or turnkey contracts, the contractor must satisfy the nationality criteria of eligibility, either as a natural person, or corporation, or joint venture and association. Labour, equipment, and materials needed for carrying out the works contract, shall be supplied from Eligible Member Countries.
5. For contracts, which have been awarded on the basis of Cost, Insurance and Freight (CIF), or Carriage and Insurance Paid (CIP), bidders shall be free to arrange for ocean and other transportation, and the related insurance, from any Eligible Member Country. On the other hand, where goods are shipped on FOB basis, and the Bank has agreed to finance transportation and insurance separately, which are arranged by the purchaser, under a separate contract, the Bank shall be satisfied that the services are supplied from Eligible Member Countries.

List of Eligible Countries

6. List of Eligible countries can be found in African Development Bank's website:

<https://www.afdb.org/en/about-us/corporate-information/members/>

Ineligible Countries in reference to ITB 4.8 and ITB 5.1

7. In reference to ITB 4.8 and ITB 5.1, for the information of the Bidders, at the present time firms, goods and services from the following countries are excluded from this Bidding process:

Under ITB 4.8(a) and ITB 5.1: *[insert a list of the countries following approval by the Bank to apply the restriction or state "none"]*.

Under ITB 4.8(b) and ITB 5.1: *[insert a list of the countries following approval by the Bank to apply the restriction or state "none"]*

Section VI - Fraud and Corruption

1. Purpose

1.1 The Bank's Integrity Framework and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harassing, or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.
- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or

indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;

- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring mis-procurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Banks Integrity Framework and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner;¹² (ii) to be a nominated¹³ sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants), consultants, contractors, and suppliers; and their sub-contractors, sub-consultants, service providers, suppliers, agents, personnel, permit the Bank to inspect¹⁴ all accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have them audited by auditors appointed by the Bank.

¹² For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

¹³ A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

¹⁴ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.



Part 2: Works' Requirements

Section VII - Works' Requirements

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Scope of Works

1. Extent of the Works

1.1 Road Works & Drainage Works

1.1.1 Existing Roads & Drainages

The table below lists the roads to be upgraded under this contract, followed by a brief description of the existing road condition:

Road Name	From	To	Improvement	Length (km)	Region
Mugema Road	Kibuye-Busega Rd	Sentema Rd	Upgrading to Surfaced Standard	3.44	Lubaga
Masiro Road	Kasubi Junction	Sentema Rd	Reconstruction	2.10	Lubaga
Sentema Rd 1	Albert Cook Rd	Kampala Northern Bypass Rd	Reconstruction	4.10	Lubaga
Sentema Rd 2	Kampala Northern Bypass Rd	Bukasa Link	Upgrading to Surfaced Standard	6.10	Wakiso
Kiwunya Drainage Channel	Sir Apollo Kaggwa Rd	Kampala Northern Bypass Rd	Channelization	2.50	Kawempe & Lubaga
Nsooba Drainage Channel	Kalerwe	Kyebando	Channelization	1.40	Kawempe
Junction	Nsambya Road / Hanlon Road		Reconstruction	0.52	Makindye

a) Mugema Road

The road starts at Kibuye-Busega Road (A109) (N0446808, E0033619) and spans a length of 3.44Km up to Sentema Road at (N0448749, E0035906), all in Lubaga Division. The road functions as a collector road. Various properties gain direct access from the road, and there are several uncontrolled intersections along the road. The road is gravel, and generally in fair to poor condition.

This road is to be upgraded to a surfaced standard road and will remain a single carriageway, with a lane in each direction. It is expected that several properties will be impacted by the wider cross section.

The geometric design standards achieved for this road complies with a design speed required for 30km/hr. The horizontal alignment generally follows the existing alignment with some minor deviations off the existing centreline where space constraints were encountered.

The road surface drainage design is accommodated via kerb inlets and conveyed via new longitudinal pipe networks that will tie into the existing storm water network. At existing outlets scour protection will be provided.

b) Masiro Road

The road starts at Kasubi Junction and spans a length of 2.10Km up to Sentema Road, all in Lubaga Division. The road functions as a collector road. Various properties gain direct access from the road, and there are several uncontrolled intersections along the road. The road is gravel, and generally in fair to poor condition.

This road is to be upgraded to a surfaced standard road and will remain a single carriageway, with a lane in each direction. It is expected that several properties will be impacted by the wider cross section.

The geometric design standards achieved for this road complies with a design speed required for 30km/hr. The horizontal alignment generally follows the existing alignment with some minor deviations off the existing centreline where space constraints were encountered.

The road surface drainage design is accommodated via kerb inlets and conveyed via new longitudinal pipe networks that will tie into the existing storm water network. At existing outlets scour protection will be provided.

c) Sentema Road-1 (Section 1)

The road starts at Wakaliga Road (N 0450802, E 0034287) and it spans a length of 4.10Km up to Kampala-Northern Bypass Road (N 0447731, E 0036690), all in Lubaga Division. The road functions as an arterial road. Various properties gain direct access from the road, and there are several uncontrolled intersections along the road. The road is paved, and generally in fair to poor condition, with many potholes.

This Road is to be reconstructed, and will remain a single carriageway, with a single 3.5m wide lane in each direction. Pedestrian walkways on each side will be provided along the entire route, together with bus bays that have been provided at strategic locations along the route, space permitting. Protected pedestrian crossings have been provided at key controlled intersections.

The road surface drainage design is accommodated via kerb inlets and conveyed via new longitudinal pipe networks that will tie into the existing storm water network. At existing outlets scour protection will be provided.

d) Sentema Road-2 (Section 2)

The road starts at Masanafu roundabout on the Northern Bypass (N 0441669, E 0039003) traversing areas of Kireka T.C and end at the junction with the National Trunk road A109 at Bukasa Police Station (N 0450802, E 0034287). It spans a length of 6.10Km up to Bukasa Police Station (N 0450802, E 0034287), all in Wakiso District. The road functions as an arterial road. Various properties gain direct access from the road, and there are several uncontrolled intersections along the road. The road is unpaved, and generally in fair to poor condition, with many potholes.

This Road is to be upgraded to bituminous standards, and will remain a single carriageway, with a single 3.5m wide lane in each direction. Pedestrian walkways on each side will be provided along the entire route, together with bus bays that have been provided at strategic locations along the route, space permitting. Protected pedestrian crossings have been provided at key controlled intersections.

It is characterized by varying existing road widths, that is; 12m to 8m from Ch. 0+000 to Ch.4+500 respectively, narrowing to 7m in swampy area at Ch.5+000 stretching for approximately 500m and then widening to 8m from Ch.5+500 to the road end in Bukasa. The Lubigi-Bukasa section of Sentema road has a paved stretch of approximately 500m from the Northern Bypass to Nakuwadde after which it is a purely gravel road to Bukasa. It has existing and functioning earth drains on the RHS and LHS for the entire road stretch and a swampy section between Ch.4+700 and Ch5+500. It has a number of distresses, namely; excessive dustiness, potholes and corrugations.

The road surface drainage design is accommodated via kerb inlets and conveyed via new longitudinal pipe networks that will tie into the existing storm water network. At existing outlets scour protection will be provided.

e) Kiwunya Channel (Secondary 6)

Secondary channel 6 starts off near Sir Apollo Kaggwa road in Makerere-Kikoni area (N 0450270, E 0036194) and terminates in Lubigi Channel along the Kampala-Northern Bypass Road ((N 0451469, E 0038542). The channel divides Kawempe and Rubaga divisions, traversing through Makerere A, Makerere B, Tree shadow, and Kasubi 1, zones. The communities and types of structures through which the channel traverses range between slums with mud houses in Makerere Kikoni to commercial storeyed structures in Nakulabye. The hydraulic capacity of the structures falls well short of the design flows and could well be the major cause of flooding in the areas. Advice received from KCCA maintenance staff advised that the initial area adjacent to the Lubigi Channel and the Northern Bypass floods badly and is a major problem area. Due to the extremely flat nature of the low lying surround land combined with the under capacity culverts (in term of both dimension and blockages) on the Northern Bypass, incoming storm water runoff cannot escape and creates a backwater effect upstream causing the effects of flooding to migrate upstream.

f) Nsooba Channel (Secondary 10+10A)

Secondary channel 10+10A starts off in Kalerwe area (N 0452053, E 0038849) and terminates in Kyebando near Akamwesi Gardens (N 0452149, E 0040409). This channel consists of a number of small dimension tributary channels. The area has 2 natural wetland area which are currently being diminished in size and capacity by developers dumping solid fill material into the wetland areas. It is only a matter of time before the entire wetlands have been overtaken by development. In reality, much of Secondary Channel #10 can be defined as side drain to the Northern Bypass with incoming tributaries dumping flows into an already under capacity channel with flows further restricted by undersized and blocked culverts. The area experiences regular flooding.

g) Nsambya Road / Hanlon Road Junction

A portion of both Nsambya Estate Road and Hanlon Road is to be reconstructed and widened to suit the local upgrade of Nsambya Rd / Hanlon Rd Junction. The junction is to be signalized.

Nsambya Road and Hanlon Road is to be reconstructed as shown on the drawings, with additional lanes where applicable. Pedestrian walkways on each side will be provided along the entire route. Protected pedestrian crossings have been provided at the key controlled intersections.

The road surface drainage design is accommodated via kerb inlets and conveyed via new longitudinal pipe networks that will tie into the existing storm water network. At existing outlets scour protection will be provided.

1.1.2 Road Cross-Section

Each of the roads to be upgraded have been classified in accordance with the KCCA road classification. The table below provides the details of each of the road components.

Road Name	Improvement	Length (km)	Region	AADT	Classification	Design Speed	Lanes	Surfaced Width	Sidewalks (LHS & RHS)
Mugema Road	Upgrade to Surfaced standard	3.44	Lubaga	5 127	KB	30	2	6.5	1.25
Masiro Road	Upgrade to Surfaced standard	2.10	Lubaga	-	KB	30	2	6.5	1.25
Sentema Rd-1	Reconstruction	4.10	Lubaga	17 194	KA	30	2	6.5	1.25
Sentema Rd-2	Upgrade to Surfaced standard	6.10	Wakiso District	13 509	KA	30	2	6.5	1.25
Nsambya Rd / Hanlon Rd Junction	Reconstruction and Signalization	-	Makindye	-	-	30	-	-	1.25

There are typical cross sections included with the set of drawings.

1.1.3 Batter Policy

- i. Fill Slopes

All fills shall be constructed to a constant batter of 1 vertical to 1,5 horizontal unless otherwise ordered by the Engineer or as shown on the drawing.

Guardrails combined will generally be provided at all fills higher than 3.0m, at retaining structures and at any other location as directed by the Engineer.

ii. Cut Slopes

Cut slopes will generally be constructed at the following batters:

- 1 vertical to 1.5 horizontal in soft material.
- 1 vertical to 0.5 horizontal in hard material.
- 1 vertical to 1 horizontal in moderately hard material

1.1.4 Road Geometry

The road geometry of the upgraded roads generally follows the existing road alignment with some isolated improvements where possible. The roads to be upgraded are classified as follows:

Mugema Rd	-	KB	(Collector Road)
Masiro Rd	-	KB	(Collector Road)
Sentema-1 Rd	-	KA	(Arterial Road)
Sentema-2 Rd	-	KA	(Arterial Road)
G1J-1 Junction	-	KB	(Collector Road)

There is no major geometric re-alignment improvement on these three roads. This is largely due to the adjacent built up areas next to the project roads. The table under 6.1.2 above shows the final posted speeds which is indicative of the restrictions encountered along these roads.

1.1.5 Widening of Fills

The widening of all the existing fills as well as new fills that are constructed where the natural cross fall of the roadbed exceeds 1:10, shall be bonded to the existing fills or roadbed by means of benches excavated into the existing fills or roadbed as specified and/or as indicated on the drawings.

1.1.6 Intersections

a) Major Intersections

There are a number of very busy major intersections which form part of the road upgrades and as such, vehicular and pedestrian traffic accommodation in the vicinity of these major intersections will have to be carefully planned with minimal lane closures. The new surfacing of the project roads will tie into the major intersections as shown on the drawings.

b) Minor Intersections

These intersections are for existing access roads that intersect with the project roads. These intersections shall be surfaced up to the limits of construction shown on the plans.

c) Business / Residential Accesses

These accesses will be linked to the new project roads via a vehicular access, constructed with concrete as detailed on the drawings.

1.2 Road Pavement

1.2.1 Traffic

The table below and on the following page provides the Average Annual Daily Traffic on all four roads to be upgraded under this Lot.

Classification	Mugema Road		Masiro Road	Sentema-1 Road		Sentema-2 Road	
	North-bound	South-bound	The road is to be designed during the design review stage of the other roads in Lot 5	West-bound	East-bound	West-bound	East-bound
Motorcycles	1963	2008		3668	4598	2,412	4,823
Saloon cars and taxis	395	642		1656	1516	613	1,227
Light goods (vans, pickups and 4WDs)	263	354		1605	1151	361	721
Small bus: Minibuses and Matatus	83	256		726	653	417	834

Medium bus: coaster	10	6		24	20	444	887
Buses	0	0		1	4	11	21
Light single unit trucks, lorries	65	59		236	244	124	247
Medium trucks (2-3 axle rigid)	9	21		56	18	86	172
Heavy goods (3-4 axle rigid)	1	11		8	4	33	66
Articulated truck (truck trailer or truck semi-trailer combination)	0	2		2	1	3	7
Total	2790	3360		7983	8208	4,503	9,006
Bicycles	71	92		197	228	86	172
Carts	0	0		0	0	0	0
Total	71	92		197	228	86	172

1.2.2 Pavement Design

As listed in the Table under Section 6.1.1, the roads to be upgraded to surfaced standard and reconstructed will be constructed with the following pavement designs:

Pavement Design – Mugema Road		
No.	Layer	Description
1	Surfacing	50mm Asphalt (AE-2)
2	Base	175mm CRR Crushed Stone Base
3	Subbase	175mm G45 (Crushed Stone / Existing Gravel Blend)
4	Selected Layer	150mm G15 Natural Gravel

Pavement Design – Sentema Road-1		
No.	Layer	Description
1	Surfacing	40mm Asphalt (AP-1)
2	Base	200mm Bitumen Base (AP-1)
3	Subbase	200mm Crushed Stone CRR
4	Selected Layer	150mm G15 Natural Gravel

Pavement Design – Sentema Road-2		
No.	Layer	Description
1	Surfacing	50mm Asphalt (AP-1)
2	Base	200mm CRR Crushed Stone Base
3	Subbase	200mm G30 Natural Gravel
4	Selected Layer	150mm G15 Natural Gravel

Pavement Design – Nsambya Road / Hanlon Road Junction		
No.	Layer	Description
1	Surfacing	50mm Asphalt (AP-1)
2	Base	200mm CRR Crushed Stone Base
3	Subbase	175mm G45 (Crushed Stone / Existing Gravel Blend)
4	Selected Layer	150mm G15 Natural Gravel

1.2.3 Pavement Materials

i. Crushed Aggregate

Crushed aggregate (CRR to be used as road base or CRS to be blended with the existing gravel), will be sourced from commercial quarries on the outskirts of the city.

ii. Subbase

Crushed aggregate (CRS) will be blended with the existing pavement gravel materials to produce a G45 subbase. The material will generally be blended 60/40. Allowance for increasing or decreasing the quantities of either material was made in the Bill of Quantities for each road, in case the required pavement strength cannot be met with this blend.

iii. Upper and Lower selected subgrade Layers

It is possible that the existing pavement layers can be reworked to form the selected layers for the new pavements. In addition will have to be imported from commercial borrow pits located in and around the city.

iv. Fills and Subgrade

Fill material can be sourced from the commercial borrow pits as well as from the existing road where possible. Fills and subgrades may need to be improved to achieve the minimum requirements. This will generally include increased density requirements.

v. Water

Water for construction can be sourced from two landing sites adjacent to Lake Victoria.

1.2.4 Roadbed Treatment

The following roadbed treatment(s) shall apply:

i. RB1: Roadbed (fill) on S-3 Material

Roadbed treatment of fills may require additional compaction to achieve the minimum specified requirements.

ii. RB2: In-situ Treatment of Roadbed

In situ treatment may require the removal of unsuitable material, the importation of selected materials or rock-fill, scarifying or ripping, and compaction of the existing materials.

1.3 Drainage Works

Drainage works will involve the following:

1.3.1 Pipe Culverts

Pipe culverts with standard headwalls, catch-pits shall be provided in accordance with the plans.

1.3.2 Subsoil drains

Subsoil drains shall be constructed in all the cuttings as well as other areas indicated on the drawings or where requested by the Engineer.

1.3.3 Concrete lined side drains and grid inlets

Concrete lined side drains shall be constructed where indicated on the drawings or as otherwise requested by the Engineer.

In situ concrete terminal sections will be constructed where concrete lined side drains terminate at the end of cuts.

Concrete lined side drains (table drains) will only be constructed in shallow cuts where required.

1.3.3 Berms and chutes in cuts

Berms shall be provided on the higher/upstream side of all cuts as indicated on the drawing.

1.3.4 Erosion protection measures

The soils along this entire section of road are very erodible and therefore erosion protection will be required at all locations where concentrated storm water is dispersed. Erosion protection measures as indicated on the drawings or as otherwise requested by the Engineer will still be required at all storm water culverts.

1.3.5 Kerbing and Channelling

Kerbing and channelling will form an integral part of the road prism and will be constructed as shown on the drawings.

1.4 Ancillary Roadworks

1.4.1 Shelters at bus/taxi stops

Prefabricated concrete shelters will be erected at all bus/taxi stops according to the drawings. Bus/taxi stops will be provided at locations that will be agreed with the local communities but will in most instances be close to the accesses to the rural villages next to the road.

1.4.2 Fencing

The road reserve will be fenced in places where required. Prefabricated concrete straining/gate posts, stays and intermediate posts will be used in all fencing to be erected on site in accordance with the standard drawings.

1.4.3 Provision of road markings

A minimum of three weeks shall be allowed after the completion of the final road surfacing before road markings are applied, unless otherwise instructed by the Engineer. Allowance has been made in the bill of quantities for the re-establishment of the road marking team for the repainting of all road markings during the defects liability period or as otherwise instructed by the Engineer.

1.4.3 The installation of road studs

Road Studs will be erected where indicated on the drawings

1.4.4 The erection of new road signs

New Road Signs will be erected where indicated on the drawings

1.4.5 Guard rails

Guard rails will be erected where indicated on the drawings or as otherwise instructed by the Engineer. Buried end treatments will be provided on the approach side while end wings will be provided at the end of the different sections of guardrail.

1.4.6 Finishing off, Landscaping and Grassing

All cut and fill slopes and borrow pits shall be treated with topsoil and grass (approved seed mix) after they have been properly shaped/finished to the satisfaction of the Engineer

1.5 Junctions

The following junctions will require signalization under this contract.

Junction No	Junction Name	Road/Junction	Division	Required Intervention
G1J-1	Nsambya Road / Hanlon Road Junction	Nsambya Road / Hanlon Road Junction.	Makindye	Reconstruction / Signalisation

1.6 Drainage Works

The following drainages will require channelization under this contract.

Sr/No	Drainage Name	Start	End	Division	Required Intervention
1	Kiwunya Channel (Secondary 6)	Sir Apollo Kaggwa Rd	Kampala-Northern Bypass Rd	Kawempe & Lubaga	Channelization
2	Nsooba Channel (Secondary 10+10A)	Kalerwe	Kyebando	Kawempe	Channelization

1.7 Structural Works

There are no major structures under this Lot.

Specification

The Technical Specifications are bound separately as "Volume 3"

Environmental and Social (ES) requirements

1.0 Introduction

Kampala Capital City Authority has focused efforts to ensure that implementation of KCRRP is consistent with Environment and Social Safeguards requirements. Particular attention is therefore made to Uganda's enabling policy, legal and regulatory frameworks, Kampala Climate Change Action Strategy, African Development Bank Integrated Safeguards System and best industry practice. To meet development challenges, risk identification and management of predictable project impacts will pillar processes to streamline sustainability to benefit affected communities and preserve the environment. KCCA has developed Environment and Social Policy and ESHS Code of Conduct (see section 2.0 and C-2) to ensure that KCRRP operations are environmentally and socially sustainable.

1.1 Documentation Obligations of the Contractor

The Contractor shall be obliged from the bidding stage to prepare Environmental, Safety, Health and Social Management Strategies and Implementation Plans (ESHS-MSIPs) and the ESHS Code of Conduct (ESHS-CoC) that guide managing Environmental, safety, health and Social risks and impacts of the project implementation. The ESHS-MSIPs shall entail the Contractor's Environmental and Social Management Plan (C-ESMP) which shall be comprised of incidental/supporting plans such as Traffic Management Plan, Health and Safety Plan, HIV/AIDs Plan, Community engagement Plan, Labour Management Plan, Communication Plan, and others, prior to commencement of Works. It shall also include relevant ESHS policies including the Anti-Sexual Harassment Policy, Grievance Redress Mechanism, Chance Finds Procedure, Human Resource Manual, etc., The C-ESMP shall be a "living document", regularly updated in line with Sub-Clause 4.1 of the Contractor's General Obligations, to ensure that all measures appropriate to Works are integrated and conditions in the progressively acquired statutory approvals are integrated.

1.2 Health and Safety Obligations of the Contractor

The Contractor shall prepare a Health and Safety Plan through with clearly laid out procedures of ensuring Occupational and Public Health and Safety throughout the implementation of the project. The plan shall indicate the formation of the Safety Committee and spell out health and safety measures at the project sites and auxiliary facilities including the workers' camp/equipment yard, borrow pits and stone quarries and temporary material storage sites among others. The Contractor shall provide a training plan for his personnel in occupational and public health and safety and ensure that the training records are maintained.

The Contractor shall establish a site clinic to provide First Aid services and identify/contract the services of an HIV/AIDS Service provider. The Contractor can include provisions for expert services for staff training and capacity building in Emergence response, Gender Based Violence, and sexual exploitation and abuse.

1.3 Cooperation in Integrated Safeguards Management

ESHS management is a shared responsibility with different stakeholders and most particularly; the Contractor, Supervising Consultant and Client having clear-cut responsibilities. Lack of cooperation by the Contractor potentially results in non-responsiveness to ESHS and related requirements, concealment of information, generates grievances and slugs progress of Civil Works. Contractor's cooperation will be paramount in the preparation of ESIA for ancillary facilities (Workers' camps, material stockpiles, borrow pits, stone quarry sites), identification and approval of material dumping sites, and risk identification and management among others. Mechanisms to streamline inter and intra project institutional cooperation should be integrated in the ESHS MSIPs, specifying engagements with Lead Agencies and project stakeholders including potentially affected communities.

1.4 Certification Requirements and Statutory Approvals

The Contractor shall acquire all the necessary statutory approvals which shall be a critical component of the final C-ESMP. With the Certificate of ESIA Approval for the roads from NEMA Uganda in place, the contractor shall be responsible for processing acquisition necessary approvals for ancillary facilities, including worker's camp, equipment yard, borrow pits, material stockpiles and asphalt plant. In addition, the Contractor shall obtain the Certificate Registration of Workplace by the Ministry of Gender, Labour and Social Development, Surface or Ground Water Abstraction Permit from the Directorate of Water Resources Management of the Ministry of Water and Environment; Tree cutting permit, from the Physical Planning, KCCA and any other permits required others.

1.5 Environmental protection

Environmental protection is a critical strategy towards prevention of pollution to contain harmful risks to human health and environment, including risks and impact of climate change such as extreme flood events. To shall set strategies to achieve high-quality environmental performance and efficient and sustainable use of natural resources in line with the Operational Safeguard 4 (Pollution prevention and control, hazardous materials and resource efficiency). The Contractor shall set out measures in the MSIPs/C-ESMP to control exhaust emissions from project equipment (e.g. through regular servicing of construction equipment, heavy trucks, cars, power generators, etc.), management of wastewater, management of hazardous and non-hazardous waste (used oil, medical waste, oily rags and sand, etc.), control against excessive

vegetation clearance and strategies for compensatory tree planting (where avoidance of tree cutting is not possible), fugitive dust emissions, among others.

The Contractor shall ensure that noise management is consistent with the Maximum Permissible Noise Levels for the general environment as set out in National Environment (Noise Standards and Control) Regulations, 2003

Regulations 6(1): Maximum Permissible Noise Levels for General Environment

Facility	NOISE LIMITS dB (A) (Leq)	
	Day	Night
A. Any building used as hospital, convalescence home, home for the aged, sanatorium and institutes of higher learning, conference rooms, public library, environmental or recreational sites.	45	35
B. Residential buildings	50	35
C. Mixed residential (with some commercial and entertainment).	55	45
D. Residential + industry or small scale production + commerce.	60	50
E. Industrial	70	60

Source: National Environment (Noise Standards and Control) Regulations, 2003, first Schedule, Part 1, pg 300

The Contractor shall define the effluent discharge in accordance with standards for discharge of effluent as specified in the National Environment (Standards for Discharge of Effluent into Water or on Land) Regulations, 1999.

In addition, the Contractor shall ensure that project activities do not alter baseline air conditions above the proposed ambient air quality emission standards for Uganda as provided for in the Draft Air Quality Standards and Regulations.

Pollutants	Time of average	Draft Ugandan Ambient Air Quality Standards (ppm)
Sulphur dioxide (SO ₂)	Annual	
	24 hour	0.15
	1 hour	
	10 mins	
Nitrogen dioxide (NO ₂)	Annual	0.1
	1 hour	
Particulate Matter (PM10)	Annual	
	24 hour	300
	1 hour	
Carbon monoxide	8 hour	9
	1 hour	
Hydro carbons	24 hour	5

The Contractor shall monitor project equipment to ensure conformance with standards for Uganda that drafted for heavy duty diesel powered vehicles, diesel passenger cars, petrol passenger cars and petrol gasoline light trucks as presented in the table below

Vehicle Category	Standard Applicable				
	NOx	CO	PM	HC	VOCs
Heavy duty diesel powered (g/kWh)	7.0	4.5	0.15	1.23	
Diesel Passenger Cars (g/km)	1.25	4.2	0.08		
Petrol/gasoline passenger cars (g/km)	0.08	1.2		0.10	
Diesel light duty trucks (g/km)	0.38	2.6	0.06	0.19	0.19
Petrol gasoline light trucks (g/km)	0.6	2.1		0.3	

1.6 Social Safeguards and Gender Management

The Contractor shall present measures to manage social safeguards, prevent Sexual Exploitation and Abuse, and Gender Based Violence as well as labour management in line with national employment laws. These measures shall be integral to the ESHS MSIPs complete with the several management plans including Anti-sexual harassment policy, Gender Management Plan, and Labour Management Plan. Consistent with the Operational Safeguard 5 of AfDB (Labour conditions, health and safety), the Contractor shall set out measures to manage the workers' conditions, rights and protection from abuse and/or exploitation. The Contractor shall define the working hours in accordance with the national labour laws and conditions in the Certificate of ESIA Approval.

The Contractor shall ensure that resting time for workers, rates for overtime worked, employment benefits including National Social Security Fund (NSSF), annual leave requirements, resting periods, etc. are clearly defined in the employment Contracts. The Contractor shall be required to establish a functional Human Resource Office prior to commencement of works to ensure systems building that allows proper recruitment of workers and prevention and management of grievances.

1.7 Training Requirements

The Contractor shall cooperate with project team from the Client and Supervising Consultant to ensure orientation and identification of capacity building needs for effective implementation of the project. Training requirements in focus include but not limited to grievance redress management, emergence response planning, safety management on construction sites, incident notification and management, sexual harassment, and sexual exploitation and abuse.

Target Staff	Training Area	Responsibility	Target Period
<ul style="list-style-type: none"> Contractor's Project Manager, All Contractor's safeguards team (Environment Officer, Safety Officer, Sociologist, Safeguards Manager) Supervising Consultant's Environmental and Social Experts 	<ul style="list-style-type: none"> Preparations for safeguards management: Acquisition of statutory approvals, preparation of Contractor's ESMP and incidental plans, policies, safeguards management systems building, etc. 	Contractor (in coordination with Client and Supervision Engineer)	Prior to Commencement of Works
	<ul style="list-style-type: none"> Safety Management: Occupational and Public health and safety, incident management (incident notification, root cause analysis, reporting procedures, emergence response, etc.) 	Contractor, Client	At Commencement of Works and throughout implementation period
	<ul style="list-style-type: none"> Archaeological and Geological Findings: The Chance finds procedure 	Client	At commencement
	<ul style="list-style-type: none"> Grievance Management: Structure of the Contractor's Grievance Redress Committee, formation of the GRC, Grievance redress processes, linkages between the Contractor's and 	Client (KCCA's Environmental Specialist, Social Development Specialist)	At Commencement

Target Staff	Training Area	Responsibility	Target Period
	Community GRCs, existing grievance handling structures, etc.		
<ul style="list-style-type: none"> Contractor's Sociologist, Environmental Officer, Safeguards Manager, Human Resource Management Officers. 	Sexual Exploitation and Abuse, Sexual Harassment, Child Labour and handling of workers issues	Contractor, Client (KCCA's Environmental Specialist, Social Development Specialist)	At Commencement

2.0 Environment and Social Policy for KCRRP

In the absence of environmental, social, health and safety policies that would apply to the project, Kampala Capital City Authority has developed the Environment and Social Policy for KCRRP roads links and junctions. The policy points project operations to environmental and social sustainability throughout the various phases of the project's life cycle; planning and design, construction, commissioning, operations and decommissioning. KCCA demonstrates her commitment to align project operations with the national legislation and standards, AfDB Operational Safeguards, applicable international conventions, internationally recognised standards and good practice including the EHS Guidelines and especially the World Bank Group Environmental Health and Safety (EHS) Guidelines.

2.1 Purpose of the Environmental and Social Policy

Kampala Capital City Authority (KCCA) is committed to streamlining environmental sustainability and competitiveness, and social acceptability by integrating environmental, social, health and safety requirements into the infrastructural development processes aimed at transforming Kampala City, pursuant to the relevant national policies, laws and regulations, standards, best industry practice and the Bank Operational Safeguards. This Environmental and Social Policy for Works on the roads and junctions is noticeably one of the fundamental strategies towards the realisation of KCCA's Vision **"To be a Vibrant, attractive and Sustainable City."**

This policy particularly focuses on the implementation of the Bank funded roads links and junctions under Kampala City Roads Rehabilitation Project (KCRRP). Several road

links and junctions identified for rehabilitation and upgrading under the project have already been categorised into lots, that is; Lot 1, Lot 2, Lot 3, Lot 4 and Lot 5.

The policy therefore outlines the objectives and strategies through which Kampala Capital City Authority seeks to mainstream environmental and social considerations into the implementation of roads links and junctions through;

- i. Defining the policy goals;
- ii. Describing the roles and responsibilities of KCCA in the project implementation;
- iii. Based on i and ii above, setting a platform for enforcing compliance with environmental and social safeguards

2.2 Policy Goal

The goal of the Environmental and Social Policy is **“to ensure efficient and effective application of environmental, social, health and safety requirements in the implementation of the proposed road links and junctions.”**

2.3 Policy Objectives;

The objectives of this environmental and social¹⁵ policy are to;

- i. stimulate and enforce the application of good international industry practice to protect and conserve the natural environment and to minimize unavoidable impacts;
- ii. guide the provision of, and maintaining a healthy and safe work environment and safe systems of work;
- iii. ensure compliance to all environmental, social, health & safety laws and regulations
- iv. protect the health and safety of local communities and users, with particular concern for the disabled, elderly, or otherwise vulnerable;
- v. ensure that terms of employment and working conditions of all workers engaged in the Works meet the requirements of the ILO labour conventions to which Uganda is a signatory;
- vi. ensure and intolerance of, and enforcement of disciplinary measures for illegal activities. To be intolerant of, and enforce disciplinary measures for GBV, child sacrifice, child defilement, and sexual harassment;

¹⁵ For the purpose of this policy, Social is comprehensively defined to include issues which pertain to project affected people and their communities and workers and is related to socioeconomic status, vulnerability, gender identity, human rights, cultural heritage, labour and working conditions, health and safety and participation in decision making

- vii. incorporate a gender perspective and provide an enabling environment where women and men have equal opportunity to participate in, and benefit from, planning and development of the Works;
- viii. work co-operatively, including with end users of the Works, relevant authorities, contractors and local communities;
- ix. engage with and listen to affected persons and organizations and be responsive to their concerns, with special regard for vulnerable, disabled, and elderly people;
- x. provide an environment that fosters the exchange of information, views, and ideas that is free of any fear of retaliation;
- xi. minimize the risk of HIV transmission and to mitigate the effects of HIV/AIDS associated with the execution of the Works;

2.4 Mainstreaming Environmental, Social, Health and Safety considerations

2.4.1 Commitments

- i. KCCA shall ensure that implementation operations at all project sites and operations at ancillary facilities shall be structured to be consistent with the requirements of this Policy.
- ii. The Client (KCCA) shall enforce compliance with all resource consent conditions (Conditions provided to permits or licenses to exploit natural resources).
- iii. KCCA strives within its mandate to ensure through its environmental and social appraisal and monitoring processes, that the proposed roads and junctions are implemented and operated in compliance with applicable national regulatory requirements and international best practice. That is, the project operations shall be aligned with applicable laws and African Development Bank safeguards policies.
- iv. Consistent with (iii) above, KCCA will observe total compliance with Ugandan Laws relevant to environment, labour, health and safety among all the project implementation partners, including the Consultant, the Contractor and subcontractors.
- v. As a beneficiary of the African Development Bank funding, KCCA commits to promote the adoption of the African Development Bank environmental and social safeguards as applicable in the implementation of KCRRP roads links and junctions. Where the relevant national policies and regulations differ from the African Development Bank substantive environmental standards and social requirements, the implementation will be expected to meet whichever is more stringent.

- vi. KCCA firmly commits to monitor project activities and streamline practical implementation of occupational health and safety measures that provide: (a) preventive and protective measures, mostly involving modification, substitution, or elimination of hazardous conditions or substances; (b) equipment to minimize risks, and require and/or enforce its use; (c) provision of personal protective equipment (PPE) at no cost to the workers; and (d) training to workers through toolbox talks, to use and comply with health and safety procedures and protective equipment.
- vii. KCCA strongly promotes gender equality based on its being a core aspect of a modern, well-functioning market economy and democratic society. Thus, KCCA commits to ensure that all parties on the project identify any potential gender specific and disproportionate adverse impacts and promptly employs identifiable mitigation measures enshrined in the ESMP as well as national regulations, best industry practice and African Development Bank safeguards.
- viii. KCCA shall guide the efforts to enhance the positive gender impact of the project through active promotion of equal access to opportunities and women's socio-economic empowerment, particularly with respect to access to services and employment.
- ix. KCCA identifies with efforts to address the causes and the consequences of climate change. Therefore, the institution will ensure, whenever appropriate application of climate-change mitigation and adaptation opportunities during the project implementation such as managing identified environmental offsets. By its own form, this project plays a major role in reducing carbon emissions by reduced exhaust emissions from smooth traffic flow in Kampala City.
- x. Observance of the social safeguards requires considerable investment in stakeholder engagement where information sharing with an eye on transparency and accountability is paramount. KCCA will therefore disclose important information for public consumption including the Environmental and Social Impact Assessment reports and engagement in constructive dialogue with stakeholders to promote stakeholder buy-in and therefore social sustainability of the project.

2.4.2 Responsibilities

To achieve the above stated objectives, the different project implementing parties, namely; Kampala Capital City Authority (KCCA), the Supervising Consultant and the Contractor, including their nominated sub-contractors shall carry out various responsibilities. As the developer, KCCA will play a major role in ensuring efficient operations during the construction, operational and decommissioning of the project.

Working individually and in coordination with the Consultant, KCCA shall critically monitor the project operations to ensure:

- i. that minimum disruption to the activities at the project sites, including residents along the roads, road users and project workers. Minimum disruption will be further ensured at project supporting facilities such as campsites, borrow pits and stone quarries;
- ii. that procurement or extraction of borrow material and stone quarry products from commercial borrow pits and stone quarries is matched with practical efforts to address environment and social risks and impacts (controlled speed and dust suppression). Physical due diligence shall ensure that commercial stone quarries meet statutory requirements including possession of NEMA approvals and requirements including environmental and social audits. Should the Contractor seek to open up new borrow pits, they will be expected to conduct environmental studies to meet approval requirements.
- iii. that the Contractor's Environmental and Social Management Plan (C-ESMP) is comprehensive and covers all environmental, social, health and safety aspects as addressed by the ESIA and/or ESMMP, ESMF, RPF, Certificates, approvals and permits.
- iv. That the Contractors capacity to manage environmental and social safeguards is thoroughly assessed and capacity-building needs are met through, among others training by the Employer's personnel on environmental and social aspects.
- v. Conduct spot-checks and monitoring to observe zero tolerance to non-compliance throughout the project implementation;
- vi. That the Consultant monitors for safe and healthy conditions of project workers and where possible the Contractors' efforts to inform, train, supervise and consult workers on health and safety. KCCA's team with competences in health and safety will coordinate with the Consultant to ensure that project workers observe their commensurate responsibility to cooperate with the Contractor in line with ESHS code of Conduct and take care of their own, and, public health, and safety.
- vii. The Consultant and the Contractor are adequately staffed with competent personnel to manage the implementation of environmental, social, health and safety requirements
- viii. That the Contractor is assisted to understand their responsibility to operate within the framework of the measures defined in the ESIA/ESMMP and ESMF as well as the RPF guidelines on land acquisition.

- ix. Ensure that the Contractor has adequate resources for management of environmental and social aspects;
- x. that regular monitoring and reporting activities are conducted to ensure that all the challenges and opportunities registered during the project implementation are recorded as learning lessons for the future projects.
- xi. that findings from project monitoring are shared onsite with the Contractor prior to the dissemination of the information on non-compliances with other parties for immediate action.
- xii. the responsible environmental and social personnel involve relevant directorates within KCCA as well as other Lead Agencies such as the National Environment Management Authority (NEMA), Uganda Wildlife Authority (UWA), Ministry of Gender, Labour and Social Development (MoGLSD), Directorate of Water Resources Management (DWRM), Department of Wetlands Management of the Ministry of Water and Environment, and others, during the implementation of the project.
- xiii. that compliance to environmental and social requirements at all ancillary project sites are regularly monitored and compliance of all sub-contractors and service providers on the project is enforced;
- xiv. that prior notification before to the Consultant's approval of all the material (cut-to-spoil) dumping sites is obtained
- xv. that the Contractor has obtained all the requisite approvals, permits and licenses prior to the commencement of works;
- xvi. that a robust Grievance Redress Committee (GRC) is in place to handle the grievances emerging from the project operation is in place. The GRC shall institute mechanisms to gather and address the grievances from the communities along the project sites;
- xvii. that the preparation of the Decommissioning Plan four months before the completion of the project construction activities is overseen.

3.0 Concluding Policy Statement

Kampala Capital City Authority firmly commits to an environmental and social policy that enables all Works to be conducted in an environmentally and socially sustainable manner. The overall goal is to remove or at least reduce risks to the health, safety and welfare of workers, contractors, authorized visitors to the project sites, project host communities and members of the general public.

As an implementing authority, we recognise the prominence of actively involving multiple stakeholders for smooth project operations. The co-operation of Contractors,

workers, road users and members of public is critical in managing the environmental and social safeguards in such a complex urbanised environment that Kampala City is. We firmly seek to create a safe working environment with a zero accident rate and social stability.

Code of Conduct

1.0 Introduction

This document serves to highlight on the general code of Conduct for the implementation of the project's roads links and junctions. At the institutional level of the implementing organization, Kampala Capital City Authority (KCCA) sets this document as a commitment to ensure smooth implementation of KCRRP road links and junctions. Consistent with the project's Environment and Social Policy, the purpose of the Code of conduct is to ensure that the project entirely complies with all applicable laws and regulations. From the outset, KCCA commits not to condone the activities of any implementing partner either as the Consultant, the Contractor, Subcontractors or nominated sub-contractors, that may strive to achieve results through the violation of the enabling laws or unethical business dealings including but not limited to payments for illegal acts, concealment of noncompliance, collusion, environmental abuse, and others.

1.1 Purpose of the Code of Conduct

The purpose of the Code of Conduct is to ensure that all project activities are above the minimum standards required by the national laws, the Bank Safeguards and Policies and best industry practice. It is consistent with the Project's Environment and Social Policy and ultimately seeks to meet the strategic Vision (*To be a vibrant, attractive and sustainable city*) and Mission (*To deliver quality services to the city*) of Kampala Capital City. This document generally serves to guide the project team including the Contractors and Supervision Consultants of the expectations of the client by highlighting a requirement for them to develop detailed Code of Conduct that align their activities with the expectations of the Client.

Overall, KCCA expects the Consultant and the Contractor to take full accountability for the performance of their staff in line with the General Conditions of the Contract, national laws and regulations and the Bank Safeguards Policies. They are expected therefore to ensure that their actions are not interpreted as being, in any way, in contravention of the relevant laws and regulations governing the project operations.

1.2 General Conduct of all the Project Employees

Kampala Capital City Authority (KCCA) expects all the project staff at all levels to conduct themselves in ethically acceptable standards. As such, consuming alcohol at the project sites, disrespect and inappropriate behaviour towards project affected persons and affected community members, gambling and similar unprofessional activities are strictly prohibited while on the job. Besides, all the project staff include

those employed under subcontractors shall not engage in sexual harassment/exploitation or even conduct themselves in a way that can be construed as such, including for instance, using inappropriate language, keeping or posting inappropriate materials in their work area, or accessing inappropriate materials on their computer or sharing pornographic content through any medium with the project staff.

Whereas all efforts should be made to ensure that harassment in all forms (be it physical or verbal) that creates tension at work, interferes with work or makes the working environment hostile is avoided, there is need to avoid the abuse by some workers of the available measures through false allegations.

2.0 Avoidance of the Conflicts of Interest

The basic expectation of Kampala Capital City Authority is that all the project staff maintain a high degree of integrity by performing their duties conscientiously, honestly and certainly in line with the best interests of the Organization. KCCA requires all workers to refrain from using their positions or the knowledge gained as a result of their positions for private or personal advantage.

Regardless of the circumstances, where the project workers realize that a given course of action they have pursued, or are pursuing, or are rather contemplating pursuing could place them in a conflict of interest with the project, they should with immediate effect communicate all the facts to their supervisors.

3.0 Prompt Communication

As a minimum, the Client (KCCA) expects its staff and all the implementing partners (Contractors, Sub Contractors, Consultant) to make every effort to ensure efficient, accurate, and timely information sharing on all issues and incidents associated with day-to-day project activities. The Client expects and the Contractors will respond promptly and courteously to all requests for information and to complaints from workers and project hosting communities.

4.0 Environmental, Health and Safety Requirements

Kampala Capital City Authority is committed to the observation environmental, health, social and safety requirements pursuant to the national laws and African Development Bank safeguards. KCCA's strategic effort to be a sustainable city is only possible through the promotion of environmentally sound and socially acceptable development processes. Implementation of the project is matched with an aggressive strategy to manage the impact of the project activities on the environmental conditions in the project areas, besides the active management of health, safety and

social safeguards. In this regard, KCCA's Environment and Social Safeguards management teams shall proactively oversee the operations of the Contractors' activities to ensure that;

- i. Project activities are conducted in an environmentally responsible and socially acceptable and culturally sensitive manner;
- ii. A Contractor's Environmental and Social Management Plan is comprehensive and adequately integrates the voluntary commitments in the ESIA's ESMP (See ESMP in Volume 5), conditions provided in the different permits, licenses and approvals issued, and the general specifications for road and bridge works.
- iii. Raise a red flag in instances where on-the-ground conditions might be harmful to the environment, human health and safety;
- iv. The project implementation team is responsive to environmental concerns raised by the partners, Lead Agencies, local communities and other stakeholders
- v. The project team respects and ultimately complies with sound environmental standards (both international and local), principles and laws
- vi. Monitoring and reporting the project's impact (positive and adverse) of the project activities on local environmental conservation and protection
- vii. Model good environmental stewardship

5.0 General Obligation of partners

As a requirement, Kampala Capital City Authority expects the Contractor and the Supervising Consultants to develop satisfactory codes of conduct that contain obligations on all project staff (including sub-contractors and day workers) that are suitable to address the following issues, as a minimum. The issues to be addressed include:

- i. Compliance with applicable international and local social and environmental safeguards laws, rules, and regulations;
- ii. Compliance with applicable health and safety requirements (including wearing prescribed personal protective equipment, preventing avoidable accidents and a duty to report conditions or practices that pose a safety hazard or threaten the environment) – risk assessment and mitigation;
- iii. Zero tolerance to the promotion and use of illegal substances by staff;
- iv. Non-Discrimination (for example on the basis of family status, ethnicity, race, gender, religion, language, marital status, birth, age, disability, or political conviction or any other condition);

- v. Promote community participation in project implementation, including regular interactions with community members (for example to convey an attitude of respect and non-discrimination);
- vi. Protect against sexual harassment for staff, communities and other actors (for example to prohibit use of language or behaviour, in particular towards women or children, that is inappropriate, exploitative, harassing, abusive, sexually provocative, demeaning or culturally inappropriate);
- vii. Protect against any form of violence or exploitation (for example the prohibition of the exchange of money, employment, goods, or services for sex, including sexual favours or other forms of humiliating, degrading or exploitative behaviour);
- viii. Protection of children against any form of exploitation or maltreatment related to the project implementation, (including prohibitions against exploitative labour, defilement, or otherwise unacceptable behaviour with children, limiting interactions with children, and ensuring their safety in project areas);
- ix. Compliance with sanitation requirements (for example, to ensure workers use specified sanitary facilities provided by their employer and not open areas);
- x. Avoidance of conflicts of interest (such that benefits, contracts, or employment, or any sort of preferential treatment or favours, are not provided to any person with whom there is a financial, family, or personal connection);
- xi. Respecting reasonable work instructions (including regarding environmental and social norms);
- xii. Protection and proper use of property (for example, to prohibit theft, carelessness or waste);
- xiii. Duty to report violations of this Code;
- xiv. Gender consideration, sensitivity and mainstreaming in the project implementation, including sanitation facilities, allocation of work and duties etc.;
- xv. Non retaliation against workers who report violations of the Code, if that report is made in good faith;
- xvi. The Safety Committee in place meets regularly to proactively address the occupational health and safety gaps/ challenges facing the project implementation activities;
- xvii. A Grievance Redress Mechanism in place with a respected robust Grievance Redress Committee (GRC) whose membership is clearly representative of the different categories of workers on the project e.g. the workers' union representatives, female staff representatives, foreign staff, etc.;
- xviii. Develop subsidiary plans including the traffic management plan, Safety Plan, waste management plans and others and ensure that these plans are effectively implemented;

6.0 Conclusion

The Contractor and the Consultant are respectively expected to develop a Code of Conduct following the above framework, which should be written in plain language (and translated in relevant local languages) and signed by each worker to indicate that they have:

- a. received a copy of the code;
- b. had the code explained to them;

acknowledged that adherence to this Code of Conduct is a condition of employment; and understood that violations of the Code can result in serious consequences, up to and including dismissal, or referral to legal authorities.

Contractor's Representative and Key Personnel

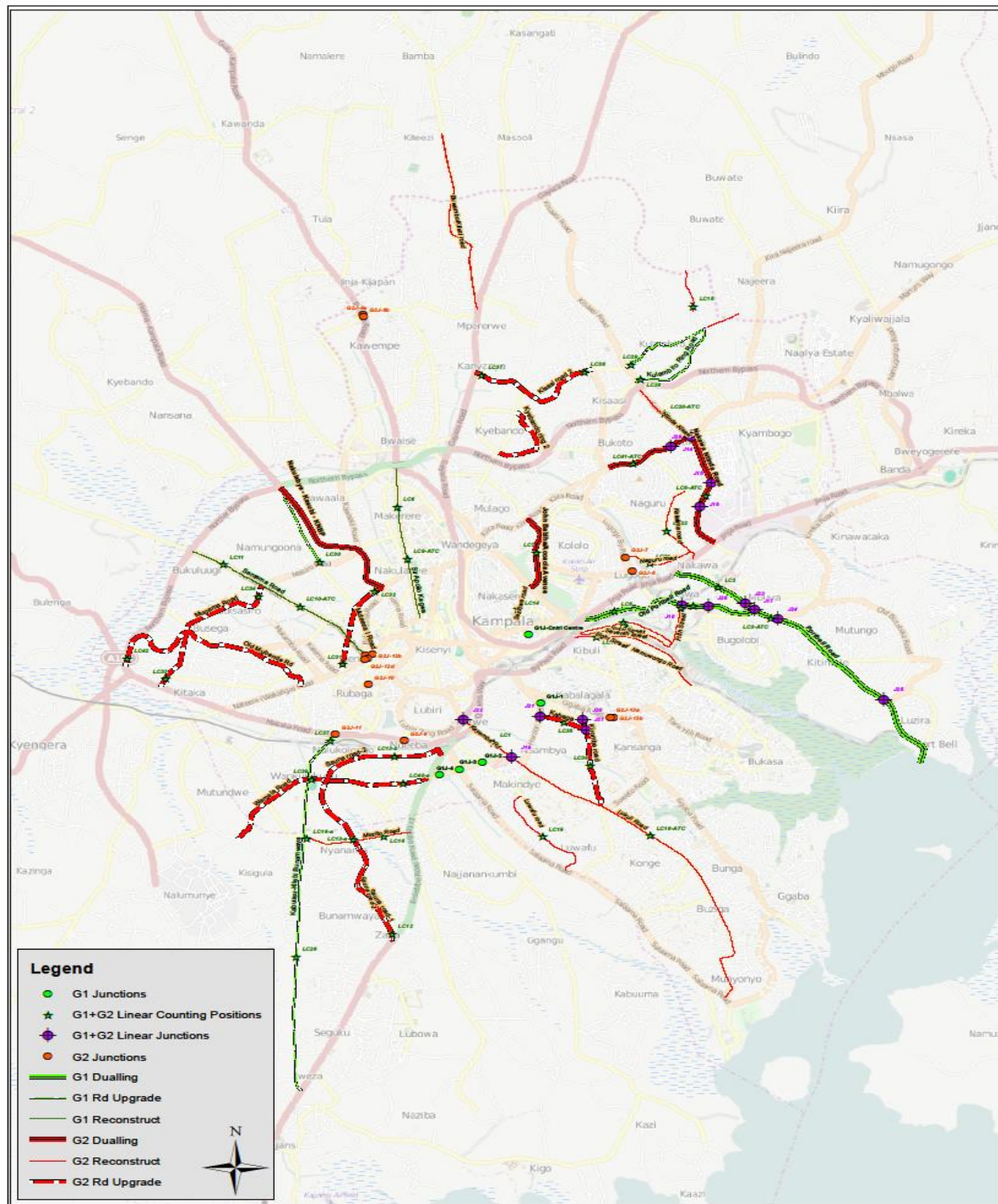
The Bidder must demonstrate that it has the personnel for the key positions that meet the following requirements:

Item No.	Position/specialization	Relevant academic qualifications	Minimum years of relevant work experience	Total Work Experience (years)	Experience in a similar Position (years)	Quantity
1	Contractor's Representative [Project Manager]	B.Sc. Civil Engineering or Equivalent	15	20	10	1
2	Site/Earthworks Engineer	B.Sc. Civil Engineering or Equivalent	10	15	8	2
3	Plant and Equipment Foreman	B.Sc. Engineering or Equivalent	10	15	8	1
4	Drainage and Structures Engineer	B.Sc. Civil Engineering or Equivalent	10	15	8	2
5	Electrical Engineer	B.Sc. Electrical Engineering or Equivalent	10	15	8	1
6	Quantity Surveyor	B.Sc. Quantity Surveying or Equivalent	10	15	8	1
7	Senior Land Surveyor	B.Sc. Surveying or Equivalent	10	15	8	1
8	Materials and Pavement Engineer/Quality Manager	B.Sc. Civil Engineering or Equivalent	10	15	8	1
9	Safeguards Manager	BSc. Environmental Science or Equivalent	8	10	8	1

Item No.	Position/specialization	Relevant academic qualifications	Minimum years of relevant work experience	Total Work Experience (years)	Experience in a similar Position (years)	Quantity
10	Environmental Officer [Environmental]	BSc. Environmental Science or Equivalent	8 years working on road contracts in similar work environments	10	8	1
11	Health and Safety Officer [Health and Safety]	BSc. Health and Safety or equivalent	8	10	8	1 per active site
12	Social Development Officer [Social]	BA. Social Science, BA. Social Works & Social Administration or Equivalent	8	10	8	1
13	Gender Based Violence Officer	BA. Social Science, BA. Social Works & Social Administration or Equivalent	3 years of relevant experience in addressing issues related to sexual exploitation, sexual abuse and sexual harassment]	5 years of monitoring and managing risks related to gender-based violence, out of which	3	1

Drawings

The Drawings are bound separately as Volume 4. However, below is the project location map showing all the Roads & Junctions to be upgraded;



Supplementary Information

1. Miscellaneous

The works specifications form an integral part of the contract documents and shall be deemed to supplement, the General Specifications

In the event of any discrepancy or conflict with any part or parts of the General Specifications, the Bill of Quantities or the Drawings, the Special Specifications shall take precedence.

Wherever reference is made in the Contract document to specific standards and codes to be met by the materials, plant, and other supplies to be furnished, and work performed or tested, the provisions of the latest current edition or revision of the relevant standards and codes in effect shall apply, unless expressly otherwise stated in the Contract document. Where such standards and codes are national, or relate to a particular country or region, other authoritative standards which ensure a substantially equal or higher performance than the standards and codes specified, will be accepted subject to the Engineer's prior review and written approval.

Differences between the standards specified and the proposed alternative standards must be fully described in writing by the Contractor and submitted to the Engineer at least 28 days prior to the date when the Contractor desires the Engineer's approval. In the event that the Engineer determines that such proposed deviations do not ensure substantially equal performance, the Contractor shall comply with the standards specified in the documents.

The General Specifications which form part of this Contract have been written to cover all phases of work normally required for road contracts and they may therefore cover items not applicable to this particular contract.

2. Employer's Objectives

The Government of Uganda applied for a loan for the Kampala City Roads Rehabilitation Project from the African Development Bank (AfDB). Part of the loan will be spent on eligible payments under a contract for the construction works to upgrade selected roads and junctions in Kampala City. The contract is being executed by the Government of Uganda through Kampala Capital City Authority.

Kampala Capital City Authority (KCCA) is mandated, among other things, to plan, develop and maintain the city infrastructure. Good infrastructure plays a major role in promoting economic growth and poverty reduction. For long, the City's infrastructure

has largely received inadequate attention and funding resulting in its dilapidation yet the population and demand for services has been steadily growing.

The Kampala City Roads Rehabilitation Project (KCRRP) was developed to address the institutional and infrastructure challenges of Kampala City. Phase I of the project carried out improvements of various city infrastructure and also addressed key institutional bottle necks including the restructuring of city administration. The overall Project Development Objective of this phase of KCRRP is to enhance city infrastructure to improve urban mobility and deepen the institutional reforms for economic growth.

Under the KCCA Infrastructure development component, this Phase of KCRRP will seek to construct and upgrade identified roads and junctions that are central to enhancing mobility thereby increasing the useful life of city infrastructure.

The Employer's objectives are to deliver public infrastructure. The aim is to provide temporary employment opportunities coupled to training for the communities in close proximity of the project roads.

The description of the project contained in the Description of Work is merely an outline of the Contract Works and shall not limit the work to be carried out by the Contractor under this Contract. Details of some of the major items are given in this section and approximately detailed quantities for each type of work to be carried out in accordance with the Contract Documents are included in the Bill of Quantities.

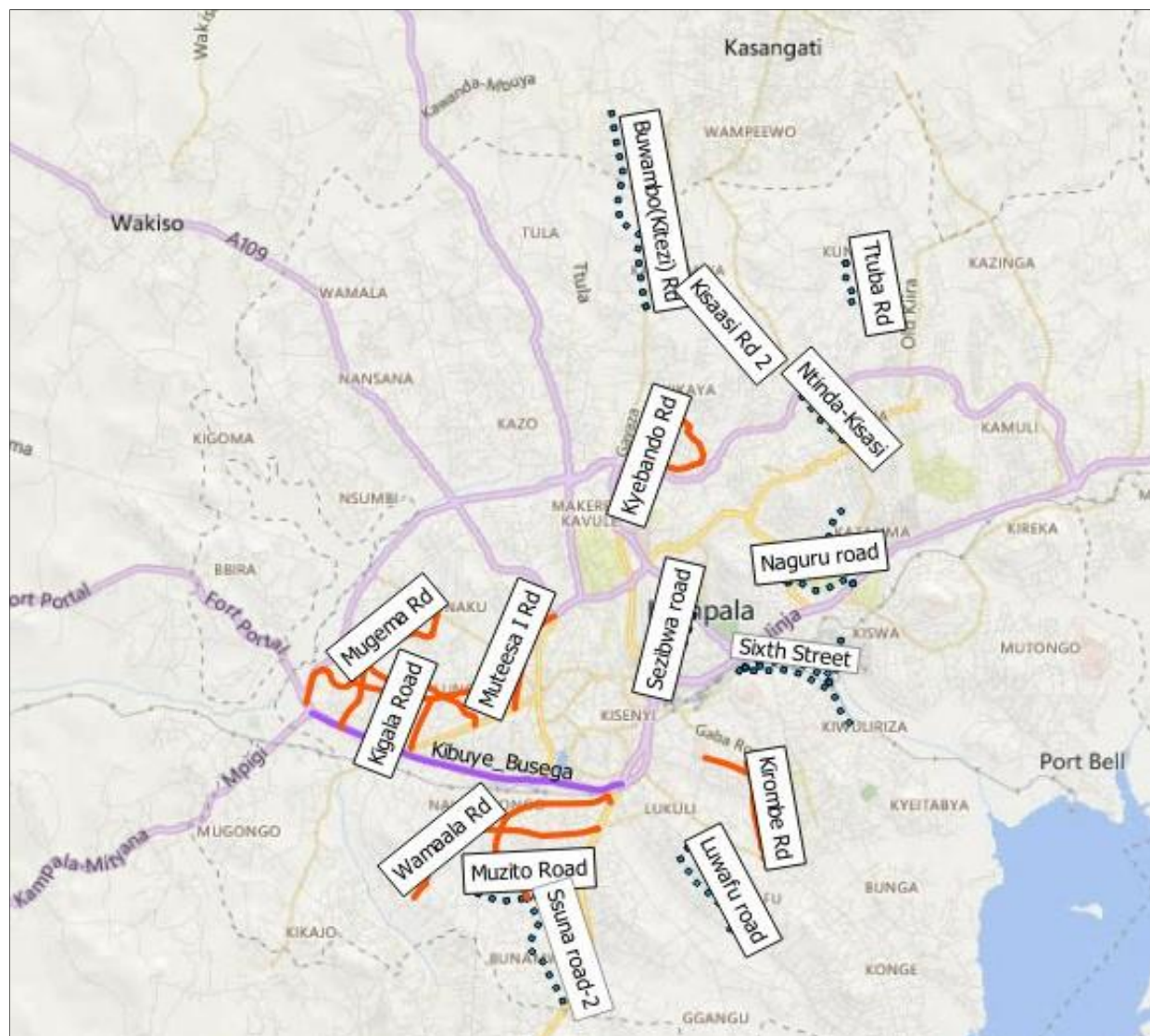
It is a condition of this contract that the employer reserves the right to limit the expenditure on the works due to possible budget restraints. Should the tender sum exceed the budgeted amount, the scope of the works may be reduced, to ensure that the final contract amount does not exceed the budgeted amount.

Should these conditions be applied, such adjustments or limitations shall be deemed to be a variation of the form or quantity of the works or any part thereof in terms of Clause 2.4 of the General Conditions of Contract. The validity of the tender, the individual rates or sums tendered shall not be influenced by any such adjustments or limitations and no claim will be considered on the basis of such adjustments or limitations in terms of clause 14 of the General Conditions of Contract.

3. Project Locality

The roads and junctions to be upgraded as part of these projects are shown on the Locality Plans below and are located within the City Centre and surrounds.

Figure 1 – Roads and Junctions



4. Site Information

Climatic Conditions

The climate of the City of Kampala is humid tropical. It has an annual rainfall of approximately 1300mm, mainly distributed over two periods, one being March to May and the other September to November. The District has a mean monthly rainfall ranging from 50 mm to 280 mm with July generally being drier than other months. The number of rain days is highest in April. The area has reasonable temperatures with an annual average of 21.9°C. The maximum temperatures seldom exceed 30°C and the minimums are generally above 15°C.

Figure 2 - Mean Monthly Precipitation mm

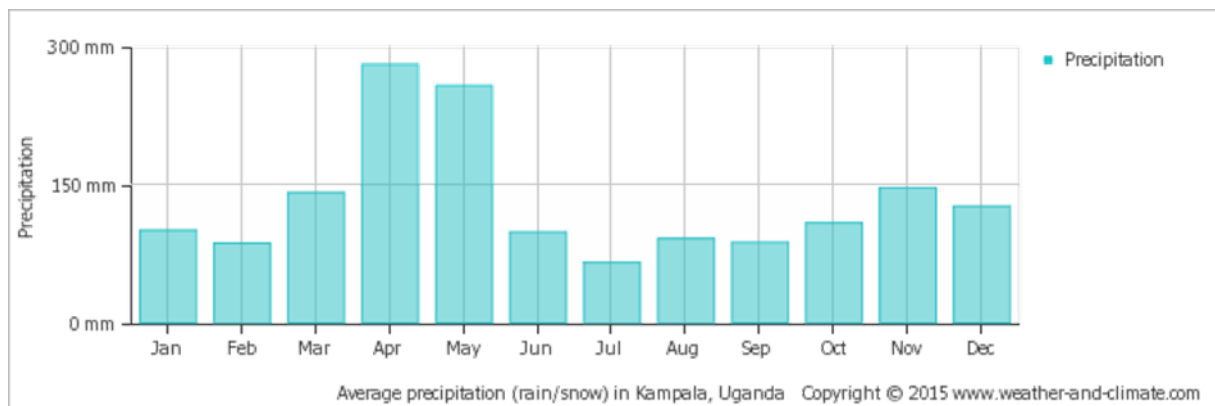


Figure 3 - Monthly Mean Minimum and Maximum Daily Temperatures

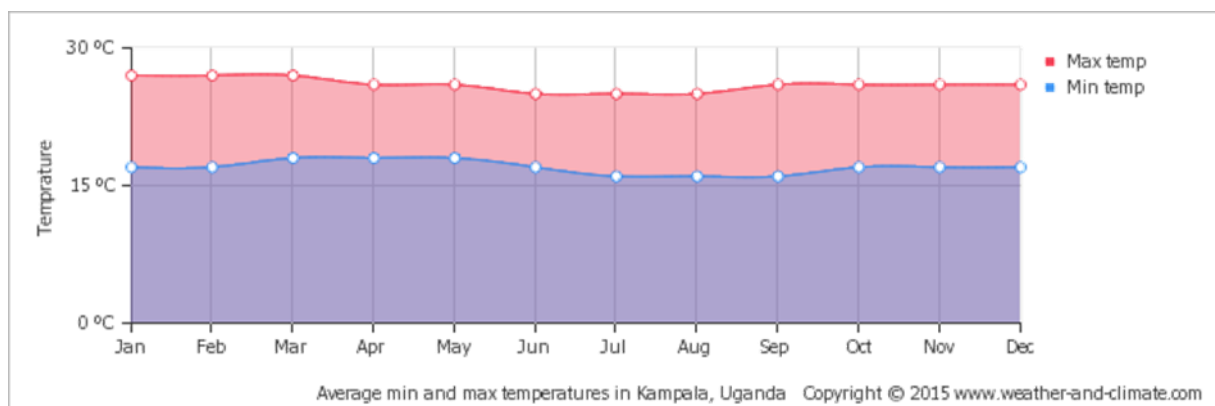
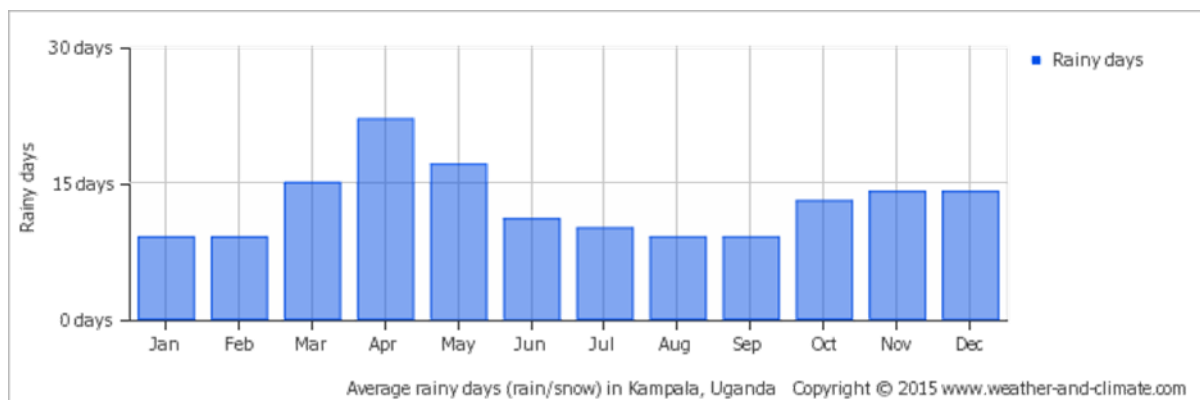


Figure 4 - Number of Days per Month of Rain



5. Overview

The works to be undertaken under this contract comprises general civil engineering works associated with a roads contract as part of project roads capacity improvements. The type of works associated with the road upgrades are listed (but not limited) to:

5.1 General

- Establishment of the Contractor on site;
- Provision of offices, laboratory and housing for the Engineer;
- Utility identification, protection, relocation and removal;
- Accommodation of vehicular and pedestrian traffic and the construction of deviations;
- The sourcing of road construction materials from commercial sources;
- The maintenance of the existing road and/or deviations until the contract has been handed over to the Employer; and
- Removal of site establishment.

5.2 Drainage

- The construction of culverts and inlet and outlet structures;
- The installation of sub-soil drains in cuttings as well as other locations;
- The construction of concrete lined side drains and grid inlets in cuttings;
- The construction of curbing and channelling with storm water inlet in places;
- The adjustment of existing infrastructure to match new levels and road widths;
- The construction of berms and down chutes on high fills;
- The construction of berms and down chutes at cuts; and
- The construction of erosion protection measures where required

5.3 Roadworks

- Construction of temporary deviations;
- Clearing and grubbing;
- Removal of topsoil;
- Roadbed preparation;
- Widening of existing cuts and fills including benching into old fills and/or the construction of new cuts and fills;
- The construction of in-situ and imported selected layers;
- The construction of an imported gravel subbase over the entire road;
- The construction of a crushed stone base on some of the project roads;

- The construction of a bituminous base on some of the project roads;
- The surfacing of the project roads using asphalt concrete surfacing.

5.4 Ancillary Roadworks

- Construction of bus/taxi stops;
- Construction of concrete pedestrian footway;
- Fencing of the road reserve in places;
- The provision of road markings;
- The installation of road studs;
- The installation of new road signs;
- The erection of guardrails;
- Top soiling and grassing of cut and fill slopes; and
- Landscaping and finishing off the road reserve;

5.5 Electrical Works

- Installation of ducting for street lighting;
- **Installation of street lighting**
- Installation of new traffic signals and associated infrastructure.

6. Accommodation of traffic

Traffic shall be accommodated as proposed by the Contractor in consultation with the City Authority of Kampala's traffic and police departments and as approved by the Engineer. The Contractor shall consult with officials of the City Council and the Police in Kampala regarding their requirements in the control of motorised and non-motorised traffic, and other matters and shall provide all assistance or facilities that may be required by such officials in the execution of their duties, at no extra cost to the Employer. The Contractor shall advertise any road closures or traffic deviations in the local newspaper at least a week in advance of such closures or deviations.

The Contractor will be responsible for the accommodation of traffic to suit his construction methods and programme of construction and shall submit his proposals, for the accommodation of traffic for each different activity, to the Engineer for his approval at least 48 hours in advance for each specific activity.

The Contractor must always be aware of the public's right of way on public roads and he shall make use of approved methods to control the movement of his equipment

and vehicles so as not to cause a hazard on the road. The Contractor's proposals shall be based on the requirements of Sections 1500 and B1500 of the General Specifications and Project Specifications.

Existing pedestrian pathways must be left in place until such time that alternative means of access have been provided, including the construction of new footways where shown on the drawings.

The Contractor shall ensure that access to private properties and businesses is available at all times. Where certain properties and businesses will have their accesses obstructed due to the construction works, the Contractor shall inform the owners at least two weeks in advance. Closure of such accesses must only be temporary and will be subject to the approval of the Engineer. The Contractor shall plan his works in such a manner that interruptions to private and business accesses are kept to a minimum.

The Contractor shall not commence with any section of the Works until all traffic safety and control measures are fully operational. The Contractor shall for the duration of the Works ensure that the signs, barriers, cones, etc. are maintained in the correct position and in a serviceable condition at all times.

The accommodation of traffic during the construction period will be implemented to ensure the safe and unhindered passage of public traffic at all times.

Traffic will be accommodated as follows:

- a) Through populated areas traffic will be accommodated on other streets only when construction is in operation on the existing road/street. The contractor shall ensure that residents along the existing road/street in these areas have access to their properties at all times.
- b) On deviations that will be constructed to accommodate two-way traffic where practically possible. Some of the temporary deviations will remain in place after the completion of the contract to be utilized as local distributor roads for the rural villages/developments along the road.
- c) On deviations as well as parts of existing road as one-way traffic with STOP/GO control during daytime and temporary traffic signals during the night, where the road is constructed in full width or in half widths.
- d) Temporary closures of up to a maximum of four hours during daytime will be allowed for construction works where the construction of temporary deviations

will not be possible. The traveling public and local residents shall be notified of these closures well in advance.

- e) The contractor shall also provide a full time traffic safety officer for the duration of the contract.
- f) The contractor will be allowed to use prefabricated pipes for the storm water drainage in temporary deviations.

7. Maintenance of the existing roads

The Contractor shall be responsible for all routine maintenance operations along the entire length of road reserve, from the date of handing over of the site until the date of issue of the certificate of completion of the works.

Once the certificate of substantial completion of the works has been issued the responsibility for normal maintenance of the road (e.g. collection of litter, clearing of drains, repair of road signs damaged by the public, etc.) shall revert back to the Employer. The Contractor shall, however, still be responsible for the contractual maintenance during the Defects Liability Period.

8. Utilities

The affected utilities are indicated on the drawings. Every effort has been made to contact the relevant utility owners to accurately determine the position of their infrastructure. The utilities shown on the drawings are diagrammatic only, and the contractor shall ensure that before any excavation commences, the areas have been cleared of any utilities. **An allowable amount has been allowed for in the bill of quantities for hand excavation to determine the position of the utilities.**

The following utility bodies will be consulted:

- a) Water supply and sewerage: National Water and Sewerage Corporation
- b) Electricity: UMEME
- c) Telephone: Uganda Telecoms Limited (UTL), NITA-U, Huawei OFC, Airtel and MTN
- d) Roads, Street Lights, Refuse Collection, Recreation Parks and Road Reserves: Kampala Capital City Authority (KCCA)
- e) Railway lines: Uganda Rail (UR)
- f) Environmental Issues: National Environmental Management Authority (NEMA)

9. Drawings

The reduced drawings that form part of the tender document shall be used for tender purposes only.

The Contractor will be supplied with one (1) paper copies of each of the drawings along with the rest of the bidding document. The Contractor shall only be provided additional copies on request and for his account.

The levels given on drainage/structural drawings are subject to confirmation on site, and the Contractor shall submit all levels to the Engineer for confirmation before he commences any structural construction work. The Contractor shall also check all clearances given on the drawings and shall inform the Engineer of any discrepancies.

10. Power Supply and Other Services

The Contractor shall make his own arrangements concerning the supply of electrical power and all other services. No payment will be made by the Employer to the Contractor for the provision of electrical and other services. The cost thereof shall be deemed to be included in the rates and amounts tendered for the various items of work for which these services are required.

The Contractor must liaise with the utility bodies for both water, electrical and telecommunications to confirm the position of all aerial and underground services, before commencing with the works.

Note should be taken on the supply of electricity as no claims in respect of power outages will apply.

11. Construction in restricted areas

It may be necessary for the Contractor to work within restricted areas. Except where provided for in the Specifications, no additional payment will be made for work done in restricted areas. In certain places the width of the fill may decrease to zero and the working space may be confined. The method of construction in these confined areas largely depends on the Contractor's constructional plant.

However, the Contractor must note that measurement and payment will be in accordance with the specified cross-sections and dimensions only, irrespective of the

method used for achieving these cross-sections and dimensions and that the tendered rates and amounts shall include full compensation for all special equipment and construction methods and for all difficulties encountered when working in confined areas and narrow widths, and at or around obstructions, and that no extra payment will be made nor will any claim for additional payment be considered in such cases.

It is deemed, that due the urban nature of the project surrounds, that all work on in this contract be deemed restricted and that the Contractor make allowance for these restriction in his rates.

12. Contractor's Camp Site

The Contractor will make his own arrangements regarding the location of his camp site, housing for site staff and facilities for the Engineer. The Contractor will have to liaise with the local council and/or the local community to find a suitable site. The chosen site shall also be subject to the approval of the Engineer.

Prior to the establishment of the construction site, the Contractor shall produce a plan showing the positions of all buildings, yards, vehicle wash areas, fuel storage areas and other infrastructure. This plan shall be subject to the approval of the Engineer and the Environmental Control Officer.

The Contractor shall make his own arrangements for the supply of potable water and water for construction purposes and for electrical power and all other services, as well as for all safety and security measures necessary for the duration of the Contract. The Employer cannot guarantee uninterrupted electrical supply or telephone services in the town where the camp is to be erected and the Contractor shall make his own arrangements in this regard.

At the end of the contract, the site shall be restored as closely as possible to its natural state. The camp area shall be top soiled and grassed.

13. Process and Acceptance Control

The Employer shall not pay claims for delays to the works resulting from the awaiting of test results. Testing in the laboratory will be effected as promptly as is reasonable but it is in the Contractor's own interest to submit material samples, concrete cubes or other components for testing in good time to assist avoiding or restricting delays.

The procedure for requests for testing, frequency of tests, testing and reporting of results will be finalised by the Engineer on site in collaboration with the Contractor.

14. Environmental Management

The Contractor will be responsible for managing an Environmental and Social Management Plan (ESMP) in terms of the Tender Documents. The Contractor shall also conduct environmental studies for the uses of material abstraction from borrow pits and adhere to the recommendations and conditions included the NEMA approval certificates.

The Contractor shall take the utmost care to minimise the impact of his establishment and other construction activities on the environment and shall adhere to the requirements as set out in the ESMP. The Contractor will therefore be required to submit an Environmental and Social Management Plan detailing his construction activities and what measures will be implemented to prevent the pollution of streams, rivers and soils among others, through the spilling of fuels, bituminous binders, sewage from the temporary toilets and other deleterious materials. Where in the opinion of the Engineer, the Contractor has not adhered to these requirements, the Contractor shall rectify the damage at his cost and to the satisfaction of the Engineer.

15. Areas available for temporary stockpiles

The areas within the road reserve but outside the road prism, interchanges link roads, and intersection surfaces are available as temporary stockpile sites. The Contractor may under his own initiative, identify additional sites, but no additional sites will be permitted unless approved under the Environmental and Social Management Plan and by the Engineer.

Construction plant used in the execution of the Works shall be of a design and be used in a manner approved by the Engineer. The Engineer may at any time withdraw his approval and the Contractor shall immediately adopt another method of working in such a case. If such a change is required to achieve satisfactory progress or workmanship the Contractor shall have no claim against the Employer for costs incurred by him in changing the method of working or in the provision and use of other plant.

16. Dump Sites

The Contractor shall arrange his own dump sites and shall ensure that he conforms to all relevant legislation and regulations which terms of compliance should be for the Contractor's cost. The site must be approved under the Environmental and Social Management Plan and by the Engineer. All dumping costs will be borne by the Contractor.

The Environmental and Social Management Plan may designate areas of erosion and old quarries in the proximity of the works as dump sites.

17. Bituminous Products

The Engineer and the Environmental Specialist must approve storage sites for bituminous products in the road reserve, or on private property prior to use. The containers used for storage must be free of leaks and placed on a firm surface. No spoiling of any bituminous products will be allowed in any area. Unused or rejected products will be removed from site and returned to the supplier. Solvents used for flushing spray tankers, will be treated likewise. No additional payment will be made for the preparation of storage sites and the disposal of materials.

18. Fauna and Flora

Indigenous fauna is limited to invertebrates (insects) and avifauna (birds). Domestic livestock that dominate the current fauna of the region includes pigs, goats, cattle, sheep, dogs, ducks, geese and chickens.

The Contractor shall cause no damage to fauna and flora. If, in the opinion of the Engineer, this happens, the Engineer is entitled to initiate prosecution by the relevant authorities.

19. Water

The Contractor shall provide a sufficient and continuous supply of fresh potable water for the construction of the Works and for all offices, laboratories and workshops. He shall make all arrangements for the provision of service connections and meters for connecting to local water mains and the provision of pumps, storage tanks or water conveyance where necessary and the satisfactory removal, where necessary, of all such arrangements and provisions on completion of the Works.

The Contractor shall make adequate provision in his Bid for the above arrangements and all related costs will be deemed to be included in his tendered rates.

20. First Aid

It is a requirement of this contract that the Contractor shall provide and maintain, as part of his establishment on site, comprehensive first aid facilities which must include complete first aid kits with snake-bite serum at strategic points on the operational areas of the works. Used or outdated articles and serum must be replaced from time to time as necessary.

The Contractor shall as part of his general obligations, provide sufficient emergency equipment for medical emergencies, have staff available that are trained in the use of emergency equipment and have sufficient arrangements in place to do emergency medical evacuation by ambulance to a suitable trauma unit if and when required.

The provision of the emergency medical services will not be paid for separately, but the cost thereof shall be deemed to be included in the Contractor's tendered rates for the items under Section 1300.

21. Progress Photographs

The Contractor shall maintain a formal record of digital progress photographs taken throughout the period of implementation of the Contract at set locations and of any construction activity of technical or contractual interest at any time. Each photograph is to be captioned with: reference number, time, date, precise location, subject and points of particular note. A digital version of these photos shall be stored on a CD-ROM together with the captions and shall be made available to the Engineer (Supervising Consultant), the Client (KCCA) and Funding Agency (African Development Bank) on a monthly basis.



Part 3: Conditions of Contract & Contract Forms

Section VIII - General Conditions of Contract (GC)

Name of Employer: Kampala Capital City Authority

**Name of Contract: Upgrading to paved standard of 5.40km of roads,
reconstruction of 4.62km of roads in Lubaga Division, including signalization of 3
Junctions in Makindye Division (Lot 5)**

The Conditions of Contract are bound separately as "Volume 6"

Red Book

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The Conditions of Contract are the "General Conditions" which form part of the "Conditions of Contract for Construction for Building and Engineering Works Designed by the Employer ("Red book") Second edition 2017" published by the Federation Internationale Des Ingenieurs – Conseils (FIDIC) and the following "Particular Conditions" which comprise of the AfDB's COPA and the amendments and additions to such General Conditions.

An original copy of the above FIDIC publication i.e. "*Conditions of Contract for Building and Engineering Works Designed by the Employer*" must be obtained from FIDIC.

International Federation of Consulting Engineers (FIDIC)

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Telephone: +41 22 799 49 01

E-mail: fidic@fidic.org

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Section IX - Particular Conditions

The following Particular Conditions shall supplement the GC. Whenever there is a conflict, the provisions herein shall prevail over those in the GC.

Particular Conditions

Part A – Contract Data

Conditions	Sub-Clause	Data
Where the Contract allows for Cost Plus Profit, percentage profit to be added to the Cost	1.1.20	<u>N/A</u>
Employer's name and address	1.1.31	Kampala Capital City Authority, Plot 1-3 Apollo Kaggwa Road, P.O Box 7010, Kampala UGANDA Tel: +256 411 231 446 / 0204 660 000 Email: info@kcca.go.ug ; Web: www.kcca.go.ug ;
Engineer's name and address	1.1.35	To be communicated to the contractor in writing
Bank's name	1.1.89	African Development Bank (ADB)
Borrower's name	1.1.90	The Republic of Uganda
Time for Completion	1.1.84	Refer to Table: Summary of Sections below
Defects Notification Period	1.1.27	365 days (one year)
Sections	1.1.73	Refer to Table: Summary of Sections below
Site	1.1.74	Refer to sub-clause 2.1 below
Electronic transmission system	1.3 (a) (ii)	Not Permitted
Address of Employer for communications:	1.3(d)	The Executive Director Kampala Capital City Authority Plot 1-3 Apollo Kaggwa Road, P.O Box 7010, Kampala UGANDA Tel: +256 411 231 446 / 0204 660 000 Email: info@kcca.go.ug ; Web: www.kcca.go.ug ;

Conditions	Sub-Clause	Data
Address of Engineer for communications:	1.3(d)	Shall be communicated in writing
Address of Contractor for communications:	1.3(d)	Attention: _____ Street address: _____ Postal address: _____ Zip code: _____ Country: _____ Tel: _____ Fax: _____ Email: _____ Website: _____
Governing Law	1.4	The Laws of the Republic of Uganda
Ruling language	1.4	English
Language for communications	1.4	English
Time for the Parties to sign a Contract Agreement	1.6	Within 28 days after receipt of the Letter of Acceptance
Number of additional paper copies of Contractor's Documents	1.8	<u>Three (3) and 1(one) soft copy.</u>
Joint and Several Liability	1.14	(d) A minor member of the JV having participation in the JV of 25% of the value of the Contract: Yes
Total liability of the Contractor to the Employer under or in connection with the Contract	1.15	The accepted contract amount.

Conditions	Sub-Clause	Data																								
Time for access to the Site	2.1	<p>No later than the Commencement Day for each section. Commencement dates for each section of the Works shall be as follows (subject to issuance of commencement orders by the Project Manager).</p> <table> <tr> <th>Section</th><th>Name of section</th><th>Period after contract effectiveness</th></tr> <tr> <td>1.1</td><td>Mugema Road incl. two Junctions to be designed during the design review period</td><td>18 months</td></tr> <tr> <td>1.2</td><td>Masiro Road to be designed during the design review period</td><td>9 months</td></tr> <tr> <td>1.3</td><td>Sentema Road-1</td><td>18 months</td></tr> <tr> <td>1.4</td><td>Sentema Road-2</td><td>18 months</td></tr> <tr> <td>1.5</td><td>Kiwunya Channel</td><td>18 months</td></tr> <tr> <td>1.6</td><td>Nsooba Channel</td><td>18 months</td></tr> <tr> <td>1.7</td><td>Nsambya Rd / Hanlon Rd Junction (J-1)</td><td>8 months</td></tr> </table>	Section	Name of section	Period after contract effectiveness	1.1	Mugema Road incl. two Junctions to be designed during the design review period	18 months	1.2	Masiro Road to be designed during the design review period	9 months	1.3	Sentema Road-1	18 months	1.4	Sentema Road-2	18 months	1.5	Kiwunya Channel	18 months	1.6	Nsooba Channel	18 months	1.7	Nsambya Rd / Hanlon Rd Junction (J-1)	8 months
Section	Name of section	Period after contract effectiveness																								
1.1	Mugema Road incl. two Junctions to be designed during the design review period	18 months																								
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1.6	Nsooba Channel	18 months																								
1.7	Nsambya Rd / Hanlon Rd Junction (J-1)	8 months																								
Engineer's Duties and Authority	3.2	Variations resulting in an increase of the Accepted Contract Amount in excess of <u>0</u> % shall require written consent of the Employer.																								
Sustainable procurement	4.1	Not Applicable																								
Performance Security	4.2	<p>The performance security will be in the form of a demand guarantee in the amount(s) of Nine percent (9%) of the Accepted Contract Amount and in the same currency(ies) as the Accepted Contract Amount.</p> <p>If the unconditional guarantee is issued by a financial institution located outside the Employer's</p>																								

Conditions	Sub-Clause	Data
		Country, the issuing financial institution shall have a correspondent financial institution located in the Employer's Country to make it enforceable
Environmental and Social (ES) Performance Security	4.2	<p>The ESHS Performance Security will be in the form of a "<i>demand guarantee</i>" in the amount(s) of <i>One</i> percent (1%) of the Accepted Contract Amount and in the same currency (ies) as the Accepted Contract Amount.</p> <p>If the unconditional guarantee is issued by a financial institution located outside the Employer's Country, the issuing financial institution shall have a correspondent financial institution located in the Employer's Country to make it enforceable</p>
Period for notification of errors in the items of reference	4.7.2 (a)	Not later than 28 days
Period of payment for temporary utilities	4.19	N/A
Number of additional paper copies of progress reports	4.20	Three (3) and 1(one) soft copy.
Maximum allowable accumulated value of work subcontracted (as a percentage of the Accepted Contract Amount or volume of work-as per provision made under BDS of ITB 34.2)	5.1(a)	<p>The Contractor is encouraged to subcontract up to a maximum of 40% of the value of works through sub-contracting of sub-works and supply of materials, equipment and services to national and resident providers.</p> <p>The contractor shall commit to this subcontracting by signing a declaration form.</p>
Parts of the Works for which subcontracting is not permitted	5.1(b)	None
Normal working hours	6.5	<p>Normal working hours are: 21:00 hours to 06:00 hours (Kampala Local Time)</p> <p>NOTE: The Contractor shall provide for this in their methodology, and, in their rates, accessories relevant for night working, including but not limited to adequate lighting and appropriate signage for night works.</p>

Conditions	Sub-Clause	Data
Number of additional paper copies of program	8.3	Three (3) and 1(one) soft copy in MS project version 2016 or other acceptable planning software.
Delay damages payable for each day of delay	8.8	0.05% of the Accepted Contract Amount, less provisional sum, for DAAB, for each section per day for failure to achieve 40% of the permanent works in 60% of the contract period. Refer to Table: Summary of Sections below
Maximum amount of delay damages	8.8	10% of the Accepted Contract Amount less provisional sum for DAAB.
Method of measurement	12.2	Admeasurement
Percentage profit	12.3	N/A
Percentage rate to be applied to Provisional Sums for overhead charges and profit	13.4 (b)(ii)	5%
Adjustments for changes in cost	13.7	Adjustment for changes in cost shall apply
Total advance payment	14.2	20% Percentage of the Accepted Contract Amount payable in the currencies and proportions in which the Accepted Contract Amount is payable
Repayment of Advance payment	14.2.3	(a)_exceeds 25% of the portion of the Accepted Contract Amount payable in that currency less Provisional Sums (b) deductions shall be made at the amortization rate of 30%
Period of payment	14.3	Within 56 days of receipt of request for payment accompanied by certified invoices and completion certificates.
Number of additional paper copies of Statements	14.3(b)	Three (3) and 1(one) soft copy
Percentage of retention	14.3(iii)	10%

Conditions	Sub-Clause	Data
Limit of Retention Money (as a percentage of Accepted Contract Amount)	14.3(iii)	10% of the Accepted Contract Amount
Plant and Materials	14.5(b)(i)	Plant and Materials for payment when shipped en route to the Site. Eligible materials and plant are: N/A
	14.5(c)(i)	Plant and Materials for payment when delivered to the Site shall include: (a) Guard rails (b) Aggregates (c) Culverts (d) Paving slabs and Kerbs (e) Bitumen (f) Road lighting components (g) Traffic Signal components (h) Traffic control equipment Payment will be at 70% of measured value as approved by the Engineer
Minimum Amount of Interim Payment Certificates	14.6.2	1.5 % of the Accepted Contract Amount.
Period of payment of Advance Payment to the Contractor	14.7(a)	28 days
Period for the Employer to make interim payments to the Contractor under Sub-Clause 14.6 (interim Payment)	14.7b(i)	56 days
Period for the Employer to make interim payments to the Contractor under Sub-Clause 14.13 (Final Payment)	14.7b(ii)	56 days
Period for the Employer to make final payment to the Contractor	14.7(c)	90 days

Conditions	Sub-Clause	Data
financing charges for delayed payment (percentage points above the average bank short-term lending rate as referred to under sub-paragraph (a))	14.8	Bank of Uganda running rate.
Number of additional paper copies of draft Final Statement	14.11.1(b)	Three (3) and 1(one) soft copy

Permitted deductible limits	19.1	<p>insurance required for the Works:</p> <ol style="list-style-type: none"> 1. The Contract Works, including temporary works and free issue materials, plus, the attendant liability that may result from the same; 2. The Contractor's Plant & Machinery, Tools tackle and equipment, including those which the insured is responsible for under a hire purchase or lease agreement 3. Temporary buildings, site huts and other temporary accommodation and their contents (other than computer or other data processing equipment) belonging to the insured or for which the insured is responsible under a hire purchase or lease agreement 4. Employees Effects – tools & personal effects belonging to Employees but not motor vehicles, precious metals or stones, watches, jewellery or money 5. Hired In Plant – Tools tackle and equipment, site huts and other temporary accommodation hired by the insured and for which they are responsible under the terms of their hiring agreement or otherwise but not plant on hire purchase or subject to a lease agreement or on free loan. <p>insurance required for Goods:</p> <ol style="list-style-type: none"> 1. The In-land Marine/or Goods in transit insurance policy 2. The Open Cover Project Marine insurance policy <p>insurance required for liability for breach of professional duty: Incidents that may give rise to financial loss or claims.</p> <p>insurance required against liability for fitness for purpose (if any is required): Coverage for liability arising from failure to meet or fulfil the intended purpose of use.</p> <p>insurance required for injury to persons and damage to property:</p> <ol style="list-style-type: none"> 1. 0.275% for Office and Administration 2. 0.65% for Construction drivers, security guards and turn boys
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Conditions	Sub-Clause	Data
		<p>insurance required for injury to employees: Workers' compensation insurance policy before commencing works</p> <p>other insurances required by Laws and by local practice:</p> <ol style="list-style-type: none"> 1. The worker's compensation insurance 2. Motor 3rd Party Liability 3. Marine insurance Act – requiring all imports and exports to be insured locally
Additional amount to be insured (as a percentage of the replacement value, if less or more than 15%)	19.2.1(b)	<ol style="list-style-type: none"> 1. 0.3% for roads and 2. 0.25% for buildings
List of Exceptional Risks which shall not be excluded from the insurance cover for the Works	19.2.1(iv)	The CAR Contract of insurance is an All risks policy that covers all risks unless specifically excluded in the policy exclusions, terms and conditions.
Extent of insurance required for Goods	19.2.2	Full cost replacement cover on goods
Amount of insurance required for Goods		Amount of insurance required for goods is dependent on the volume of goods (materials and inputs, plant and equipment)
amount of insurance required for liability for breach of professional duty	19.2.3(a)	<ol style="list-style-type: none"> 1. Architects and Surveyors - 2% 2. Engineers – 1.5%
Insurance required against liability for fitness for purpose	19.2.3(b)	Yes
Period of insurance required for liability for breach of professional duty	19.2.3	7 years

Conditions	Sub-Clause	Data
Amount of insurance required for injury to persons and damage to property	19.2.4	1%
Other insurances required by Laws and by local practice (give details)		Motor Comprehensive
Time for appointment of DAAB	21.1	Within 28 days after the contractor receives letter of acceptance. Preferably before contract signature.
The DAAB shall be comprised of	21.1	Three Members (3)
List of proposed members of DAAB	21.1	<p>Proposed by Employer <i>[CVs will be provided within 28 days after Contract effectiveness]</i></p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p> <p>Proposed by Contractor <i>[CVs will be provided within 28 days after Contract effectiveness]</i></p> <p>1. _____</p> <p>2. _____</p> <p>3. _____</p>
Appointment (if not agreed) to be made by	21.2	Uganda Engineers' Registration Board within 42 days after signing of the Contract Agreement by both parties.
Rules of arbitration	21.6(a)	<p>Sub-Clause 21.6(a) of PART B – Specific Provisions shall apply.</p> <p>Arbitration shall be conducted in accordance with:</p> <p>(a) for foreign Contractors, International Chamber of Commerce (ICC), and</p> <p>(b) for Local/ Locally registered Contractors, the Arbitration and Conciliation Act Cap 4. Laws of Uganda</p>

Conditions	Sub-Clause	Data
	21.6 (b)	Sub-Clause 21.6(b) of PART B – Specific Provisions shall apply.
Place of arbitration	21.6(a)	<p>For foreign Contractors, international Chamber of Commerce(ICC) Nairobi.</p> <p>For Local/Locally registered contractors, Centre for arbitration in Kampala (Uganda)</p>

Table: Summary of Sections (if any)

Description of parts of the Works that shall be designated a Section for the purposes of the Contract (Sub-Clause 1.1.73)	Value: Percentage* of Accepted Contract Amount (Sub-Clause 14.9)	Time for Completion (Sub-Clause 1.1.84)	Delay Damages (Sub-Clause 8.8)
Mugema Road incl. two Junctions to be designed during the design review period		18 months	0.05% of the final Contract Price for each section per day up to a maximum of 10% of the final Contract Price for each section in the event that the contractor does not achieve 40% of the permanent works in 60% of the contract period.
Masiro Road to be designed during the design review period		9 months	
Sentema Road-1		18 months	
Sentema Road-2		18 months	
Kiwunya Channel		18 months	
Nsooba Channel		18 months	
Nsambya Rd / Hanlon Rd Junction (J-1)		8 months	

*These percentages shall also be applied to each half of the Retention Money under Sub-Clause 14.9

Part B - Specific Provisions

Sub-Clause 1.1.10 Contract	"the Contractor's Proposal" is deleted.
Sub-Clause 1.1.49 Laws	The Sub-Clause is replaced with: " Laws " means all national (or state) legislation, statutes, ordinances and other laws, and regulations and by-laws of any legally constituted public authority."
Sub-Clause 1.1.74 Site	The Sub-Clause is replaced with: " Site " means the places where the Permanent Works are to be executed, including storage and working area, and to which Plant and Materials are to be delivered, and any other places specified in the Contract as forming part of the Site."
Sub-Clause 1.1.77 Statement	On the second line after "Payment Certificate under...", add "Sub-Clause 14.2.1 [Advance Payment Guarantee] (if applicable),".
Sub-Clause 1.1.81 Tender	"the Contractor's Proposal" is deleted.
Sub-Clause 1.1.89 to 1.1.91 are added after Sub-Clause 1.1.88	
Sub-Clause 1.1.89 Bank	" Bank " means the financing institution (if any) named in the Contract Data.
Sub-Clause 1.1.90 Borrower	" Borrower " means the person (if any) named as the borrower in the Contract Data.
Sub-Clause 1.1.91 ES	" ES " means Environmental and Social (including Sexual Exploitation and Assault (SEA)).

**Sub-Clause 1.1.92
Sexual Exploitation and
Abuse (SEA), and Sexual
Harassment (SH)**

"Sexual Exploitation and Abuse" "(SEA)" and Sexual Harassment (HS) mean the following:

Sexual Exploitation is defined as any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another.

Sexual Abuse is defined as the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions; and

"Sexual Harassment" "(SH)" is defined as unwelcome sexual advances, requests for sexual favours, and other verbal or physical conduct of a sexual nature by the Contractor's Personnel with other Contractor's or Employer's Personnel.

**Sub-Clause 1.2
Interpretation**

Sub-paragraph (a) is replaced with the following:

(a) "Words indicating one gender include all genders;

"he/she" is replaced with: "it";

"him/her" is replaced with "it";

"his" and "his/her" are replaced with: "its";

"himself/herself" are replaced with: "itself".

Further, "and" is deleted from the end of sub-paragraph (i) and added at the end of sub-paragraph (j).

sub-paragraph (k) is added:

(k) "The word "tender" is synonymous with "bid" or "proposal", the word tenderer with "bidder" or "proposer" and the words "tender documents" with "request for bids documents" or "request for proposal documents", as applicable."

**Sub-Clause 1.5
Priority of Documents**

The following documents are added in the list of Priority Documents after (e):

"(f) the Particular Conditions Part C- Fraud and Corruption;

(g) the Particular Conditions Part D- Environmental and Social (ES) Metrics for Progress Reports;"

and the list renumbered accordingly.

**Sub-Clause 1.6
Contract Agreement**

The last paragraph is replaced with:

"If the Contractor comprises a JV, the authorised representative of the JV shall sign the Contract Agreement in accordance with sub –clauses 1.14 (Joint and Several Liability)."

**Sub-Clause 1.12
Confidentiality**

The following is added at the end of the second paragraph:
"The Contractor shall be permitted to disclose information required to establish its qualifications to compete for other projects."

"or" at the end of (b) is deleted.

"or" at the end of (c) is added.

The following is then added as (d): "is being provided to the Bank ."

**Sub-Clause 1.17
Inspections & Audit by the
Bank**

The following Sub-Clause is added after Sub-Clause 1.16:

"Pursuant to paragraph 2.2 e. of Particular Conditions - Part C- Fraud and Corruption, the Contractor shall permit and shall cause its agents (where declared or not), subcontractors, sub consultants, service providers, suppliers, and personnel, to permit, the Bank and/or persons appointed by the Bank to inspect the site and/or the accounts, records and other documents relating to the procurement process, selection and/or contract execution, and to have such accounts, records and other documents audited by auditors appointed by the Bank. The Contractor's and its Subcontractors' and sub consultants' attention is drawn to Sub-Clause 15.8 (Fraud and Corruption) which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights constitute a prohibited practice subject to contract

termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures)."

**Sub-Clause 2.4
Employer's Financial
Arrangements**

The first paragraph is replaced with:

"The Employer shall submit, before the Commencement Date, reasonable evidence that financial arrangements have been made for financing the Employer's obligations under the Contract."

The following sub-paragraph is added at the end of Sub-Clause 2.4:

"In addition, if the Bank has notified to the Borrower that the Bank has suspended disbursements under its loan, which finances in whole or in part the execution of the Works, the Employer shall give notice of such suspension to the Contractor with detailed particulars, including the date of such notification, with a copy to the Engineer, within 7 days of the Borrower having received the suspension notification from the Bank. If alternative funds will be available in appropriate currencies to the Employer to continue making payments to the Contractor beyond a date 60 days after the date of Bank notification of the suspension, the Employer shall provide reasonable evidence in its notice of the extent to which such funds will be available."

**Sub-Clause 2.6
Employer-Supplied
Materials and Employer's
Equipment**

[If Employer- Supplied Materials are listed in the Employer's Requirements for the Contractor's use in the execution of Works, the following provisions may be added]:

The following is added after the last paragraph of Sub-Clause 2.6:

"The Employer shall supply to the Contractor the Employer-Supplied Materials listed in the Specification, at the time(s) stated in the Specification (if not stated, within the times that shall be required to enable the Contractor to proceed with execution of the Works in accordance with the Programme).

When made available by the Employer, the Contractor shall visually inspect the Employer-Supplied Materials and shall promptly give a Notice to the Engineer of any shortage, defect or default in them. Thereafter, the Contractor shall rectify such shortage, defect or default to the extent instructed by the

Engineer. Such instruction shall be deemed to have been given under Sub-Clause 13.3.1 [*Variation by Instruction*].

After this visual inspection, the Employer-Supplied Materials shall come under the care, custody and control of the Contractor. The Contractor's obligations of inspection, care, custody, and control shall not relieve the Employer of liability of any shortage, defect or default not apparent from a visual inspection."

[If Employer's Equipment are listed in the Specification for the Contractor's use in the execution of Works, the following provisions may be added]:

The following is added after the last paragraph of Sub-Clause 2.6:

"The Employer shall make the Employer's Equipment listed in the Specification available to the Contractor at the time(s) stated in the Specification (if not stated, within the times that shall be required to enable the Contractor to proceed with execution of the Works in accordance with the Programme).

Unless expressly stated otherwise in the Specification, the Employer's Equipment shall be provided for the exclusive use of the Contractor.

When made available by the Employer, the Contractor shall visually inspect the Employer's Equipment and shall promptly give a Notice to the Engineer of any shortage, defect or default in them. Thereafter, the Contractor shall rectify such shortage, defect or default to the extent instructed by the Engineer. Such instruction shall be deemed to have been given under Sub-Clause 13.3.1 [*Variation by Instruction*].

The Contractor shall be responsible for the Employer's Equipment while it is under the Contractor's control and/or any of the Contractor's Personnel is operating it, driving it, directing it, using it, or in control of it.

The Contractor shall not remove from the Site any items of the Employer's Equipment without the consent of the Employer. However, consent shall not be required for vehicles

transporting Goods or Contractor's personnel to or from the Site."

**Sub-Clause 3.1
The Engineer**

The following is added at the end of the first sub-paragraph:
"The Engineer's staff shall include suitably qualified engineers and other professionals who are competent to carry out these duties."

**Sub-Clause 3.2
Engineer's Duties and
Authority**

The Engineer shall obtain the consent in writing of the Employer before taking action under the following Sub-Clauses of these Conditions:

- (a) Sub-Clause 13.1: Right to vary - instructing a variation, except;
 - (i) in an emergency situation as determined by the Engineer; or
 - (ii) (if such a Variation would increase the Accepted Contract Amount by less than the percentage specified in the Contract Data.
- (b) Sub-Clause 13.2 (Value Engineering): stating consent or otherwise to a value engineering proposal submitted by the Contractor in accordance with Sub-Clause 13.2.

Notwithstanding the obligation, as set out above, to obtain consent in writing, if, in the opinion of the Engineer, an emergency occurs affecting the safety of life or of the Works or of adjoining property, it may, without relieving the Contractor of any of his duties and responsibility under the Contract, instruct the Contractor to execute all such work or to do all such things as may, in the opinion of the Engineer, be necessary to abate or reduce the risk. The Contractor shall forthwith comply, despite the absence of consent of the Employer, with any such instruction of the Engineer. The Engineer shall determine an addition to the Contract Price, in respect of such instruction, and EOT if any, in accordance with Clause 13 and shall notify the Contractor accordingly, with a copy to the Employer.

**Sub-Clause 3.3
Engineer's Representative**

The following is added at the end of Sub-Clause 3.3:

"The Engineer shall obtain the consent of the Employer before appointing or replacing an Engineer's Representative."

**Sub-Clause 3.4
Delegation by the Engineer**

The following is added at the end of the second paragraph:

"If any assistants are not fluent in this language, the Engineer shall make competent interpreters available during all working hours, in a number sufficient for those assistants to properly perform their assigned duties and/or exercise their delegated authority."

**Sub-Clause 3.6
Replacement of the
Engineer**

In the first paragraph, "42 days" is replaced with: "21 days";
In the third para, "shall" is replaced with: "should".

**Sub-Clause 4.1
Contractor's General
Obligations**

The following is inserted after the paragraph "The Contractor shall provide the Plant (and spare parts, if any) ...":

"All equipment, material, and services to be incorporated in or required for the Works shall have their origin in any eligible source country as defined by the Bank."

The following is inserted after the paragraph "The Contractor shall, whenever required by the Engineer...":

The Contractor shall not carry out mobilization to Site (e.g. limited clearance for haul roads, site accesses and work site establishment, geotechnical investigations or investigations to select ancillary features such as quarries and borrow pits) unless the Engineer gives consent, a consent that shall not be unreasonably delayed, that appropriate measures are in place to address environmental and social risks and impacts, which at a minimum shall include applying the Management Strategies and Implementation Plans (MSIPs) and Code of Conduct for Contractor's Personnel submitted as part of the Bid and agreed as part of the Contract.

The Contractor shall submit, to the Engineer for Review any additional MSIPs as are necessary to manage the ES risks and impacts of ongoing Works (e.g. excavation, earth works, bridge and structure works, stream and road diversions,

quarrying or extraction of materials, concrete batching and asphalt manufacture). These MSIPs collectively comprise the Contractor's Environmental and Social Management Plan (C-ESMP). The Contractor shall review the C-ESMP, periodically (but not less than every six (6) months), and update it as required to ensure that it contains measures appropriate to the Works. The updated C-ESMP shall be submitted to the Engineer for Review

The C-ESMP shall be part of the Contractor's Documents. The procedures for Review of the C-ESMP and its updates shall be as described in Sub-Clause 4.4.1 *[Preparation and Review]*.

The following is added as (g); (g) and (h) of the Sub-Clause are then renumbered as (h) and (i) respectively.

(g) if so stated in the Specification, the Contractor shall:

- (i) design structural elements of the Works taking into account climate change considerations;
- (ii) apply the concept of universal access (the concept of universal access means unimpeded access for people of all ages and abilities in different situations and under various circumstances;
- (iii) consider the incremental risks of the public's potential exposure to operational accidents or natural hazards, including extreme weather events; and
- (iv) any other requirement stated in the Specification."

The following is added at the end of the Sub-Clause:

"The Contractor shall provide relevant contract- related information, as the Employer and/or Engineer may reasonably request to conduct Stakeholder engagements. "Stakeholder" refers to individuals or groups who:

- (i) are affected or likely to be affected by the Contract; and
- (ii) may have an interest in the Contract.

The Contractor may also directly participate in Stakeholder engagements, as the Employer and/or Engineer may reasonably request."

**Sub-Clause 4.2
Performance Security and
ES Performance Security**

The first paragraph is replaced with:

"The Contractor shall obtain (at its cost) a Performance Security for proper performance and, if applicable, an Environmental and Social (ES) Performance Security for compliance with the Contractor's ES obligations, in the amounts stated in the Contract Data and denominated in the currency(ies) of the Contract or in a freely convertible currency acceptable to the Employer. If amounts are not stated in the Contract Data, this Sub-Clause shall not apply."

In the following Sub-Clauses of the General Conditions, the term "Performance Security" is replaced with: "Performance Security and, if applicable, an Environmental and Social (ES) Performance Security":

2.1- Right of Access to the Site;

14.2- Advance Payment;

14.6- Issue of IPC;

14.12- Discharge;

14.13- Issue of FPC;

14.14 Cessation of Employer's Liability;

15.2- Termination for Contractor's Default;

15.5- Termination for Employer's Convenience.

**Sub-Clause 4.2.1
Contractor's
obligations**

The first paragraph is replaced with:

"The Contractor shall deliver the Performance Security and, if applicable, an ES Performance Security to the Employer within 28 days after receiving the Letter of Acceptance and shall send a copy to the Engineer. The Performance Security shall be issued by a reputable bank or financial institution selected by

the Contractor and shall be in the form annexed to the Particular Conditions, as stipulated by the Employer in the Contract Data, or in another form approved by the Employer. The ES Performance Security shall be issued by a reputable bank selected by the Contractor and shall be in the form annexed to the Particular Conditions, as stipulated by the Employer in the Contract Data, or in another form approved by the Employer."

Thereafter, throughout Sub-Clause 4.2 "Performance Security" is replaced with: "Performance Security and, if applicable, ES Performance Security."

**Sub-Clause 4.2.2
Claims under the
Performance Security**

The first paragraph is replaced in its entirety with: "The Employer shall not make a claim under the Performance Security, except for amounts for which the Employer is entitled under the Contract."

**Sub-Clause 4.2.3
Return of Performance
Security**

In sub-paragraph (a) "21 days" is replaced with: "28 days".

**Sub-Clause 4.3
Contractor's
Representative**

The following is added at the end of the last paragraph: "If any of these persons is not fluent in this language, the Contractor shall make competent interpreters available during all working hours in a number deemed sufficient by the Engineer."

**Sub-Clause 4.6
Co-operation**

On the second-last line of the first paragraph before "Contractor's", add "of the".

The following is added after the first paragraph:

"The Contractor shall also, as stated in the Specification or as instructed by the Engineer, cooperate with and allow appropriate opportunities for the Employer's Personnel to conduct any environmental and social assessment.

**Sub-Clause 4.7
Setting out**

In the second bullet-point of sub-paragraph (b) of Sub-Clause 4.7.3:

- before "if the items of reference", add: "when examining the items of reference within the period stated in sub-paragraph (a) of Sub-Clause 4.7.2"

- On the second and third lines, the following is deleted
"and the contractor's Notice is given after the period
stated in sub-paragraph (a) of Sub-Clause 4.7.2".

Sub-Clause 4.8 Health and Safety Obligations

The following are included after deleting "and" at the end of (f) and replacing "." with ";" at the end of (g):
"

(h) provide health and safety training of Contractor's Personnel as appropriate and maintain training records;

(i) actively engage the Contractor's Personnel in promoting understanding, and methods for, implementation of health and safety requirements, as well as in providing information to Contractor's Personnel, training on occupational safety and health, and provision of personal protective equipment without expense to the Contractor's Personnel;

(j) put in place workplace processes for Contractor's Personnel to report work situations that they believe are not safe or healthy, and to remove themselves from a work situation which they have reasonable justification to believe presents an imminent and serious danger to their life or health.

(k) Contractor's Personnel who remove themselves from such work situations shall not be required to return to work until necessary remedial action to correct the situation has been taken. Contractor's Personnel shall not be retaliated against or otherwise subject to reprisal or negative action for such reporting or removal;

(l) subject to Sub-Clause 4.6, collaborate with the entities and Personnel under paragraph (a) , (b) and (c) of Sub-Clause 4.6, in applying the health and safety requirements. This is without prejudice to the responsibility of the relevant entities for the health and safety of their own personnel; and

(m) establish and implement a system for regular (not less than six-monthly) review of health and safety performance and the working environment."

The second and third paragraphs are replaced with the following:

"Subject to Sub-Clause 4.1, the Contractor shall submit to the Engineer for Review a health and safety manual which has been specifically prepared for the Works, the Site and other places (if any) where the Contractor intends to execute the Works. The procedures for Review of the health and safety manual and its updates shall be as described in Sub-Clause 4.4.1 *[Preparation and Review]*.

The health and safety manual shall be in addition to any other similar document required under applicable health and safety regulations and Laws.

The health and safety manual shall set out all the health and safety requirements under the Contract,

(a) which shall include at a minimum:

- (i) the procedures to establish and maintain a safe working environment without risk to health at all workplaces, machinery, equipment and processes under the control of the Contractor, including control measures for chemical, physical and biological substances and agents;
- (ii) details of the training to be provided, records to be kept;
- (iii) the procedures for prevention, preparedness and response activities to be implemented in the case of an emergency event (i.e. an unanticipated incident, arising from both natural and man-made hazards, typically in the form of fire, explosions, leaks or spills, which may occur for a variety of different reasons including failure to implement operating procedures that are designed to prevent their occurrence, extreme weather or lack of early warning);
- (iv) the measures to be taken to avoid or minimize the potential for community exposure to water-borne, water-based, water-related, and vector-borne diseases,
- (v) the measures to be implemented to avoid or minimize the spread of communicable diseases (including transfer of Sexually

Transmitted Diseases or Infections (STDs), such as HIV virus) and non-communicable diseases associated with the execution of the Works, taking into consideration differentiated exposure to and higher sensitivity of vulnerable groups. This includes taking measures to avoid or minimize the transmission of communicable diseases that may be associated with the influx of temporary or permanent Contract-related labour;

- (vi) the policies and procedures on the management and quality of accommodation and welfare facilities if such accommodation and welfare facilities are provided by the Contractor in accordance with Sub-Clause 6.6; and

- (b) any other requirements stated in the Specification.

The paragraph starting with: "In addition to the reporting requirement of..." is deleted and replaced with the addition to GC Sub-Clause 4.20 in Sub-Clause 4.20 of the Special Provisions.

Sub-Clause 4.15 Access Route

The following is added at the end of Sub-Clause 4.15:

"The Contractor shall take all necessary safety measures to avoid the occurrence of incidents and injuries to any third party associated with the use of Contractor's Equipment on public roads or other public infrastructure.

The Contractor shall monitor road safety incidents and accidents to identify negative safety issues, and establish and implement necessary measures to resolve them."

Sub-Clause 4.18 Protection of the Environment

Sub-Clause 4.18 Protection of the Environment is replaced with:

"The Contractor shall take all necessary measures to:

- (a) protect the environment (both on and off the Site); and
- (b) limit damage and nuisance to people and property resulting from pollution, noise and other results of the Contractor's operations and/ or activities.

The Contractor shall ensure that emissions, surface discharges, effluent and any other pollutants from the Contractor's

activities shall exceed neither the values indicated in the Specification, nor those prescribed by applicable Laws.

In the event of damage to the environment, property and/or nuisance to people, on or off Site as a result of the Contractor's operations, the Contractor shall agree with the Engineer the appropriate actions and time scale to remedy, as practicable, the damaged environment to its former condition. The Contractor shall implement such remedies at its cost to the satisfaction of the Engineer."

Sub-Clause 4.20 Progress Reports

Replace "4.20 (g) with: "the Environmental and Social (ES) metrics set out in Particular Conditions - Part D"

The following is added at the end of the Sub-Clause:

"In addition to the reporting requirement of this subparagraph (g) of Sub-Clause 4.20 [*Progress Reports*] the Contractor shall inform the Engineer immediately of any allegation, incident or accident, which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer's Personnel or Contractor's Personnel. This includes, but is not limited to, any incident or accident causing fatality or serious injury; significant adverse effects or damage to private property; or any allegation of SEA and/or SH. In case of SEA and/or SH, while maintaining confidentiality as appropriate, the type of allegation (sexual exploitation, sexual abuse or sexual harassment), gender and age of the person who experienced the alleged incident should be included in the information.

The Contractor, upon becoming aware of the allegation, incident or accident, shall also immediately inform the Engineer of any such incident or accident on the Subcontractors' or suppliers' premises relating to the Works which has or is likely to have a significant adverse effect on the environment, the affected communities, the public, Employer's Personnel or Contractor's, its Subcontractors' and suppliers' personnel. The notification shall provide sufficient detail regarding such incidents or accidents. The Contractor shall provide full details of such incidents or accidents to the Engineer within the timeframe agreed with the Engineer.

The Contractor shall require its Subcontractors and suppliers (other than Subcontractors) to immediately notify the Contractor of any incidents or accidents referred to in this Sub clause."

**Sub-Clause 4.21
Security of the Site**

Sub-Clause 4.21 Security of the Site is replaced with:

"Sub-Clause 4.21 Security of the Site

The Contractor shall be responsible for the security of the Site, and:

- (a) for keeping unauthorised persons off the Site;
- (b) authorised persons shall be limited to the Contractor's Personnel, the Employer's Personnel, and to any other personnel identified as authorised personnel (including the Employer's other contractors on the Site), by a Notice from the Employer or the Engineer to the Contractor.

Subject to Sub-Clause 4.1, the Contractor shall submit for the Engineer's No-objection a security management plan that sets out the security arrangements for the Site.

The Contractor shall (i) conduct appropriate background checks on any personnel retained to provide security; (ii) train the security personnel adequately (or determine that they are properly trained) in the use of force (and where applicable, firearms), and appropriate conduct towards Contractor's Personnel, Employer's Personnel and affected communities; and (iii) require the security personnel to act within the applicable Laws and any requirements set out in the Specification.

The Contractor shall not permit any use of force by security personnel in providing security except when used for preventive and defensive purposes in proportion to the nature and extent of the threat.

In making security arrangements, the Contractor shall also comply with any additional requirements stated in the Specification."

**Sub-Clause 4.22
Contractor's Operations
on Site**

On the third line of the second paragraph before "4.17", "Sub-Clause" is added.

**Sub-Clause 4.23
Archaeological and
Geological Findings**

The first paragraph is replaced with the following:

"All fossils, coins, articles of value or antiquity, structures, groups of structures, and other remains or items of geological, archaeological, paleontological, historical, architectural or religious interest found on the Site shall be placed under the care and custody of the Employer. The Contractor shall:

- (a) take all reasonable precautions, including fencing-off the area or site of the finding, to avoid further disturbance and prevent Contractor's Personnel or other persons from removing or damaging any of these findings;
- (b) train relevant Contractor's Personnel on appropriate actions to be taken in the event of such findings; and
- (c) implement any other action consistent with the requirements of the Specification and relevant Laws."

**Sub-Clause 4.24
Suppliers (other than
Subcontractors)**

4.24.1 Forced Labour

The Contractor shall take measures to require its suppliers (other than Subcontractors) not to employ or engage forced labour including trafficked persons as described in Sub-Clause 6.21. If forced labour/trafficking cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

4.24.2 Child labour

The Contractor shall take measures to require its suppliers (other than Subcontractors) not to employ or engage child labour as described in Sub-Clause 6.22. If child labour cases are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

4.24.3 Serious Safety Issues

The Contractor, including its Subcontractors, shall comply with all applicable safety obligations, including as stated in Sub-Clauses 4.8, 5.1 and 6.7. The Contractor shall also take measures to require its suppliers (other than Subcontractors) to adopt procedures and mitigation measures adequate to

address safety issues related to their personnel. If serious safety issues are identified, the Contractor shall take measures to require the suppliers to take appropriate steps to remedy them. Where the supplier does not remedy the situation, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to manage such risks.

4.24.4 Obtaining natural resource materials in relation to supplier

The Contractor shall obtain natural resource materials from suppliers that can demonstrate, through compliance with the applicable verification and/ or certification requirements, that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats such as unsustainably harvested wood products, gravel or sand extraction from river beds or beaches.

If a supplier cannot continue to demonstrate that obtaining such materials is not contributing to the risk of significant conversion or significant degradation of natural or critical habitats, the Contractor shall within a reasonable period substitute the supplier with a supplier that is able to demonstrate that they are not significantly adversely impacting the habitats.

Sub-Clause 4.25 Code of Conduct

The Contractor shall have a Code of Conduct for the Contractor's Personnel.

The Contractor shall take all necessary measures to ensure that each Contractor's Personnel is made aware of the Code of Conduct including specific behaviours that are prohibited, and understands the consequences of engaging in such prohibited behaviours.

These measures include providing instructions and documentation that can be understood by the Contractor's Personnel and seeking to obtain that person's signature acknowledging receipt of such instructions and/or documentation, as appropriate.

The Contractor shall also ensure that the Code of Conduct is visibly displayed in multiple locations on the Site and any other place where the Works will be carried out, as well as in areas

outside the Site accessible to the local community and project affected people. The posted Code of Conduct shall be provided in languages comprehensible to Contractor's Personnel, Employer's Personnel and the local community.

The Contractor's Management Strategy and Implementation Plans shall include appropriate processes for the Contractor to verify compliance with these obligations.

Sub-Clause 5.1 Subcontractors

The following is added at the beginning of the second paragraph.

"The Contractor shall require that its Subcontractors execute the Works in accordance with the Contract, including complying with the relevant ES requirements and the obligations set out in Sub-Clause 4.25 above."

The following is added at the end of the last paragraph of Sub-Clause 5.1:

"All subcontracts relating to the Works shall include provisions which entitle the Employer to require the subcontract to be assigned to the Employer under sub-paragraph (a) of Sub-Clause 15.2.3 [*After Termination*]. Where practicable, the Contractor shall give fair and reasonable opportunity for contractors from the Country to be appointed as Subcontractors."

Sub-Clause 5.2.2 Objection to Nomination

In sub-paragraph (a), on the first line before "Subcontractor", "nominated" is added.

In sub-paragraph (c):

"and" is deleted from the end of (i);

"." at the end of (ii) is replaced with: ", and".

The following is then added as (iii):

"(iii) be paid only if and when the Contractor has received from the Employer payments for sums due under the Subcontract referred to under Sub-Clause 5.2.3 [*Payment to nominated Subcontractors*]."

**Sub-Clause 6.1
Engagement of Staff and
Labour**

The following paragraphs are added at the end of the Sub-Clause:

The Contractor shall provide the Contractor's Personnel information and documentation that are clear and understandable regarding their terms and conditions of employment. The information and documentation shall set out their rights under relevant labour Laws applicable to the Contractor's Personnel (which will include any applicable collective agreements), including their rights related to hours of work, wages, overtime, compensation and benefits, as well as those arising from any requirements in the Specification. The Contractor's Personnel shall be informed when any material changes to their terms or conditions of employment occur.

"The Contractor is encouraged, to the extent practicable and reasonable, to employ staff and labour with appropriate qualifications and experience from sources within the Country."

**Sub-Clause 6.2
Rates of Wages and
Conditions of Labour**

The following paragraphs are added at the end of the Sub-Clause:

"The Contractor shall inform the Contractor's Personnel about:

- (a) any deduction to their payment and the conditions of such deductions in accordance with the applicable Laws or as stated in the Specification; and
- (b) their liability to pay personal income taxes in the Country in respect of such of their salaries, wages, allowances and any benefits as are subject to tax under the Laws of the Country for the time being in force.

The Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.

Where required by applicable Laws or as stated in the Specification, the Contractor shall provide the Contractor's Personnel written notice of termination of employment and details of severance payments in a timely manner. The Contractor shall have paid the Contractor's Personnel (either directly or where appropriate for their benefit) all due wages and entitlements including, as applicable, social security

benefits and pension contributions, on or before the end of their engagement/ employment."

Sub-Clause 6.5 Working Hours

The following is inserted at the end of the Sub-Clause:

"The Contractor shall provide the Contractor's Personnel annual holiday and sick, maternity and family leave, as required by applicable Laws or as stated in the Specification."

Sub-Clause 6.6 Facilities for Staff and Labour

The following is added as the last paragraph:

"If stated in the Specification, the Contractor shall give access to or provide services that accommodate the physical, social and cultural needs of the Contractor's Personnel. The Contractor shall also provide similar facilities for the Employer's Personnel as stated in the Specification."

Sub-Clause 6.7 Health and Safety of Personnel

In the second paragraph, "The Contractor" is replaced with:

"Except as otherwise stated in the Specification, the Contractor..."

Sub-Clause 6.9 Contractor's Personnel

The Sub-Clause is replaced with:

"The Contractor's Personnel (including Key Personnel, if any) shall be appropriately qualified, skilled, experienced and competent in their respective trades or occupations.

The Engineer may require the Contractor to remove (or cause to be removed) any person employed on the Site or Works, including the Contractor's Representative and Key Personnel (if any), who:

- (a) persists in any misconduct or lack of care;
- (b) carries out duties incompetently or negligently;
- (c) fails to comply with any provision of the Contract;
- (d) persists in any conduct which is prejudicial to safety, health, or the protection of the environment;
- (e) based on reasonable evidence, is determined to have engaged in Fraud and Corruption during the execution of the Works;
- (f) has been recruited from the Employer's Personnel in breach of Sub-Clause 6.3 [Recruitment of Persons];

- (g) undertakes behaviour which breaches the Code of Conduct for Contractor's Personnel (ES).

If appropriate, the Contractor shall then promptly appoint (or cause to be appointed) a suitable replacement with equivalent skills and experience. In the case of replacement of the Contractor's Representative, Sub-Clause 4.3 [*Contractor's Representative*] shall apply. In the case of replacement of Key Personnel (if any), Sub-Clause 6.12 [*Key Personnel*] shall apply.

Subject to the requirements in Sub-Clause 4.3 [*Contractor's Representative*] and 6.12 [*Key Personnel*], and notwithstanding any requirement from the Engineer to remove or cause to remove any person, the Contractor shall take immediate action as appropriate in response to any violation of (a) through (g) above. Such immediate action shall include removing (or causing to be removed) from the Site or other places where the Works are being carried out, any Contractor's Personnel who engages in (a), (b), (c), (d), (e) or (g) above or has been recruited as stated in (f) above."

**Sub-Clause 6.12
Key Personnel**

The following is inserted at the end of the last paragraph:

"If any of the Key Personnel are not fluent in this language, the Contractor shall make competent interpreters available during all working hours in a number deemed sufficient by the Engineer."

The following Sub-Clauses 6.13 to 6.27 are added after sub-clause 6.12

**Sub-Clause 6.13
Foreign Personnel**

The Contractor may bring into the Country any foreign personnel who are necessary for the execution of the Works to the extent allowed by the applicable Laws. The Contractor shall ensure that these personnel are provided with the required residence visas and work permits. The Employer will, if requested by the Contractor, use its best endeavours in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national, or government permission required for bringing in the Contractor's personnel.

The Contractor shall be responsible for the return of these personnel to the place where they were recruited or to their domicile. In the event of the death in the Country of any of these personnel or members of their families, the Contractor

shall similarly be responsible for making the appropriate arrangements for their return or burial.

**Sub-Clause 6.14
Supply of Foodstuffs**

The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.

**Sub-Clause 6.15
Supply of Water**

The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.

**Sub-Clause 6.16
Measures against Insect
and Pest Nuisance**

The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce the danger to their health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.

**Sub-Clause 6.17
Alcoholic Liquor or Drugs**

The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give, barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift, barter or disposal thereto by Contractor's Personnel.

**Sub-Clause 6.18
Arms and Ammunition**

The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.

**Sub-Clause 6.19
Festivals and Religious
Customs**

The Contractor shall respect the Country's recognized festivals, days of rest and religious or other customs.

**Sub-Clause 6.20
Funeral Arrangements**

The Contractor shall be responsible, to the extent required by local regulations, for making any funeral arrangements for any of its local employees who may die while engaged upon the Works.

**Sub-Clause 6.21
Forced Labour**

The Contractor, including its Subcontractors, shall not employ or engage forced labour. Forced labour consists of any work or service, not voluntarily performed, that is exacted from an individual under threat of force or penalty, and includes any kind of involuntary or compulsory labour, such as indentured labour, bonded labour or similar labour-contracting arrangements.

No persons shall be employed or engaged who have been subject to trafficking. Trafficking in persons is defined as the recruitment, transportation, transfer, harbouring or receipt of persons by means of the threat or use of force or other forms of coercion, abduction, fraud, deception, abuse of power, or of a position of vulnerability, or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purposes of exploitation.

**Sub-Clause 6.22
Child Labour**

The Contractor, including its Subcontractors, shall not employ or engage a child under the age of 14 unless the national law specifies a higher age (the minimum age).

The Contractor, including its Subcontractors, shall not employ or engage a child between the minimum age and the age of 18 in a manner that is likely to be hazardous, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

The Contractor including its Subcontractors, shall only employ or engage children between the minimum age and the age of 18 after an appropriate risk assessment has been conducted by the Contractor with the Engineer's consent. The Contractor shall be subject to regular monitoring by the Engineer that includes monitoring of health, working conditions and hours of work.

Work considered hazardous for children is work that, by its nature or the circumstances in which it is carried out, is likely to jeopardize the health, safety, or morals of children. Such work activities prohibited for children include work:

- (a) with exposure to physical, psychological or sexual abuse;

- (b) underground, underwater, working at heights or in confined spaces;
- (c) with dangerous machinery, equipment or tools, or involving handling or transport of heavy loads;
- (d) in unhealthy environments exposing children to hazardous substances, agents, or processes, or to temperatures, noise or vibration damaging to health; or
- (e) under difficult conditions such as work for long hours, during the night or in confinement on the premises of the employer.

**Sub-Clause 6.23
Employment Records of
Workers**

The Contractor shall keep complete and accurate records of the employment of labour at the Site. The records shall include the names, ages, genders, hours worked, and wages paid to all workers. These records shall be summarised on a monthly basis and submitted to the Engineer. These records shall be included in the details to be submitted by the Contractor under Sub-Clause 6.10 [Records of Contractor's Personnel and Equipment].

**Sub-Clause 6.24
Workers' Organisations**

In countries where the relevant labour laws recognise workers' rights to form and to join workers' organisations of their choosing and to bargain collectively without interference, the Contractor shall comply with such laws. In such circumstances, the role of legally established workers' organizations and legitimate workers' representatives will be respected, and they will be provided with information needed for meaningful negotiation in a timely manner. Where the relevant labour laws substantially restrict workers' organisations, the Contractor shall enable alternative means for the Contractor's Personnel to express their grievances and protect their rights regarding working conditions and terms of employment. The Contractor shall not seek to influence or control these alternative means. The Contractor shall not discriminate or retaliate against the Contractor's Personnel who participate, or seek to participate, in such organisations and collective bargaining or alternative mechanisms. Workers' organisations are expected to fairly represent the workers in the workforce.

**Sub-Clause 6.25
Non-Discrimination and
Equal Opportunity**

The Contractor shall not make decisions relating to the employment or treatment of Contractor's Personnel on the basis of personal characteristics unrelated to inherent job requirements. The Contractor shall base the employment of

Contractor's Personnel on the principle of equal opportunity and fair treatment, and shall not discriminate with respect to any aspects of the employment relationship, including recruitment and hiring, compensation (including wages and benefits), working conditions and terms of employment, access to training, job assignment, promotion, termination of employment or retirement, and disciplinary practices.

Special measures of protection or assistance to remedy past discrimination or selection for a particular job based on the inherent requirements of the job shall not be deemed discrimination. The Contractor shall provide protection and assistance as necessary to ensure non-discrimination and equal opportunity, including for specific groups such as women, people with disabilities, migrant workers and children (of working age in accordance with Sub-Clause 6.22).

Sub-Clause 6.26
Contractor's Personnel
Grievance Mechanism

The Contractor shall have a grievance mechanism for Contractor's Personnel, and where relevant the workers' organizations stated in Sub-Clause 6.24, to raise workplace concerns. The grievance mechanism shall be proportionate to the nature, scale, risks and impacts of the Contract. The mechanism shall address concerns promptly, using an understandable and transparent process that provides timely feedback to those concerned in a language they understand, without any retribution, and shall operate in an independent and objective manner.

The Contractor's Personnel shall be informed of the grievance mechanism at the time of engagement for the Contract, and the measures put in place to protect them against any reprisal for its use. Measures will be put in place to make the grievance mechanism easily accessible to all Contractor's Personnel.

The grievance mechanism shall not impede access to other judicial or administrative remedies that might be available, or substitute for grievance mechanisms provided through collective agreements.

The grievance mechanism may utilize existing grievance mechanisms, providing that they are properly designed and implemented, address concerns promptly, and are readily accessible to such project workers. Existing grievance

mechanisms may be supplemented as needed with Contract-specific arrangements.

**Sub-Clause 6.27
Training of Contractor's
Personnel**

The Contractor shall provide appropriate training to relevant Contractor's Personnel on ES aspects of the Contract, including appropriate sensitization on prohibition of SEA, and health and safety training referred to in Sub-Clause 4.8

As stated in the Specification or as instructed by the Engineer, the Contractor shall also allow appropriate opportunities for the relevant Contractor's Personnel to be trained on ES aspects of the Contract by the Employer's Personnel.

The Contractor shall provide training on SEA, including its prevention, to any of its personnel who has a role to supervise other Contractor's Personnel.

**Sub-Clause 7.3
Inspection**

The following is added in the first paragraph after "Employer's Personnel" "(including the Bank staff or consultants acting on the Bank's behalf, stakeholders and third parties, such as independent experts, local communities, or non-governmental organizations)"

The following is added as (b) (iv):

"(iv) carryout environmental and social audit, and"

**Sub-Clause 7.7
Ownership of Plant and
Materials**

The following is added before the first paragraph:

"Except as otherwise provided in the Contract,"

**Sub-Clause 8.1
Commencement of Work**

The Sub- Clause is replaced in its entirety with the following:

"The Engineer shall give a Notice to the Contractor stating the Commencement Date, not less than 14 days before the Commencement Date.

The Notice shall be issued promptly after the Engineer determines the fulfilment of the following conditions:

- (a) signature of the Contract Agreement by both Parties, and if required, approval of the Contract by relevant authorities of the Country;

- (b) delivery to the Contractor of reasonable evidence of the Employer's financial arrangements (under Sub-Clause 2.4 [Employer's Financial Arrangements]);
- (c) except if otherwise specified in the Contract Data, effective access to and possession of the Site given to the Contractor together with such permission(s) under (a) of Sub-Clause 1.13 [Compliance with Laws] as required for the commencement of the Works;
- (d) receipt by the Contractor of the Advance Payment under Sub-Clause 14.2 [Advance Payment] provided that the corresponding bank guarantee has been delivered by the Contractor;
- (e) constitution of the DAAB in accordance with Sub-Clause 21.1 and Sub-Clause 21.2 as applicable.

Subject to Sub-Clause 4.1 on the Management Strategies and Implementation Plans and the C-ESMP and Sub-Clause 4.8 on the health and safety manual, the Contractor, shall commence the execution of the Works as soon as is reasonably practicable after the Commencement Date, and shall then proceed with the Works with due expedition and without delay."

**Sub-Clause 11.7
Right of Access after
Taking Over**

In the second paragraph, "Whenever the Contractor intends to access any part of the Works during the relevant DNP:" is replaced with:

"Whenever, until the date 28 days after issue of the Performance Certificate, the Contractor intends to access any part of the Works:"

**Sub-Clause 13.3.1
Variation by Instruction**

Subparagraph 13.3.1 (a) is replaced with: "a description of the varied work performed or to be performed, including details of the resources and methods adopted or to be adopted by the Contractor, and sufficient ES information to enable an evaluation of ES risks and impacts;"

**Sub-Clause 13.4
Provisional Sums**

The following is inserted as the penultimate paragraph:

"The Provisional Sum shall be used to cover the Employer's share of the DAAB members' fees and expenses, in accordance with Clause 21. No prior instruction of the Engineer shall be required with respect to the work of the DAAB. The Contractor shall submit the DAAB members' invoices and satisfactory

evidence of having paid 100% of such invoices as part of the substantiation of those Statements submitted under Sub-Clause 14.3.

**Sub-Clause 13.6
Adjustments for Changes
in Laws**

The following paragraph is added at the end of the Sub-Clause:

"Notwithstanding the foregoing, the Contractor shall not be entitled to an extension of time if the relevant delay has already been taken into account in the determination of a previous extension of time and such Cost shall not be separately paid if the same shall already have been taken into account in the indexing of any inputs to the Table of Adjustment Data in accordance with the provisions of Sub-Clause 13.7 [Adjustments for Changes in Cost]."

**Sub-Clause 13.7
Adjustments for changes
in Cost**

Add the following paragraph at the end only if Contract Data specifies adjustment shall apply. Otherwise, prices shall be fixed during the duration of the Contract:

Schedule of Cost Indexation: Formula for Adjustment for Change in Cost:

$P_n = a + b \frac{L_n}{L_o} + c \frac{E_n}{E_o} + d \frac{M_n}{M_o} + \dots$ where:

" P_n " is the adjustment multiplier to be applied to the estimated contract value in the relevant currency of the work carried out in period "n", this period being a month unless otherwise stated in the Contract Data;

"a" is a fixed coefficient, stated in the relevant table of adjustment data, representing the non-adjustable portion in contractual payments;

"b", "c", "d", ... are coefficients representing the estimated proportion of each cost element related to the execution of the Works, as stated in the relevant table of adjustment data; such tabulated cost elements may be indicative of resources such as labour, equipment and materials;

" L_n ", " E_n ", " M_n ", ... are the current cost indices or reference prices for period "n", expressed in the relevant currency of payment, each of which is applicable to the relevant tabulated cost element on the date 49 days prior to the last day of the

period (to which the particular Payment Certificate relates);
and

"Lo", "Eo", "Mo", ... are the base cost indices or reference prices, expressed in the relevant currency of payment, each of which is applicable to the relevant tabulated cost element on the Base Date.

The cost indices or reference prices stated in the table of adjustment data shall be used. If their source is in doubt, it shall be determined by the Engineer. For this purpose, reference shall be made to the values of the indices at stated dates (quoted in the fourth and fifth columns respectively of the table)

If the currency in which the Contract price is expressed is different from the currency of the country of origin of the indices, a correction factor will be applied to avoid incorrect adjustments of the Contract price. The correction factor shall be: Z_0 / Z_1 , where,

Z_0 = the number of units of currency of the origin of the indices which equal to one unit of the currency of the Contract Price on the Base date, and

Z_1 = the number of units of currency of the origin of the indices which equal to one unit of the currency of the Contract Price on the Date of Adjustment.

[Note to the Employer: include one of the following two alternative texts as applicable]

The following is added at the end of the Sub-Clause:

[Alternative 1]

"Notwithstanding the provisions of subparagraph (b), Contractor's Equipment, including essential spare parts therefor, imported by the Contractor for the sole purpose of executing the Contract shall be exempt from the payment of import duties and taxes upon importation."

[Alternative 2]

Sub-Clause 14.1 The Contract Price

"Notwithstanding the provisions of subparagraph (b), Contractor's Equipment, including essential spare parts therefore, imported by the Contractor for the sole purpose of executing the Contract shall be temporarily exempt from the payment of import duties and taxes upon initial importation, provided the Contractor shall post with the customs authorities at the port of entry an approved export bond or bank guarantee, valid until the Time for Completion plus six months, in an amount equal to the full import duties and taxes which would be payable on the assessed imported value of such Contractor's Equipment and spare parts, and callable in the event the Contractor's Equipment is not exported from the Country on completion of the Contract. A copy of the bond or bank guarantee endorsed by the customs authorities shall be provided by the Contractor to the Employer upon the importation of individual items of Contractor's Equipment and spare parts. Upon export of individual items of Contractor's Equipment or spare parts, or upon the completion of the Contract, the Contractor shall prepare, for approval by the customs authorities, an assessment of the residual value of the Contractor's Equipment and spare part to be exported, based on the depreciation scale (s and other criteria used by the customs authorities for such purposes under the provisions of the applicable Laws. Import duties and taxes shall be due and payable to the customs authorities by the Contractor on (a) the difference between the initial imported value and the residual value of the Contractor's Equipment and spare parts to exported; and (b) on the initial imported value of the Contractor's Equipment and spare parts remaining in the Country after completion of the Contract. Upon payment of such dues within 28 days of being invoiced, the bond or bank guarantee shall be reduced or released accordingly; otherwise the security shall be called in the full amount remaining."

Sub-Clause 14.2.1
Advance Payment
Guarantee

The first paragraph is replaced with:

"The Contractor shall obtain (at the Contractor's cost) an Advance Payment Guarantee in amounts and currencies equal to the advance payment, and shall submit it to the Employer with a copy to the Engineer. This guarantee shall be issued by reputable bank or financial institution selected by the Contractor and shall be based on the sample form annexed to the Particular Conditions or in another form agreed by the

Employer (but such agreement shall not relieve the Contractor from any obligation under this Sub-Clause)."

**Sub-Clause 14.3
Application for Interim
Payment**

The following is inserted at the end of (vi) after: *[Agreement or Determination]*: "any reimbursement due to the Contractor under the Dispute Avoidance/ Adjudication Agreement. (Appendix General Conditions of Dispute Avoidance/ Adjudication Agreement)."

**Sub-Clause 14.6.2
Withholding (amounts in
an IPC**

"and/or" from subparagraph (b) is deleted.

The following is then added as subparagraph (c) and subparagraph (c) of the Sub-Clause is renumbered as (d):

"(c) if the Contractor was, or is, failing to perform any ES obligations or work under the Contract, the value of this work or obligation, as determined by the Engineer, may be withheld until the work or obligation has been performed, and/or the cost of rectification or replacement, as determined by the Engineer, may be withheld until rectification or replacement has been completed. Failure to perform includes, but is not limited to the following:

- (i) failure to comply with any ES obligations or work described in the Works' Requirements which may include: working outside site boundaries, excessive dust, damage to offsite vegetation, pollution of water courses from oils or sedimentation, contamination of land e.g. from oils, human waste, damage to archaeology or cultural heritage features, air pollution as a result of unauthorized and/or inefficient combustion;
- (ii) failure to regularly review C-ESMP and/or update it in a timely manner to address emerging ES issues, or anticipated risks or impacts;
- (iii) failure to implement the C-ESMP e.g. failure to provide required training or sensitization;
- (iv) failing to have appropriate consents/permits prior to undertaking Works or related activities;

- (v) failure to submit ES report/s (as described in Particular Conditions - Part D), or failure to submit such reports in a timely manner;
- (vi) failure to implement remediation as instructed by the Engineer within the specified timeframe (e.g. remediation addressing non-compliance/s)."

Sub-Clause 14.7 Payment

At the end of sub-paragraph (b): "and" is replaced with "or" and the following inserted as (iii):

"(iii) at a time when the Bank's loan or credit (from which part of the payments to the Contractor is being made) is suspended, the amount shown on any statement submitted by the Contractor within 14 days after such statement is submitted, any discrepancy being rectified in the next payment to the Contractor; and"

At the end of sub-paragraph (c): "." is replaced with ";" and the following inserted:

"or, at a time when the Bank's loan or credit (from which part of the payments to the Contractor is being made) is suspended the undisputed amount shown in the Final Statement within 56 days after the date of notification of the suspension in accordance with Sub-Clause 16.2 [Termination by Contractor]."

Sub-Clause 14.9 Release of Retention Money

The following is added at the end of Sub-Clause 14.9:

"Unless otherwise stated in the Contract, when the Taking-Over Certificate has been issued for the Works and the first half of the Retention Money has been certified for payment by the Engineer, the Contractor shall be entitled to substitute a guarantee, in the form annexed to the Particular Conditions or in another form approved by the Employer and issued by a reputable bank or financial institution selected by the Contractor, for the second half of the Retention Money. The Contractor shall ensure that the guarantee is in the amounts and currencies of the second half of the Retention Money and is valid and enforceable until the Contractor has executed and completed the Works and remedied any defects, as specified for the Performance Security and, if applicable, an ES Performance Security in Sub-Clause 4.2. On receipt by the

Employer of the required guarantee, the Engineer shall certify and the Employer shall pay the second half of the Retention Money. The release of the second half of the Retention Money against a guarantee shall then be in lieu of the release after the latest of the expiry dates of the Defects Notification Periods. The Employer shall return the guarantee to the Contractor within 21 days after receiving a copy of the Performance Certificate.

If the Performance Security and, if applicable, an ES Performance Security required under Sub-Clause 4.2 is in the form of a demand guarantee, and the amount guaranteed under them when the Taking-Over Certificate is issued is more than half of the Retention Money, then the Retention Money guarantee will not be required. If the amount guaranteed under the Performance Security and, if applicable, an ES Performance Security, when the Taking-Over Certificate is issued is less than half of the Retention Money, the Retention Money guarantee will only be required for the difference between half of the Retention Money and the amount guaranteed under the Performance Security and, if applicable, an ES Performance Security."

**Sub-Clause 14.12
Discharge**

On the seventh line of the first paragraph, "Sub-Clause 21.6 [*Arbitration*]" is replaced with: "Clause 21 [*Disputes and Arbitration*]'.

**Sub-Clause 14.15
Currencies of Payment**

Throughout Sub-Clause 14.15, "Contract Data" is replaced with: "Schedule of Payment Currencies".

**Sub-Clause 15.1
Notice to Correct**

"and" is deleted from (b) and

"," is replaced by: "; and" in (c).

The following is then added as (d)

"(d) specify the time within which the Contractor shall respond to the Notice to Correct."

In the third para., "shall immediately respond" is replaced with: "shall respond within the time specified in (d)". Further, in the third para., "to comply with the time specified in the Notice to Correct." is replaced with: "to comply with the time specified in (c)."

**Sub-Clause 15.2.1
Notice**

Sub-paragraph (h) is replaced with: "based on reasonable evidence, has engaged in Fraud and Corruption as defined in paragraph 2.2 of the Particular Conditions - Part C- Fraud and Corruption, in competing for or in executing the Contract."

**Sub-Clause 15.8
Fraud and Corruption**

The following new Sub-Clause is added:

"

15.8.1 The Bank requires compliance with its Integrity Framework comprising the African Development Bank Group's Sanctions Procedures, the Bank's Whistleblowing and Complaints Policy, the Bank's Procurement Policy under the Procurement Framework and any other applicable Policies and Procedures including their updates, as set forth in Particular Conditions - Part C- Fraud and Corruption.

15.8.2 The Employer requires the Contractor to disclose any commissions or fees that may have been paid or are to be paid to agents or any other party with respect to the bidding process or execution of the Contract. The information disclosed must include at least the name and address of the agent or other party, the amount and currency, and the purpose of the commission, gratuity or fee."

**Sub-Clause 15.9
Eligibility**

The following new Sub-Clause is added:

"The Contractor and its Subcontractor or Suppliers shall have the nationality of an eligible country of the Bank in accordance with the Bank's Procurement Policy for the Bank Group Funded Operation described under the Bank's Procurement Framework, and as listed in Section V, Eligible Countries. The Contractor shall be deemed to have the nationality of a country if the Contractor is constituted, incorporated or registered in and operates in conformity with the provisions of the laws of that country, as evidenced by its articles of incorporation (or equivalent documents of constitution or association) and its registration documents, as the case may be. This criterion also shall apply to the determination of the nationality of proposed subcontractors or sub-consultants for any part of the Contract including related Services. All

materials, equipment and services to be supplied under the Contract shall have their country of origin in an eligible country of the Bank in accordance with the Bank's Procurement Policy for Bank Group Funded Operations described under the Bank's Procurement Framework, and as set forth in Particular Conditions -Part E- Section V, Eligible Countries."

Sub-Clause 16.1
Suspension by Contractor

The following paragraph is inserted after the first paragraph:

"Notwithstanding the above, if the Bank has suspended disbursements under the loan or credit from which payments to the Contractor are being made, in whole or in part, for the execution of the Works, and no alternative funds are available as provided for in Sub-Clause 2.4 [Employer's Financial Arrangements], the Contractor may by notice suspend work or reduce the rate of work at any time, but not less than 7 days after the Borrower having received the suspension notification from the Bank."

Sub-Clause 16.2.1
Notice

Sub-paragraph (j) is deleted in its entirety.

At the end of sub-paragraph (i): "; or" is replaced with: "."

sub-paragraph (f) is replaced with:

"(f) the Contractor does not receive a Notice of the Commencement Date under Sub-Clause 8.1 [*Commencement of Works*] within 180 days after receiving the Letter of Acceptance, for reasons not attributable to the Contractor."

Sub-Clause 16.2.2
Termination

The following is added at the end of Sub-Clause 16.2.2:

"In the event the Bank suspends the loan or credit from which part or whole of the payments to the Contractor are being made, if the Contractor has not received the sums due to him upon expiration of the 14 days referred to in Sub-Clause 14.7 [Payment] for payments under Interim Payment Certificates, the Contractor may, without prejudice to the Contractor's entitlement to financing charges under Sub-Clause 14.8 [Delayed Payment], take one of the following actions, namely (i) suspend work or

reduce the rate of work under Sub-Clause 16.1 above, or
(ii) terminate the Contract by giving notice to the Employer, with a copy to the Engineer, such termination to take effect 14 days after the giving of the notice."

**Sub-Clause 16.3
Contractor's Obligations
After Termination**

[If the Employer has made available any Employer- Supplied Materials and/or Employer's Equipment in accordance with Sub-Clause 2.6, include the following:]

"and" is deleted from the end of sub-paragraph (b), sub-paragraph (c) deleted and the following added:

- (c) deliver to the Engineer all Employer- Supplied Materials and/or Employer's Equipment made available to the Contractor in accordance with Sub-Clause 2.6 *[Employer-Supplied materials and Employer's Equipment]*; and
- (d) remove all other Goods from the Site, except as necessary for safety, and leave the Site."

**Sub-Clause 17.1
Responsibility for Care of
the Works**

On the fourth and fifth lines of the first paragraph, replace "Date of Completion of the Works" with "issue of the Taking-Over Certificate for the Works".

[If Employer- Supplied Materials are listed in the Specification for the Contractor's use in the execution of Works, include the following provision. See also Sub-Clause 2.6 [Employer-Supplied Materials and Employer's Equipment]]

After the two instances of "Goods" in the last paragraph, the following is added: "Employer- Supplied Materials".

[If Employer's Equipment are listed in the Employer's Requirements for the Contractor's use in the execution of Works, include the following provision. See also Sub-Clause 2.6 [Employer-Supplied Materials and Employer's Equipment]]

After the two instances of "Goods" in the last paragraph, the following is added: ", Employer's Equipment,".

Sub-Clause 17.3

On the first line of the second paragraph, replace "notice" is replaced with "a Notice".

Intellectual and Industrial Property Rights

Sub-Clause 17.7 Use of Employer's Accommodation/Facilities

The following Sub-Clause is added as 17.7:

"The Contractor shall take full responsibility for the care of the Employer-provided accommodation and facilities, if any, as detailed in the Specification, from the respective dates of hand-over to the Contractor until cessation of occupation (where hand-over or cessation of occupation may take place after the date stated in the Taking-Over Certificate for the Works)

If any loss or damage happens to any of the above items while the Contractor is responsible for their care arising from any cause whatsoever other than those for which the Employer is liable, the Contractor shall, at its own cost, rectify the loss or damage to the satisfaction of the Engineer."

Sub-Clause 18.1 Exceptional Events

Sub-paragraph (c) is substituted with:

"(c) riot, commotion, disorder or sabotage by persons other than the Contractor's Personnel and other employees of the Contractor and Subcontractors;"

Sub-Clause 18.4 Consequences of an Exceptional Event

The following is added at the end of sub-paragraph (b) after deleting the ".":

", including the costs of rectifying or replacing the Works and/or Goods damaged or destroyed by Exceptional Events, to the extent they are not indemnified through the insurance policy referred to in Sub-Clause 19.2 [Insurance to be provided by the Contractor]."

Sub-Clause 18.5 Optional Termination

In sub-paragraph (c), "and necessarily" is inserted after "'was reasonably".

Sub-Clause 19.1 General Requirements

The following paragraphs are added after the first:

"Wherever the Employer is the insuring Party, each insurance shall be effected with insurers and in terms acceptable to the Contractor. These terms shall be consistent with terms (if any)

agreed by both Parties before the date of the Letter of Acceptance.

This agreement of terms shall take precedence over the provisions of this Clause."

**Sub-Clause 19.2
insurance to be provided
by the Contractor**

The following is inserted as the first sentence in Sub-Clause 19.2:

"The Contractor shall be entitled to place all insurances relating to the Contract (including, but not limited to the insurance referred to Clause 19) with insurers from any eligible source country."

**Sub-Clause 19.2.1
The Works**

On the last line of the second paragraph, "Clause 12 [*Tests after completion*]" is deleted.

**Sub-Clause 19.2.5
Injury to employees**

The second paragraph is replaced with:

"The Employer and the Engineer shall also be indemnified under the policy of insurance, against liability for claims, damages, losses and expenses (including legal fees and expenses) arising from injury, sickness, disease or death of any person employed by the Contractor or any other of the Contractor's Personnel, except that this insurance may exclude losses and claims to the extent that they arise from any act or neglect of the Employer or of the Employer's Personnel."

**Sub-Clause 20.1
Claims**

In a): "any additional payment" is replaced with "payment".

**Sub-Clause 20.2
Claims for Payment and/or
EOT**

The first paragraph is replaced with:

"If either Party considers that it is entitled to claim under 20.1 (a) or (b), the following claim procedure shall apply:"

**Sub-Clause 21.1
Constitution of the
DAAB**

In the second paragraph, at the end of the first sentence after deleting: ". ", the following is added: ", each of whom shall meet the criteria set forth in Sub-Clause 3.3 of Appendix- General Conditions of Dispute Avoidance/ Adjudication Agreement."

After the second paragraph insert the following paragraph: "If the Contract is with a foreign Contractor, the DAAB members

shall not have the same nationality as the Employer or the Contractor."

**Sub-Clause 21.2
Failure to Appoint DAAB
Member (s)**

For both (a) and (b): "by the date stated in the first paragraph of Sub-Clause 21.1 [*Constitution of the DAAB*]" is replaced with: "within 42 days from the date the Contract is signed by both Parties"

**Sub-Clause 21.6
Arbitration**

In the first paragraph, delete starting from: "international arbitration" up to the end of (c), and replace with the following:
"arbitration. Arbitration shall be conducted as follows:

- (a) if the contract is with foreign contractors, unless otherwise specified in the Contract Data; the dispute shall be finally settled under the Rules of Arbitration of the International Chamber of Commerce; by one or three arbitrators appointed in accordance with these Rules. The place of arbitration shall be the neutral location specified in the Contract Data; and the arbitration shall be conducted in the ruling language defined in Sub-Clause 1.4 [Law and Language].
- (b) If the Contract is with domestic contractors, arbitration with proceedings conducted in accordance with the laws of the Employer's country."

Appendix- General Conditions of Dispute Avoidance / Adjudication Agreement

Title "General Conditions of Dispute Avoidance/Adjudication Agreement" is replaced with "General Conditions of DAAB Agreement".

1. Definitions

Sub-Clause 1.2: In both the first and third lines, "DAA Agreement" is replaced with "DAAB Agreement".

Sub-Clause 1.3:

- In the first line, "Dispute Avoidance/Adjudication Agreement" or "DAA Agreement" means" is replaced with:
- "DAAB Agreement" is as defined under the Contract and is".
- In the first line of sub-paragraph (c), "DAA Agreement" is replaced with "DAAB Agreement".
- In sub-paragraph (c)(ii), "chairman" is replaced with "chairperson".

Sub-Clause 1.3 "DAAB Activities" is replaced with Sub-Clause 1.4 "DAAB Activities" and the subsequent Sub- Clauses under Clause 1 "Definitions" renumbered:

Sub-Clause 1.7 to 12: Replace all instances of "DAA Agreement" with "DAAB Agreement".

In Sub-Clause 1.8 a(i):" authorised representative of the contractor or of the Employer" is replaced with: "Contractor's Representative or authorised representative of the Employer".

2. General provisions

Sub-Clause 2.2 is deleted in its entirety.

3. Warranties

Sub-Clause 3.3 is deleted and replaced with the following:

"When appointing the DAAB Member, each Party relies on the DAAB Member's representations, that he/she;

- a) has at least a bachelor's degree in relevant disciplines such as law, engineering, construction management or contract management;
- b) has at least ten years of experience in contract administration/management and dispute resolution, out of which at least five years of experience as an arbitrator or adjudicator in construction-related disputes;
- c) has received formal training as an adjudicator from an internationally recognized organization;
- d) has experience and/or is knowledgeable in the type of work which the Contractor is to carry out under the Contract;
- e) has experience in the interpretation of construction and/or engineering contract documents;
- f) has familiarity with the forms of contract published by FIDIC since 1999, and an understanding of the dispute resolution procedures contained therein; and
- g) is fluent in the language for communications stated in the Contract Data (or the language as agreed between the Parties and the DAAB)."

7. Confidentiality

In Sub-Clause 7.3: "or" is deleted after sub-paragraph (b), and the following added:

"or (d) is being provided to the Bank."

9. Fees and Expenses

In Sub-Clause 9.1 (c): "business class or equivalent" is replaced with: "in less than first class".

In Sub-Clause 9.4: "and air fares" and "other" are deleted from the first and second sentences respectively.

10. Resignation & Termination

In Sub-Clause 10.3: "the DAA Agreement" is replaced with: "a DAAB member's DAAB Agreement".

Annex- DAAB Procedural Rules

Rule 4.2 On the fourth line, "chairman" is replaced with "chairperson".

Rule 8.3 On the sixth line, "chairman" is replaced with "chairperson".

Form of Dispute Avoidance/Adjudication Agreement

All instances of "DAA Agreement" are replaced with: "DAAB Agreement".

In C (b): "chairman" is replaced with "chairperson".

Particular Conditions

Part C- Fraud and Corruption

(Text in this Particular Conditions - Part C shall not be modified)

1. Purpose

- 1.1 The Bank's Integrity Framework and this annex apply with respect to procurement under Bank Investment Project Financing operations.

2. Requirements

- 2.1 The Bank requires that Borrowers (including beneficiaries of Bank financing); bidders (applicants), consultants, contractors and suppliers; any sub-contractors, sub-consultants, service providers or suppliers; any agents (whether declared or not); and any of their personnel, observe the highest standard of ethics during the procurement process, selection and contract execution of Bank-financed contracts, and refrain from Fraud and Corruption.

2.2 To this end, the Bank:

- a. Defines, for the purposes of this provision, the terms set forth below as follows:
 - i. "corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party;
 - ii. "fraudulent practice" is any act or omission, including misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain financial or other benefit or to avoid an obligation;
 - iii. "collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - iv. "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
 - v. "obstructive practice" is:
 - (a) deliberately destroying, falsifying, altering, or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive, or collusive practice; and/or threatening, harass or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation; or
 - (b) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under paragraph 2.2 e. below.

- b. Rejects a proposal for award if the Bank determines that the firm or individual recommended for award, any of its personnel, or its agents, or its sub-consultants, sub-contractors, service providers, suppliers and/ or their employees, has, directly or indirectly, engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices in competing for the contract in question;
- c. In addition to the legal remedies set out in the relevant Legal Agreement, may take other appropriate actions, including declaring mis-procurement, if the Bank determines at any time that representatives of the Borrower or of a recipient of any part of the proceeds of the loan engaged in corrupt, fraudulent, collusive, coercive, or obstructive practices during the procurement process, selection and/or execution of the contract in question, without the Borrower having taken timely and appropriate action satisfactory to the Bank to address such practices when they occur, including by failing to inform the Bank in a timely manner at the time they knew of the practices;
- d. Pursuant to the Bank's Integrity Framework, and in accordance with the Bank's prevailing sanctions policies and procedures, may sanction a firm or individual, either indefinitely or for a stated period of time, including by publicly declaring such firm or individual ineligible (i) to be awarded or otherwise benefit from a Bank-financed contract, financially or in any other manner¹; (ii) to be a nominated ² sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract; and (iii) to receive the proceeds of any loan made by the Bank or otherwise to participate further in the preparation or implementation of any Bank-financed project;
- e. Requires that a clause be included in bidding/request for proposals documents and in contracts financed by a Bank loan, requiring (i) bidders (applicants), consultants, contractors, and suppliers, and their sub-contractors, sub-consultants, service providers, suppliers, agents personnel, permit the Bank to inspect ³ all accounts, records and other documents relating to the submission of bids and contract performance, and to have them audited by auditors appointed by the Bank.

¹ For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

² A nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider (different names are used depending on the particular bidding document) is one which has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that allow the bidder to meet the qualification requirements for the particular bid; or (ii) appointed by the Borrower.

³ Inspections in this context usually are investigative (i.e., forensic) in nature. They involve fact-finding activities undertaken by the Bank or persons appointed by the Bank to address specific matters related to investigations/audits, such as evaluating the veracity of an allegation of possible Fraud and Corruption, through the appropriate mechanisms. Such activity includes but is not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data and information (whether in hard copy or electronic format) deemed relevant for the investigation/audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verification of information.

Particular Conditions

Part D - Environmental and Social (ES)

Metrics for Progress Reports

[Note to Employer: the following metrics may be amended to reflect the specifics of the Contract. The Employer shall ensure that the metrics provided are appropriate for the Works and impacts/key issues identified in the environmental and social assessment.]

Metrics for regular reporting:

- a. environmental incidents or non-compliances with contract requirements, including contamination, pollution or damage to ground or water supplies;
- b. health and safety incidents, accidents, injuries that require treatment and all fatalities;
- c. interactions with regulators: identify agency, dates, subjects, outcomes (report the negative if none);
- d. status of all permits and agreements:
 - i. work permits: number required, number received, actions taken for those not received;
 - ii. status of permits and consents:
 - list areas/facilities with permits required (quarries, asphalt & batch plants), dates of application, dates issued (actions to follow up if not issued), dates submitted to resident engineer (or equivalent), status of area (waiting for permits, working, abandoned without reclamation, decommissioning plan being implemented, etc.);
 - list areas with landowner agreements required (borrow and spoil areas, camp sites), dates of agreements, dates submitted to resident engineer (or equivalent);
 - identify major activities undertaken in each area in the reporting period and highlights of environmental and social protection (land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation);
 - for quarries: status of relocation and compensation (completed, or details of activities and current status in the reporting period).
- e. health and safety supervision:
 - i. safety officer: number days worked, number of full inspections & partial inspections, reports to construction/project management;

- ii. number of workers, work hours, metric of PPE use (percentage of workers with full personal protection equipment (PPE), partial, etc.), worker violations observed (by type of violation, PPE or otherwise), warnings given, repeat warnings given, follow-up actions taken (if any);
- f. worker accommodations:
 - i. number of expats housed in accommodations, number of locals;
 - ii. date of last inspection, and highlights of inspection including status of accommodations' compliance with national and local law and good practice, including sanitation, space, etc.;
 - iii. actions taken to recommend/require improved conditions, or to improve conditions.
- g. Health services: provider of health services, information and/or training, location of clinic, number of non-safety disease or illness treatments and diagnoses (no names to be provided);
- h. gender (for expats and locals separately): number of female workers, percentage of workforce, gender issues raised and dealt with (cross-reference grievances or other sections as needed);
- i. training:
 - i. number of new workers, number receiving induction training, dates of induction training;
 - ii. number and dates of toolbox talks, number of workers receiving Occupational Health and Safety (OHS), environmental and social training;
 - iii. number and dates of communicable diseases (including STDs) sensitization and/or training, no. workers receiving training (in the reporting period and in the past); same questions for gender sensitization, flag person training.
 - iv. number and date of SEA prevention sensitization and/or training events, including number of workers receiving training on Code of Conduct for Contractor's Personnel (in the reporting period and in the past), etc.
- j. environmental and social supervision:
 - i. environmentalist: days worked, areas inspected and numbers of inspections of each (road section, work camp, accommodations, quarries, borrow areas, spoil areas, swamps, forest crossings, etc.), highlights of activities/findings (including violations of environmental and/or social best practices, actions taken), reports to environmental and/or social specialist/construction/site management;
 - ii. sociologist: days worked, number of partial and full site inspections (by area: road section, work camp, accommodations, quarries, borrow areas, spoil areas, clinic, HIV/AIDS centre, community centres, etc.), highlights of activities (including violations of environmental

- and/or social requirements observed, actions taken), reports to environmental and/or social specialist/construction/site management; and
- iii. community liaison person(s): days worked (hours community centre open), number of people met, highlights of activities (issues raised, etc.), reports to environmental and/or social specialist /construction/site management.
- k. Grievances: list new grievances (e.g. number of allegations of SEA) received in the reporting period and number of unresolved past grievances by date received, complainant's age and sex, how received, to whom referred to for action, resolution and date (if completed), data resolution reported to complainant, any required follow-up (Cross-reference other sections as needed):
 - i. Worker grievances;
 - ii. Community grievances
 - l. Traffic, road safety and vehicles/equipment:
 - i. traffic and road safety incidents and accidents involving project vehicles & equipment: provide date, location, damage, cause, follow-up;
 - ii. traffic and road safety incidents and accidents involving non-project vehicles or property (also reported under immediate metrics): provide date, location, damage, cause, follow-up;
 - iii. overall condition of vehicles/equipment (subjective judgment by environmentalist); non-routine repairs and maintenance needed to improve safety and/or environmental performance (to control smoke, etc.).
 - m. Environmental mitigations and issues (what has been done):
 - i. dust: number of working bowlers, number of waterings/day, number of complaints, warnings given by environmentalist, actions taken to resolve; highlights of quarry dust control (covers, sprays, operational status); % of rock/ spoil lorries with covers, actions taken for uncovered vehicles;
 - ii. erosion control: controls implemented by location, status of water crossings, environmentalist inspections and results, actions taken to resolve issues, emergency repairs needed to control erosion/sedimentation;
 - iii. quarries, borrow areas, spoil areas, asphalt plants, batch plants: identify major activities undertaken in the reporting period at each, and highlights of environmental and social protection: land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation;

- iv. blasting: number of blasts (and locations), status of implementation of blasting plan (including notices, evacuations, etc.), incidents of off-site damage or complaints (cross-reference other sections as needed);
- v. spill clean-ups, if any: material spilled, location, amount, actions taken, material disposal (report all spills that result in water or soil contamination);
- vi. waste management: types and quantities generated and managed, including amount taken offsite (and by whom) or reused/recycled/disposed on-site;
- vii. details of tree plantings and other mitigations required undertaken in the reporting period;
- viii. details of water and swamp protection mitigations required undertaken in the reporting period.
- n. compliance:
 - i. compliance status for conditions of all relevant consents/permits, for the Work, including quarries, etc.): statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance;
 - ii. compliance status of C-ESMP/ESIP requirements: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
 - iii. compliance status of SEA prevention and response action plan: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
 - iv. compliance status of Health and Safety Management Plan re: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
 - v. other unresolved issues from previous reporting periods related to environmental and social: continued violations, continued failure of equipment, continued lack of vehicle covers, spills not dealt with, continued compensation or blasting issues, etc. Cross-reference other sections as needed.

Particular Conditions

Part E - Section V - Eligible Countries

Eligibility for the Provision of Goods, Works and Non-Consulting Services in Bank-Financed Procurement

A. Provisions under Section 5 "Eligibility" of the Procurement Policy for Bank Group Funded Operations and Chapter A2 of the Operations Procurement Manual under Procurement Framework of the African Development Bank

- ¹. The African Development Fund permits firms and individuals from all countries to offer goods, works and services for ADF funded projects. However, the proceeds of any Financing undertaken in the operations of the African Development Bank and the Nigeria Trust Fund shall be used for procurement of goods and works, including the related services, provided by bidders from Eligible⁴ Countries.⁵ Any conditions for participation shall be limited to those that are essential to ensure the firm's capability to fulfil the contract in question. In the case of ADB and NTF, bidders from non-Member Countries offering goods, works and related services (including transportation and insurance) are not eligible even if they offer these from Eligible Member Countries. Any waiver to this rule will be in accordance with the Articles 17(1) (d) of the Agreement Establishing the African Development Bank and 4.1 of the Agreement Establishing the Nigeria Trust Fund.

B. Rules and Procedures for Procurement of Goods and Works

Overview

1. The eligibility criteria for participation in the supply of goods, works and related services, to be procured through the ADB and NTF Financing, derive from the requirements of the Agreement Establishing the African Development Bank, Article 17.1.d, and the Agreement Establishing the Nigeria Trust Fund, Article 4.1. The foregoing requirements basically prescribe two types of eligibility criteria:

The eligibility of the bidder;

The eligibility of the goods, works and related services.

⁴ Refer to Bank Procurement Framework for additional information on Eligibility.

⁵ "Eligible Countries" shall mean: (a) in the case of the African Development Bank and the Nigeria trust Fund, the Member Countries of the African Development Bank; and (b) in the case of the African Development Fund, any country.

Eligibility of the Bidder

2. The eligibility of the bidder shall be based on nationality, in accordance with the following rules:
 - (a) Natural Persons: A natural person is eligible if he or she is a national of a Member Country of the Bank, or a State Participant of the Fund. Where a person has more than one nationality, such a person shall be eligible if the nationality indicated in his or her bid is that of a Member Country of the Bank, or a State Participant of the Fund.
 - (b) Corporations: A corporation is eligible if it satisfies the following criteria:
 4. it is incorporated in a country that is a Member of the Bank, or State Participant of the Fund;
 5. it is a national of a country that is a Member of the Bank, or State Participant of the Fund, as determined by the law of its place of incorporation;
 6. it has its principal place of business in a country that is a Member of the Bank, or State Participant of the Fund.
 - (c) Joint Ventures and Associations: An unincorporated joint venture, partnership, or association, shall be eligible if more than 50% of the value of its works and/or services is executed by its members satisfying the eligibility requirements for individuals or corporations.

Eligibility of the Goods, Works and Related Services

3. In order to be eligible, the goods to be procured must have been mined, grown, or produced, in the form in which they are purchased, in an Eligible Member Country.
4. For works contracts, which may include civil works, plant construction, or turnkey contracts, the contractor must satisfy the nationality criteria of eligibility, either as a natural person, or corporation, or joint venture and association. Labour, equipment, and materials needed for carrying out the works contract, shall be supplied from Eligible Member Countries.
5. For contracts, which have been awarded on the basis of Cost, Insurance and Freight (CIF), or Carriage and Insurance Paid (CIP), bidders shall be free to arrange for ocean and other transportation, and the related insurance, from any Eligible Member Country. On the other hand, where goods are shipped on FOB basis, and the Bank has agreed to finance transportation and insurance separately, which are arranged by the purchaser, under a separate contract, the Bank shall be satisfied that the services are supplied from Eligible Member Countries.

List of Eligible Countries

6. List of Eligible countries can be found in African Development Bank's website:

<https://www.afdb.org/en/about-us/corporate-information/members/>

Ineligible Countries in reference to ITB 4.8 and ITB 5.1

7. In reference to ITB 4.8 and ITB 5.1, for the information of the Bidders, at the present time firms, goods and services from the following countries are excluded from this Bidding process:

Under ITB 4.8(a) and ITB 5.1: *[insert a list of the countries following approval by the Bank to apply the restriction or state "none"]*.

Under ITB 4.8(b) and ITB 5.1: *[insert a list of the countries following approval by the Bank to apply the restriction or state "none"]*

Section X - Contract Forms

Table of Forms

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Notification of Intention to Award

[This Notification of Intention to Award shall be sent to each Bidder that submitted a Bid.]
[Send this Notification to the Bidder's Authorized Representative named in the Bidder Information Form]

For the attention of Bidder's Authorized Representative

Name: *[insert Authorized Representative's name]*

Address: *[insert Authorized Representative's Address]*

Telephone/Fax numbers: *[insert Authorized Representative's telephone/fax numbers]*

Email Address: *[insert Authorized Representative's email address]*

[IMPORTANT: insert the date that this Notification is transmitted to Bidders. The Notification must be sent to all Bidders simultaneously. This means on the same date and as close to the same time as possible.]

DATE OF TRANSMISSION: This Notification is sent by: *[email/fax]* on *[date]* (local time)

Notification of Intention to Award

Employer: *[insert the name of the Employer]*

Project: *[insert name of project]*

Contract title: *[insert the name of the contract]*

Country: *[insert country where RFB is issued]*

Loan No. /Credit No. / Grant No.: *[insert reference number for loan/credit/grant]*

RFB No: *[insert RFB reference number from Procurement Plan]*

This Notification of Intention to Award (Notification) notifies you of our decision to award the above contract. The transmission of this Notification begins the Standstill Period. During the Standstill Period you may:

- a) request a debriefing in relation to the evaluation of your Bid, and/or
- b) submit a Procurement-related Complaint in relation to the decision to award the contract.

1. The successful Bidder

Name:	<i>[insert name of successful Bidder]</i>
Address:	<i>[insert address of the successful Bidder]</i>
Contract price:	<i>[insert contract price of the successful Bid]</i>

2. Other Bidders *[INSTRUCTIONS: insert names of all Bidders that submitted a Bid. If the Bid's price was evaluated include the evaluated price as well as the Bid price as read out.]*

Name of Bidder	Bid price	Evaluated Bid price (if applicable)
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]
[insert name]	[insert Bid price]	[insert evaluated price]

3. Reason/s why your Bid was unsuccessful

[INSTRUCTIONS: State the reason/s why this Bidder's Bid was unsuccessful. Do NOT include: (a) a point by point comparison with another Bidder's Bid or (b) information that is marked confidential by the Bidder in its Bid.]

4. How to request a debriefing

DEADLINE: The deadline to request a debriefing expires at midnight on [insert date] (local time).

You may request a debriefing in relation to the results of the evaluation of your Bid. If you decide to request a debriefing your written request must be made within three (3) Business Days of receipt of this Notification of Intention to Award.

Provide the contract name, reference number, name of the Bidder, contact details; and address the request for debriefing as follows:

Attention: [insert full name of person, if applicable]

Title/position: [insert title/position]

Agency: [insert name of Employer]

Email address: [insert email address]

Fax number: [insert fax number] *delete if not used*

If your request for a debriefing is received within the 3 Business Days deadline, we will provide the debriefing within five (5) Business Days of receipt of your request. If we are unable to provide the debriefing within this period, the Standstill Period shall be extended by five (5) Business Days after the date that the debriefing is provided. If this happens, we will notify you and confirm the date that the extended Standstill Period will end.

The debriefing may be in writing, by phone, video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

If the deadline to request a debriefing has expired, you may still request a debriefing. In this case, we will provide the debriefing as soon as practicable, and normally no later than fifteen (15) Business Days from the date of publication of the Contract Award Notice.

5. How to make a complaint

Period: Procurement-related Complaint challenging the decision to award shall be submitted by midnight, *[insert date]* (local time).

Provide the contract name, reference number, name of the Bidder, contact details; and address the Procurement-related Complaint as follows:

Attention: *[insert full name of person, if applicable]*

Title/position: *[insert title/position]*

Agency: *[insert name of Employer]*

Email address: *[insert email address]*

Fax number: *[insert fax number]* ***delete if not used***

At this point in the procurement process, you may submit a Procurement-related Complaint challenging the decision to award the contract. You do not need to have requested, or received, a debriefing before making this complaint. Your complaint must be submitted within the Standstill Period and received by us before the Standstill Period ends.

Further information:

For more information, see Part B of the Operations Procurement Manual. In summary, there are four essential requirements:

1. You must be an 'interested party'. In this case, that means a Bidder who submitted a Bid in this bidding process, and is the recipient of a Notification of Intention to Award.
2. The complaint can only challenge the decision to award the contract.
3. You must submit the complaint within the period stated above.
4. You must include, in your complaint, all necessary information

6. Standstill Period

DEADLINE: The Standstill Period is due to end at midnight on *[insert date]* (local time).

The Standstill Period lasts ten (10) Business Days after the date of transmission of this Notification of Intention to Award.

The Standstill Period may be extended as stated in Section 4 above.

If you have any questions regarding this Notification, please do not hesitate to contact us.

On behalf of the Employer:

Signature: _____

Name: _____

Title/position: _____

Telephone: _____

Email: _____

Beneficial Ownership Disclosure Form

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE FORM

This Beneficial Ownership Disclosure Form ("Form") is to be completed by the successful Bidder. In case of joint venture, the Bidder must submit a separate Form for each member. The beneficial ownership information to be submitted in this Form shall be current as of the date of its submission.

For the purposes of this Form, a Beneficial Owner of a Bidder is any natural person who ultimately owns or controls the Bidder by meeting one or more of the following conditions:

- directly or indirectly holding 25% or more of the shares*
- directly or indirectly holding 25% or more of the voting rights*
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder*

RFB No.: *[insert number of RFB process]*

Request for Bid No.: *[insert identification]*

To: *[insert complete name of Employer]*

In response to your request in the Letter of Acceptance dated *[insert date of letter of Acceptance]* to furnish additional information on beneficial ownership: *[select one option as applicable and delete the options that are not applicable]*

(i) we hereby provide the following beneficial ownership information.

Details of beneficial ownership

Identity of Beneficial Owner	Directly or indirectly holding 25% or more of the shares (Yes / No)	Directly or indirectly holding 25 % or more of the Voting Rights (Yes / No)	Directly or indirectly having the right to appoint a majority of the board of the directors or an equivalent governing body of the Bidder (Yes / No)
<i>[include full name (last, middle, first), nationality, country of residence]</i>			

OR

(ii) *We declare that there is no Beneficial Owner meeting one or more of the following conditions:*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder

OR

(iii) *We declare that we are unable to identify any Beneficial Owner meeting one or more of the following conditions. [If this option is selected, the Bidder shall provide explanation on why it is unable to identify any Beneficial Owner]*

- directly or indirectly holding 25% or more of the shares
- directly or indirectly holding 25% or more of the voting rights
- directly or indirectly having the right to appoint a majority of the board of directors or equivalent governing body of the Bidder]"

Name of the Bidder: **[insert complete name of the Bidder]*

Name of the person duly authorized to sign the Bid on behalf of the Bidder: ***[insert complete name of person duly authorized to sign the Bid]*

Title of the person signing the Bid: *[insert complete title of the person signing the Bid]*

Signature of the person named above: *[insert signature of person whose name and capacity are shown above]*

Date signed *[insert date of signing]* day of *[insert month]*, *[insert year]*

* In the case of the Bid submitted by a Joint Venture specify the name of the Joint Venture as Bidder. In the event that the Bidder is a joint venture, each reference to "Bidder" in the Beneficial Ownership Disclosure Form (including this Introduction thereto) shall be read to refer to the joint venture member.

** Person signing the Bid shall have the power of attorney given by the Bidder. The power of attorney shall be attached with the Bid Schedules.

Letter of Acceptance

[letterhead paper of the Employer]

[date]

To: *[name and address of the Contractor]*

This is to notify you that your Bid dated *[date]* for execution of the *[name of the Contract and identification number, as given in the Contract Data]* for the Accepted Contract Amount *[amount in numbers and words] [name of currency]*, as corrected and modified in accordance with the Instructions to Bidders, is hereby accepted by our Agency.

You are requested to furnish (i) the Performance Security and an Environmental and Social Performance Security ***[Delete ES Performance Security if it is not required under the contract]*** within 28 days in accordance with the Conditions of Contract, using, for that purpose, one of the Performance Security Forms and the ES Performance Security Form, ***[Delete reference to the ES Performance Security Form if it is not required under the contract]*** and (ii) the additional information on beneficial ownership in accordance with BDS ITB 47.1, within eight (8) Business days using the Beneficial Ownership Disclosure Form, included in Section X, Contract Forms, of the bidding document.

Authorized Signature: _____

Name and Title of Signatory: _____

Name of Agency: _____

Attachment: Contract Agreement

Contract Agreement

THIS AGREEMENT made the _____ day of _____, _____, between _____ of _____ (hereinafter "the Employer"), of the one part, and _____ of _____ (hereinafter "the Contractor"), of the other part:

WHEREAS the Employer desires that the Works known as _____ should be executed by the Contractor, and has accepted a Bid by the Contractor for the execution and completion of these Works and the remedying of any defects therein,

The Employer and the Contractor agree as follows:

1. In this Agreement words and expressions shall have the same meanings as are respectively assigned to them in the Contract documents referred to.
2. The following documents shall be deemed to form and be read and construed as part of this Agreement. This Agreement shall prevail over all other Contract documents.
 - (a) the Letter of Acceptance;
 - (b) the Letter of Bid;
 - (c) the addenda Nos _____ (if any);
 - (d) the Particular Conditions;
 - (e) the General Conditions;
 - (f) the Specification;
 - (g) the Drawings; and
 - (h) the completed Schedules and any other documents forming part of the contract, including, but not limited to:
 - i. the ES Management Strategies and Implementation Plans; and
 - ii. Code of Conduct for Contractor's Personnel (ES);
3. In consideration of the payments to be made by the Employer to the Contractor as specified in this Agreement, the Contractor hereby covenants with the Employer to execute the Works and to remedy defects therein in conformity in all respects with the provisions of the Contract.
4. The Employer hereby covenants to pay the Contractor in consideration of the execution and completion of the Works and the remedying of defects therein, the Contract Price or such other sum as may become payable under the provisions of the Contract at the times and in the manner prescribed by the Contract.

IN WITNESS whereof the parties hereto have caused this Agreement to be executed in accordance with the laws of _____ on the day, month and year specified above.

Signed by _____ (for the Employer)

Signed by _____ (for the Contractor)

Performance Security: Option 1: Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[insert name and Address of Employer]*

Date: _____ *[Insert date of issue]*

Performance Guarantee No.: _____

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called "the Applicant") has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (hereinafter called "the Contract"). Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant to issue this guarantee, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____),¹ such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the Day of, 2...², and any demand for payment under it must be received by us at this office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

¹ The Guarantor shall insert an amount representing the percentage of the Accepted Contract Amount specified in the Letter of Acceptance, less provisional sums, if any, and denominated either in the currency(cies) of the Contract or a freely convertible currency acceptable to the Beneficiary.

² Insert the date twenty-eight days after the expected completion date as described in GC Clause 11.9. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

Performance Security: Option 2: Performance Bond

By this Bond _____ as Principal (hereinafter called "the Contractor") and _____] as Surety (hereinafter called "the Surety"), are held and firmly bound unto _____] as Oblige (hereinafter called "the Employer") in the amount of _____, for the payment of which sum well and truly to be made in the types and proportions of currencies in which the Contract Price is payable, the Contractor and the Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, firmly by these presents.

WHEREAS the Contractor has entered into a written Agreement with the Employer dated the _____ day of _____, 20____, for _____ in accordance with the documents, plans, specifications, and amendments thereto, which to the extent herein provided for, are by reference made part hereof and are hereinafter referred to as the Contract. NOW, THEREFORE, the Condition of this Obligation is such that, if the Contractor shall promptly and faithfully perform the said Contract (including any amendments thereto), then this obligation shall be null and void; otherwise, it shall remain in full force and effect. Whenever the Contractor shall be, and declared by the Employer to be, in default under the Contract, the Employer having performed the Employer's obligations thereunder, the Surety may promptly remedy the default, or shall promptly:

- (1) complete the Contract in accordance with its terms and conditions; or
- (2) obtain a Bid or Bids from qualified Bidders for submission to the Employer for completing the Contract in accordance with its terms and conditions, and upon determination by the Employer and the Surety of the lowest responsive Bidder, arrange for a Contract between such Bidder and Employer and make available as work progresses (even though there should be a default or a succession of defaults under the Contract or Contracts of completion arranged under this paragraph) sufficient funds to pay the cost of completion less the Balance of the Contract Price; but not exceeding, including other costs and damages for which the Surety may be liable hereunder, the amount set forth in the first paragraph hereof. The term "Balance of the Contract Price," as used in this paragraph, shall mean the total amount payable by Employer to Contractor under the Contract, less the amount properly paid by Employer to Contractor; or
- (3) pay the Employer the amount required by Employer to complete the Contract in accordance with its terms and conditions up to a total not exceeding the amount of this Bond.

The Surety shall not be liable for a greater sum than the specified penalty of this Bond.

Any suit under this Bond must be instituted before the expiration of one year from the date of the issuing of the Taking-Over Certificate.

No right of action shall accrue on this Bond to or for the use of any person or corporation other than the Employer named herein or the heirs, executors, administrators, successors, and assigns of the Employer.

In testimony whereof, the Contractor has hereunto set its hand and affixed its seal, and the Surety has caused these presents to be sealed with its corporate seal duly attested by the signature of its legal representative, this _____ day of _____ 20_____
_____.

SIGNED ON _____ on behalf of _____

By _____ in the capacity of _____

In the presence of _____

SIGNED ON _____ on behalf of _____

By _____ in the capacity of _____

In the presence of _____

Environmental and Social (ES) Performance Security

ES Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: *[insert name and Address of Employer]*

Date: *[Insert date of issue]*

ES PERFORMANCE GUARANTEE No.: *[Insert guarantee reference number]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called "the Applicant") has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (hereinafter called "the Contract"). Furthermore, we understand that, according to the conditions of the Contract, a performance guarantee is required.

At the request of the Applicant to issue this guarantee, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____),¹ such sum being payable in the types and proportions of currencies in which the Contract Price is payable, upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its Environmental and/or Social (ES) obligation(s) under the Contract, without the Beneficiary needing to prove or to show grounds for your demand or the sum specified therein.

This guarantee shall expire, no later than the Day of, 2... 2, and any demand for payment under it must be received by us at this office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

¹ The Guarantor shall insert an amount representing the percentage of the Accepted Contract Amount specified in the Letter of Acceptance, less provisional sums, if any, and denominated either in the currency (cies) of the Contract or a freely convertible currency acceptable to the Beneficiary.

² Insert the date twenty-eight days after the expected completion date as described in GC Clause 11.9. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

Advance Payment Security

Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ *[Insert name and Address of Employer]*

Date: _____ *[Insert date of issue]*

ADVANCE PAYMENT GUARANTEE No.: *[Insert guarantee reference number]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ (hereinafter called "the Applicant") has entered into Contract No. _____ dated _____ with the Beneficiary, for the execution of _____ (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, an advance payment in the sum _____ (_____) is to be made against an advance payment guarantee.

At the request of the Applicant to issue this guarantee, we as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ (_____) ¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating either that the Applicant:

- a) has used the advance payment for purposes other than the costs of mobilization in respect of the Works; or
- b) has failed to repay the advance payment in accordance with the Contract conditions, specifying the amount which the Applicant has failed to repay.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the advance payment referred to above has been credited to the Applicant on its account number _____ at _____.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as specified in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall expire, at the latest, upon our receipt of a copy of the interim payment certificate indicating that ninety (90) percent of the Accepted Contract Amount, less provisional sums, has been certified for payment, or on the ___ day of ___, 2___² whichever is earlier. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

¹ The Guarantor shall insert an amount representing the amount of the advance payment and denominated either in the currency(ies) of the advance payment as specified in the Contract, or in a freely convertible currency acceptable to the Employer.

² Insert the expected expiration date of the Time for Completion. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

Retention Money Security

Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

Beneficiary: _____ *[Insert name and Address of Employer]*

Date: _____ *[Insert date of issue]*

RETENTION MONEY GUARANTEE No.: _____ *[Insert guarantee reference number]*

Guarantor: *[Insert name and address of place of issue, unless indicated in the letterhead]*

We have been informed that _____ *[insert name of Contractor, which in the case of a joint venture shall be the name of the joint venture]* (hereinafter called "the Applicant") has entered into Contract No. _____ *[insert reference number of the contract]* dated _____ with the Beneficiary, for the execution of _____ *[insert name of contract and brief description of Works]* (hereinafter called "the Contract").

Furthermore, we understand that, according to the conditions of the Contract, the Beneficiary retains moneys up to the limit set forth in the Contract ("the Retention Money"), and that when the Taking-Over Certificate has been issued under the Contract and the first half of the Retention Money has been certified for payment, payment of *[insert the second half of the Retention Money or if the amount guaranteed under the Performance Guarantee when the Taking-Over Certificate is issued is less than half of the Retention Money, the difference between half of the Retention Money and the amount guaranteed under the Performance Security and, if required, the ES Performance Security]* is to be made against a Retention Money guarantee.

At the request of the Applicant to issue this guarantee, we, as Guarantor, hereby irrevocably undertake to pay the Beneficiary any sum or sums not exceeding in total an amount of _____ *[insert amount in figures]* (_____) *[amount in words]*¹ upon receipt by us of the Beneficiary's complying demand supported by the Beneficiary's statement, whether in the demand itself or in a separate signed document accompanying or identifying the demand, stating that the Applicant is in breach of its obligation(s) under the Contract, without your needing to prove or show grounds for your demand or the sum specified therein.

A demand under this guarantee may be presented as from the presentation to the Guarantor of a certificate from the Beneficiary's bank stating that the second half of the Retention Money as referred to above has been credited to the Applicant on its account number _____ at _____ *[insert name and address of Applicant's bank]*.

¹ The Guarantor shall insert an amount representing the amount of the second half of the Retention Money or if the amount guaranteed under the Performance Guarantee when the Taking-Over Certificate is issued is less than half of the Retention Money, the difference between half of the Retention Money and the amount guaranteed under the Performance Security and denominated either in the currency(ies) of the second half of the Retention Money as specified in the Contract, or in a freely convertible currency acceptable to the Beneficiary.

This guarantee shall expire no later than the Day of, 2...², and any demand for payment under it must be received by us at the office indicated above on or before that date.

This guarantee is subject to the Uniform Rules for Demand Guarantees (URDG) 2010 Revision, ICC Publication No. 758, except that the supporting statement under Article 15(a) is hereby excluded.

[signature(s)]

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

² Insert the same expiry date as set forth in the performance security, representing the date twenty-eight days after the completion date described in GC Clause 11.9. The Employer should note that in the event of an extension of this date for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Beneficiary's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."